# Compilation

0368-3133 (Semester A, 2013/14)

Lecture 11: Data Flow Analysis & Optimizations

Noam Rinetzky

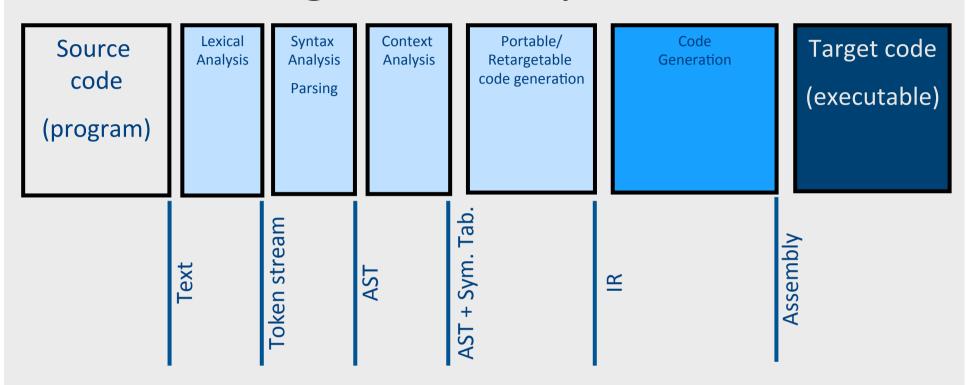
#### What is a compiler?

"A compiler is a computer program that transforms source code written in a programming language (source language) into another language (target language).

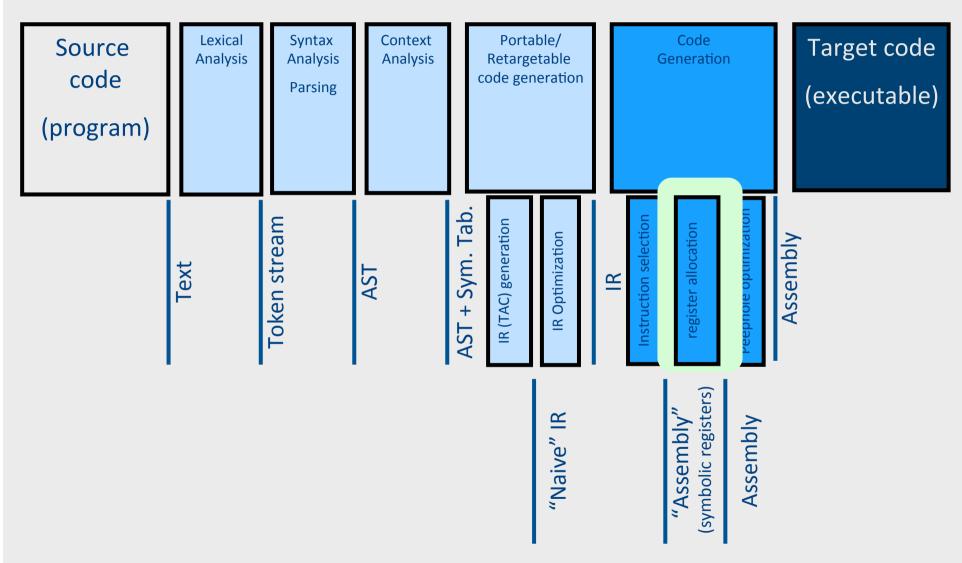
The most common reason for wanting to transform source code is to create an executable program."

--Wikipedia

# Stages of compilation



## Stages of Compilation



#### Registers

- Most machines have a set of registers, dedicated memory locations that
  - can be accessed quickly,
  - can have computations performed on them, and
  - are used for special purposes (e.g., parameter passing)

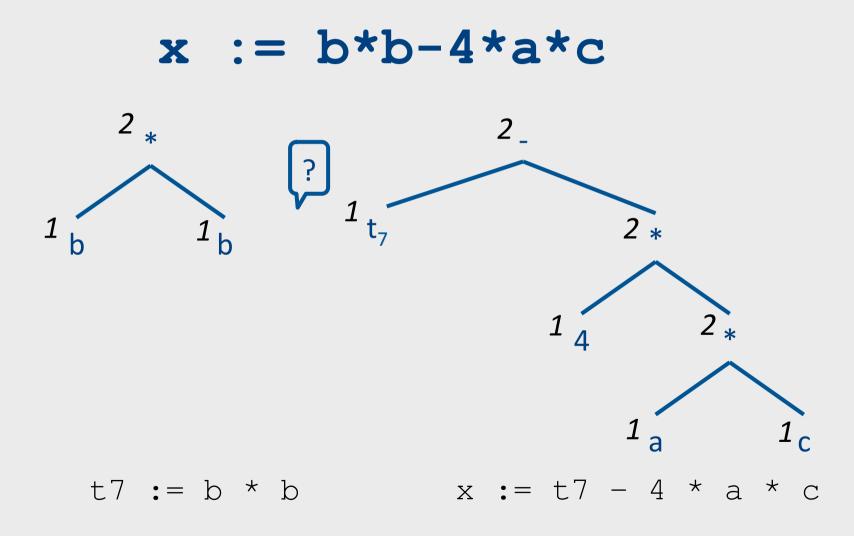
#### Usages

- Operands of instructions
- Store temporary results
- Can (should) be used as loop indexes due to frequent arithmetic operation
- Used to manage administrative info
  - e.g., runtime stack

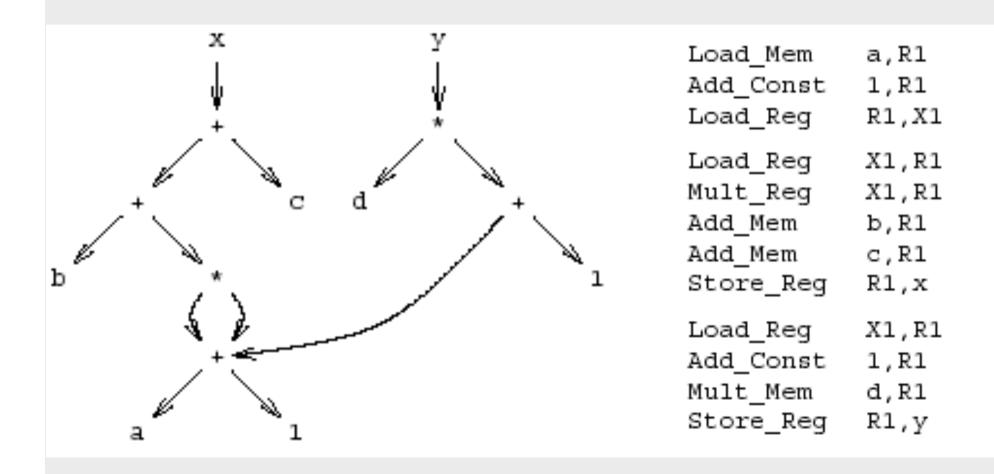
#### Register Allocation

- Machine-agnostic optimizations
  - Assume unbounded number of registers
  - Expression trees (tree-local)
  - Basic blocks (block-local)
- Machine-dependent optimization
  - K registers
  - Some have special purposes
  - Control flow graphs (global register allocation)

## Register Allocation for Expression trees



#### Register Allocation for Basic Blocks



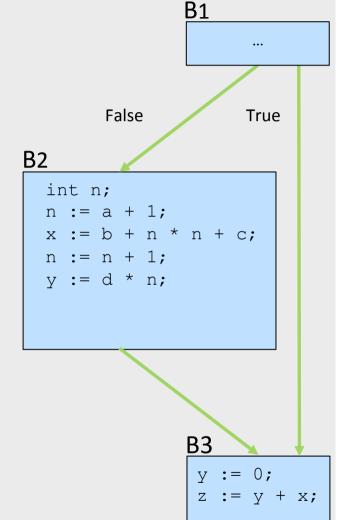
# Control Flow Graphs (CFGs)

**B**1

**B**2

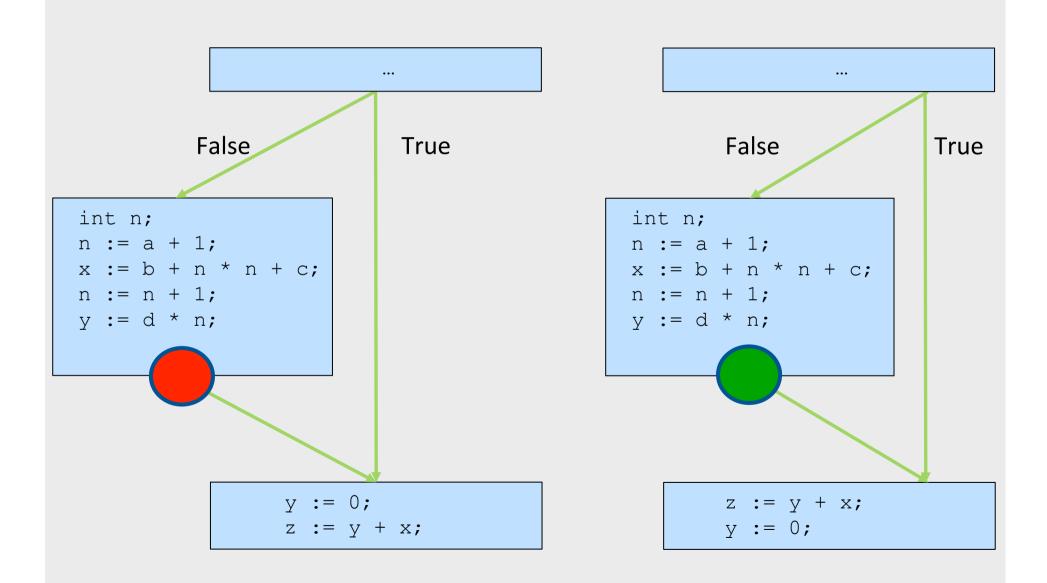
**B3** 

- A directed graph G=(V,E)
- nodes V = basic blocks
- edges E = control flow
  - (B1,B2) ∈ E when control from B1 flows to B2
- Basic block = Sequence of instructions
  - Cannot jump into the middle of a BB
  - Cannot jump out of the middle of the BB



Leader-based algorithm

# y, dead or alive?



#### Variable Liveness

- A statement x = y + z
  - defines x
  - uses y and z
- A variable x is live at a program point if its value (at this point) is used at a later point

```
y = 42
z = 73
x = y + z
print(x);
```

```
x undef, y live, z undefx undef, y live, z livex is live, y dead, z deadx is dead, y dead, z dead
```

(showing state after the statement)

# Global Register Allocation using Liveness Information

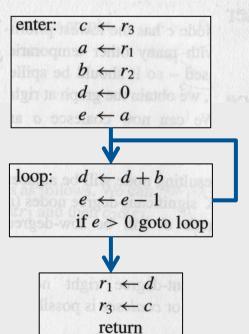
- For every node n in CFG, we have out[n]
  - Set of temporaries live out of n
- Two variables interfere if they appear in the same out[n] of any node n
  - Cannot be allocated to the same register
- Conversely, if two variables do not interfere with each other, they can be assigned the same register
  - We say they have disjoint live ranges
- How to assign registers to variables?

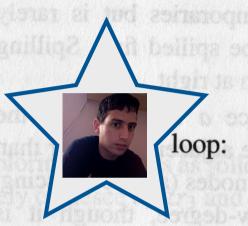
# Interference graph

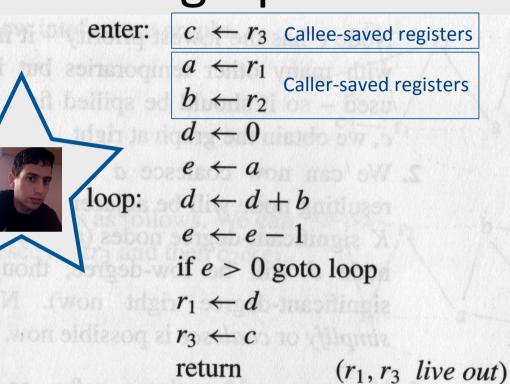
enter:

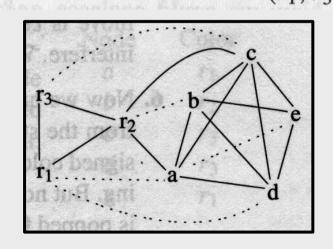
R<sub>1</sub>,r<sub>2</sub> pass parameters R<sub>1</sub> stores return value

```
int f(int a, int b)
   int d=0;
   int e=a;
  do \{d = d+b:
      e = e-1;
   } while (e>0);
  return d;
```

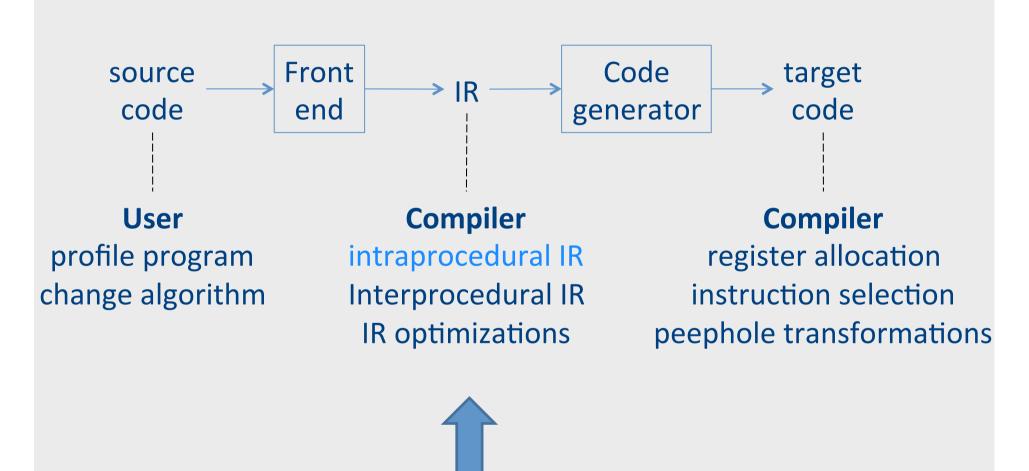








## **Optimization points**



today

## **Program Analysis**

- In order to optimize a program, the compiler has to be able to reason about the properties of that program
- An analysis is called sound if it never asserts an incorrect fact about a program
- All the analyses we will discuss in this class are sound
  - (Why?)

#### Soundness

```
int x;
int y;

if (y < 5)
    x = 137;

else
    x = 42;

Print(x);</pre>
"At this point in the program, x holds some integer value"
```

#### Soundness

```
int x;
int y;
if (y < 5)
    x = 137;
else
    x = 42;
Print(x);
```

"At this point in the program, **x** is either 137 or 42"

## (Un) Soundness

```
int x;
int y;

if (y < 5)
    x = 137;
else
    x = 42;

Print(x);</pre>
"At this point in the program, x is 137"
```

#### Soundness & Precision

```
int x;
int y;

if (y < 5)
    x = 137;

else
    x = 42;

Print(x);</pre>
"At this point in the program, x is either 137,
42, or 271"
```

#### Semantics-preserving optimizations

- An optimization is semantics-preserving if it does not alter the semantics (meaning) of the original program
  - ✓ Eliminating unnecessary temporary variables
  - ✓ Computing values that are known statically at compiletime instead of computing them at runtime
  - ✓ Evaluating iteration-independent expressions outside of a loop instead of inside
  - X Replacing bubble sort with quicksort (why?)
- The optimizations we will consider in this class are all semantics-preserving

## A formalism for IR optimization

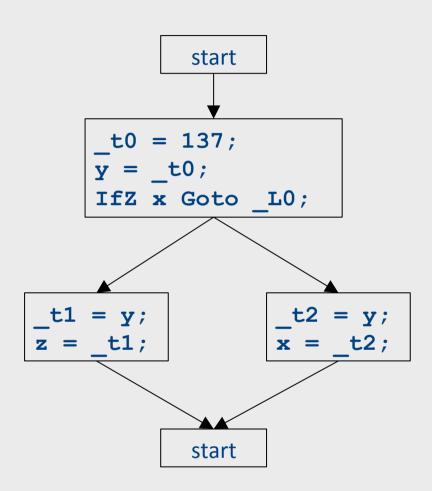
- Every phase of the compiler uses some new abstraction:
  - Scanning uses regular expressions
  - Parsing uses Context Free Grammars (CFGs)
  - Semantic analysis uses proof systems and symbol tables
  - IR generation uses ASTs
- In optimization, we need a formalism that captures the structure of a program in a way amenable to optimization
  - Control Flow Graphs (CFGs)

#### Types of optimizations

- An optimization is local if it works on just a single basic block
- An optimization is global if it works on an entire control-flow graph
- An optimization is interprocedural if it works across the control-flow graphs of multiple functions
  - We won't talk about this in this course

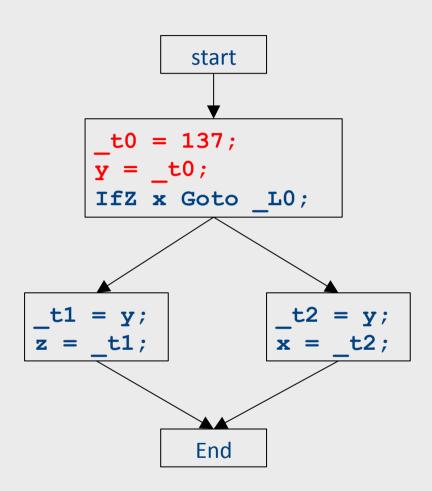
```
int main() {
   int x;
   int y;
   int z;

   y = 137;
   if (x == 0)
      z = y;
   else
      x = y;
}
```



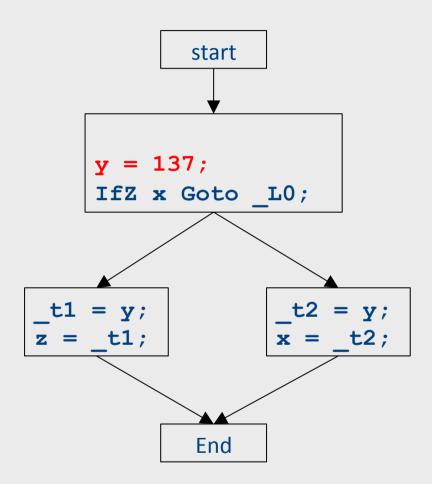
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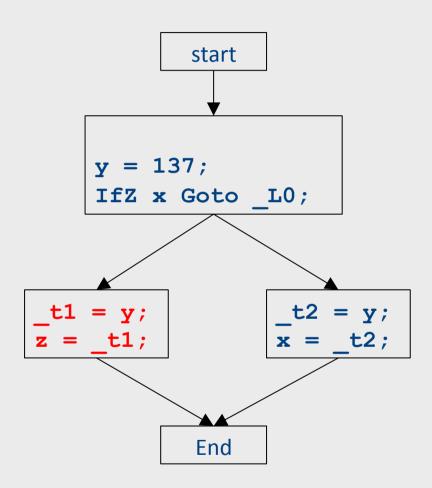
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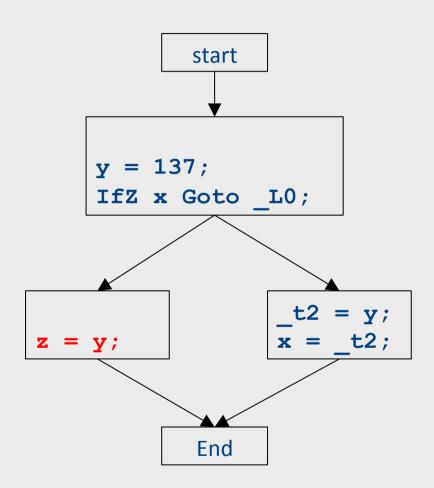
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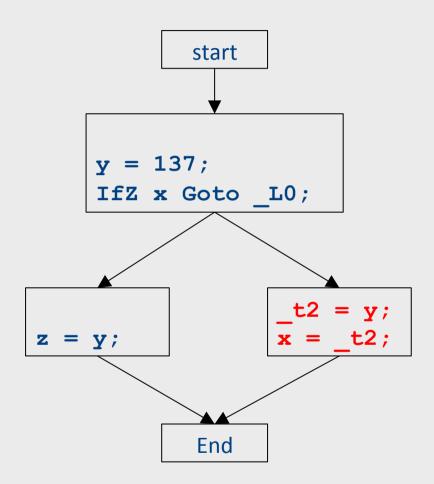
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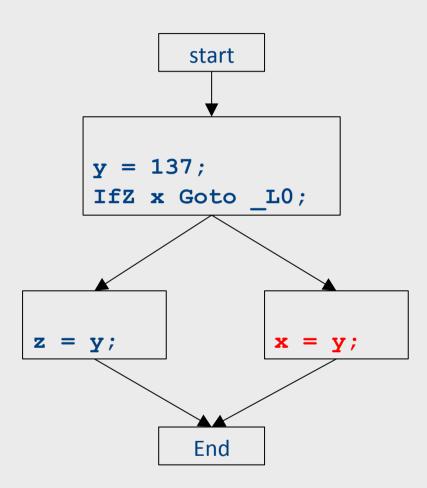
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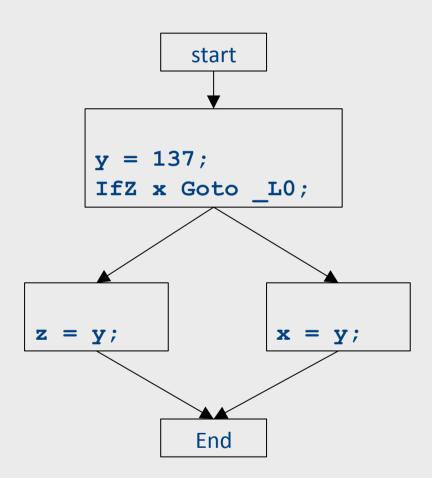
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```



# Global optimizations

```
int main() {
   int x;
   int y;
   int z;

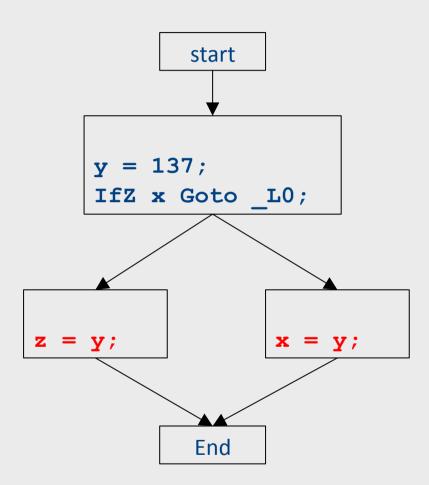
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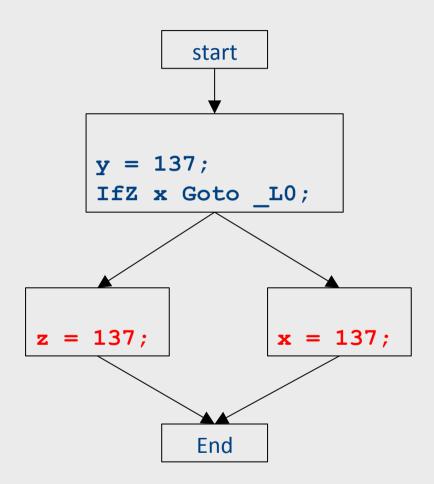
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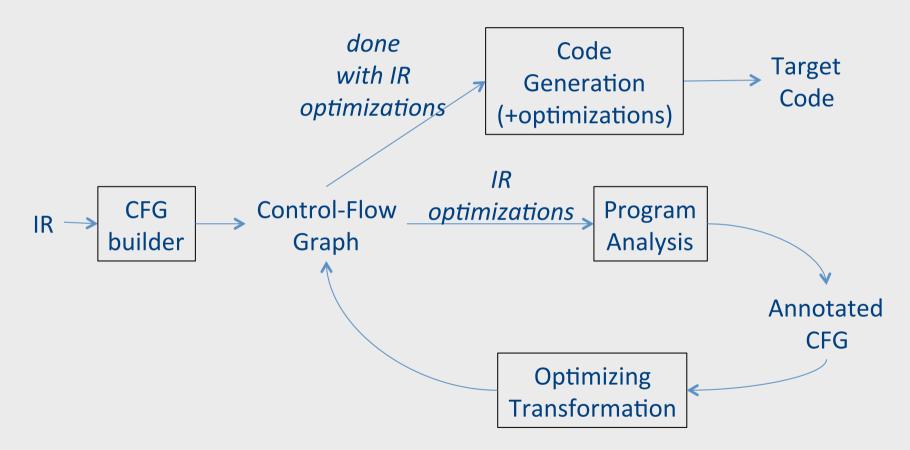
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}
```



#### Optimization path



#### Common subexpression elimination

```
Object x;
int a;
int b;
int c;

x = new Object;
a = 4;
c = a + b;
x.fn(a + b);
```

```
tmp0 = 4;
Push tmp0;
tmp1 = Call Alloc;
Pop tmp2;
*(tmp1) = tmp2;
x = tmp1;
tmp3 = 4;
a = tmp3;
tmp4 = a + b;
c = tmp4;
tmp5 = a + b;
tmp6 = *(x);
tmp7 = *(tmp6);
Push tmp5;
Push x;
Call tmp7;
```

#### Common subexpression elimination

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```

If we have two variable assignments
 v1 = a op b

... v2 = a op b

 and the values of v1, a, and b have not changed between the assignments, rewrite the code as v1 = a op b

... v2 = v1

- Eliminates useless recalculation
- Paves the way for later optimizations

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Push tmp1;
Call tmp7;
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```
tmp0 = 4;
Push tmp0;
tmp1 = Call Alloc;
Pop tmp2;
\star ( tmp1) = tmp2;
x = tmp1;
tmp3 = tmp0;
a = tmp0;
tmp4 = tmp0 + b;
c = tmp4;
tmp5 = c;
tmp6 = tmp2;
tmp7 = *(tmp2);
Push c;
Push tmp1;
Call _tmp7;
```

 If we have a variable assignment v1 = v2then as long as v1 and v2 are not reassigned, we can rewrite expressions of the form a = ... v1 ... as a = ... v2 ... provided that such a rewrite is legal

```
Object x;
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c = tmp4;
tmp5 = c;
tmp6 = tmp2;
tmp7 = *(tmp2);
Push c;
Push tmp1;
Call tmp7;
```

```
tmp0 = 4;
Object x;
                             Push tmp0;
int a;
                             tmp1 = Call Alloc;
int b;
            values
int c;
                             Pop tmp2;
            never
                             *(tmp1) = tmp2;
            read
                             x = tmp1;
x = new
                              tmp3 = tmp0;
Object;
a = 4;
                             a = tmp0;
c = a + b;
                             tmp4 = tmp0 + b;
                             c = tmp4;
x.fn(a + b);
                              tmp5 = c;
                              tmp6 = tmp2;
             values
                             tmp7 = *(tmp2);
             never
                             Push c;
             read
                             Push tmp1;
                             Call tmp7;
```

```
Object x;
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```
tmp0 = 4;
Push tmp0;
tmp1 = Call Alloc;
Pop tmp2;
*(tmp1) = tmp2;
tmp4 = tmp0 + b;
c = tmp4;
tmp7 = *(tmp2);
Push c;
Push tmp1;
Call tmp7;
```

- An assignment to a variable v is called dead if the value of that assignment is never read anywhere
- Dead code elimination removes dead assignments from IR
- Determining whether an assignment is dead depends on what variable is being assigned to and when it's being assigned

## Applying local optimizations

- The different optimizations we've seen so far all take care of just a small piece of the optimization
- Common subexpression elimination eliminates unnecessary statements
- Copy propagation helps identify dead code
- Dead code elimination removes statements that are no longer needed
- To get maximum effect, we may have to apply these optimizations numerous times

```
b = a * a;
c = a * a;
d = b + c;
e = b + b;
```

```
b = a * a;
c = a * a;
d = b + c;
e = b + b;
```

Which optimization should we apply here?

```
b = a * a;
c = b;
d = b + c;
e = b + b;
```

Which optimization should we apply here?

```
b = a * a;
c = b;
d = b + c;
e = b + b;
```

Which optimization should we apply here?

```
b = a * a;
c = b;
d = b + b;
e = b + b;
```

Which optimization should we apply here?

```
b = a * a;
c = b;
d = b + b;
e = b + b;
```

Which optimization should we apply here?

```
b = a * a;
c = b;
d = b + b;
e = d;
```

Which optimization should we apply here?

Common sub-expression elimination (again)

# Other types of local optimizations

- Arithmetic Simplification
  - Replace "hard" operations with easier ones
  - e.g. rewrite x = 4 \* a; as x = a << 2;
- Constant Folding
  - Evaluate expressions at compile-time if they have a constant value.
  - e.g. rewrite x = 4 \* 5; as x = 20;

## Optimizations and analyses

- Most optimizations are only possible given some analysis of the program's behavior
- In order to implement an optimization, we will talk about the corresponding program analyses

#### Available expressions

- Both common subexpression elimination and copy propagation depend on an analysis of the available expressions in a program
- An expression is called available if some variable in the program holds the value of that expression
- In common subexpression elimination, we replace an available expression by the variable holding its value
- In copy propagation, we replace the use of a variable by the available expression it holds

## Finding available expressions

- Initially, no expressions are available
- Whenever we execute a statementa = b op c:
  - Any expression holding a is invalidated
  - The expression a = b op c becomes available
- Idea: Iterate across the basic block, beginning with the empty set of expressions and updating available expressions at each variable

#### Available expressions example

```
a = b;
\{a=b\}
c = b;
{a = b, c = b}
d = a + b;
\{ a = b, c = b, d = a + b \}
e = a + b;
\{ a = b, c = b, d = a + b, e = a + b \}
d = b;
\{ a = b, c = b, d = b, e = a + b \}
f = a + b;
 \{ a = b, c = b, d = b, e = a + b, f = a + b \}
```

#### Common sub-expression elimination

```
{ }
a = b;
\{a=b\}
c = b;
{a = b, c = b}
d = a + b;
 \{ a = b, c = b, d = a + b \}
e = a + b;
\{ a = b, c = b, d = a + b, e = a + b \}
d = b;
 \{ a = b, c = b, d = b, e = a + b \}
f = a + b;
 \{ a = b, c = b, d = b, e = a + b, f = a + b \}
```

#### Common sub-expression elimination

```
{ }
a = b;
\{a=b\}
c = b;
{a = b, c = b}
d = a + b;
 \{ a = b, c = b, d = a + b \}
e = a + b;
\{ a = b, c = b, d = a + b, e = a + b \}
d = b;
 \{ a = b, c = b, d = b, e = a + b \}
f = a + b;
 \{ a = b, c = b, d = b, e = a + b, f = a + b \}
```

#### Common sub-expression elimination

```
{ }
a = b;
\{a=b\}
c = a;
{a = b, c = b}
d = a + b;
\{ a = b, c = b, d = a + b \}
e = d;
\{ a = b, c = b, d = a + b, e = a + b \}
d = a;
\{ a = b, c = b, d = b, e = a + b \}
f = e;
 {a = b, c = b, d = b, e = a + b, f = a + b}
```

#### Live variables

- The analysis corresponding to dead code elimination is called liveness analysis
- A variable is live at a point in a program if later in the program its value will be read before it is written to again
- Dead code elimination works by computing liveness for each variable, then eliminating assignments to dead variables

#### Computing live variables

- To know if a variable will be used at some point, we iterate across the statements in a basic block in reverse order
- Initially, some small set of values are known to be live (which ones depends on the particular program)
- When we see the statement a = b op c:
  - Just before the statement, a is not alive, since its value is about to be overwritten
  - Just before the statement, both b and c are alive, since we're about to read their values
  - (what if we have a = a + b?)

```
{ b }
            Liveness analysis
a = b;
{ a, b }
c = a;
{ a, b }
d = a + b;
{ a, b, d }
e = d;
{ a, b, e }
d = a;
{ b, d, e }
f = e;
 { b, d } - given
```

```
{ b }
      Dead Code Elimination
a = b;
{ a, b }
c = a;
{ a, b }
d = a + b;
{ a, b, d }
e = d;
{ a, b, e }
d = a;
{ b, d, e }
f = e;
 { b, d }
```

```
{ b }
      Dead Code Elimination
a = b;
{ a, b }
{ a, b }
d = a + b;
{ a, b, d }
e = d;
{ a, b, e }
d = a;
{ b, d, e }
 { b, d }
```

```
Liveness analysis II
```

```
{ a, b }
d = a + b;
{ a, b, d }
e = d;
{ a, b }
d = a;
{ b, d }
```

```
Liveness analysis II
```

```
{ a, b }
d = a + b;
{ a, b, d }
e = d;
{ a, b }
d = a;
{ b, d }
```

```
Dead code elimination
```

```
{ a, b }
d = a + b;
{ a, b, d }
e = d;
{ a, b }
d = a;
{ b, d }
```

```
{ b }
       Dead code elimination
a = b;
{ a, b }
d = a + b;
 { a, b, d }
{ a, b }
d = a;
{ b, d }
```

```
Liveness analysis III
```

```
{ a, b }
d = a + b;
```

```
Which statements are dead?
```

```
{ a, b }
d = a;
{ b, d }
```

```
Dead code elimination
```

```
{ a, b }
d = a + b;
```

```
Which statements are dead?
```

```
{ a, b }
d = a;
{ b, d }
```

```
Dead code elimination
a = b;
{ a, b }
{ a, b }
d = a;
```

{ b, d }

### Dead code elimination

a = b;

If we further apply copy propagation this statement can be eliminated too

$$d = a$$

- Start with initial live variables at end of block
- Traverse statements from end to beginning
- For each statement
  - If assigns to dead variables eliminate it
  - Otherwise, compute live variables before statement and continue in reverse

```
a = b;
c = a;
d = a + b;
e = d;
d = a;
f = e;
```

```
a = b;
c = a;
d = a + b;
e = d;
d = a;
f = e;
 { b, d }
```

```
a = b;
c = a;
d = a + b;
e = d;
d = a;
f = e;
 { b, d }
```

```
a = b;
c = a;
d = a + b;
e = d;
d = a;
 { b, d }
```

```
a = b;
c = a;
d = a + b;
e = d;
{ a, b }
d = a;
 { b, d }
```

```
a = b;
c = a;
d = a + b;
e = d;
{ a, b }
d = a;
 { b, d }
```

```
a = b;
c = a;
d = a + b;
{ a, b }
d = a;
 { b, d }
```

```
a = b;
c = a;
d = a + b;
{ a, b }
d = a;
 { b, d }
```

```
{ a, b }
d = a;
```

a = b;

{ b, d }

```
{ a, b }
d = a;
```

a = b;

{ b, d }

```
{ a, b } d = a;
```

a = b;

```
A combined algorithm
```

```
{ a, b }
d = a;

{ b, d }
```

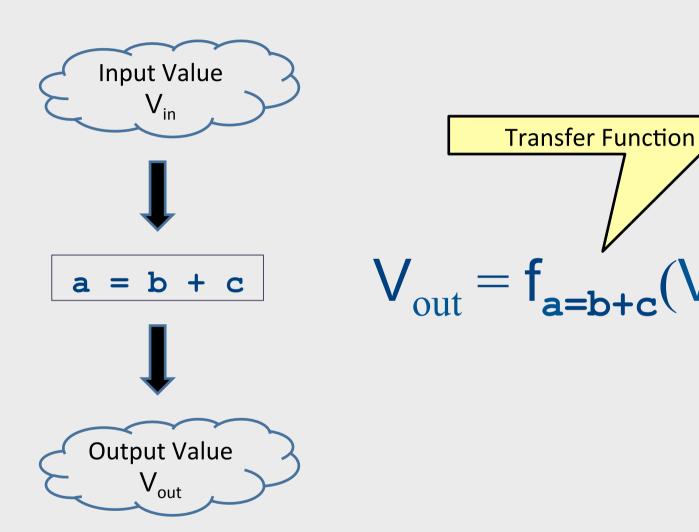
# a = b; A combined algorithm

d = a

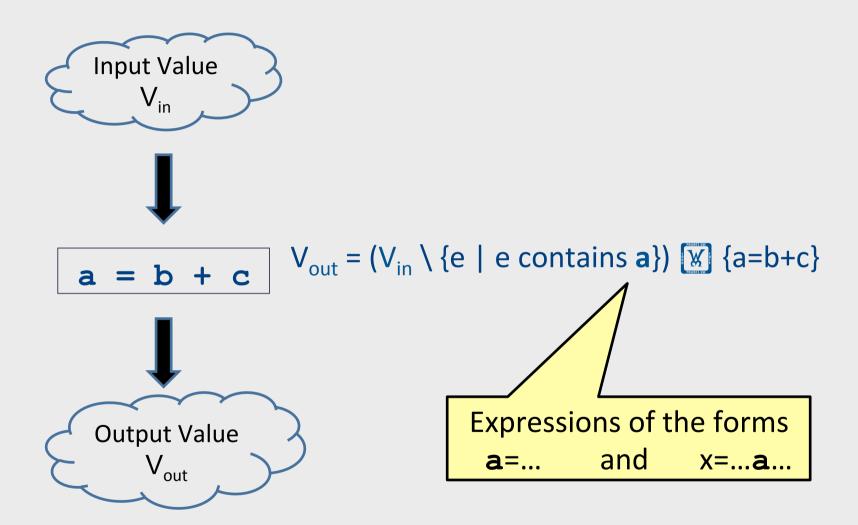
#### High-level goals

- Generalize analysis mechanism
  - Reuse common ingredients for many analyses
  - Reuse proofs of correctness
- Generalize from basic blocks to entire CFGs
  - Go from local optimizations to global optimizations

#### Formalizing local analyses



#### **Available Expressions**



#### Live Variables





$$a = b + c$$



$$V_{in} = (V_{out} \setminus \{a\})$$
  $\{b,c\}$ 

#### Information for a local analysis

- What direction are we going?
  - Sometimes forward (available expressions)
  - Sometimes backward (liveness analysis)
- How do we update information after processing a statement?
  - What are the new semantics?
  - What information do we know initially?

#### Formalizing local analyses

- Define an analysis of a basic block as a quadruple (D, V, F, I) where
  - D is a direction (forwards or backwards)
  - V is a set of values the program can have at any point
  - F is a family of transfer functions defining the meaning of any expression as a function f : V X V
  - I is the initial information at the top (or bottom) of a basic block

#### **Available Expressions**

- **Direction:** Forward
- Values: Sets of expressions assigned to variables
- **Transfer functions:** Given a set of variable assignments V and statement a = b + c:
  - Remove from V any expression containing a as a subexpression
  - Add to V the expression a = b + c
  - Formally:  $V_{out} = (V_{in} \setminus \{e \mid e \text{ contains } a\})$  [X]  $\{a = b + c\}$
- Initial value: Empty set of expressions

#### Liveness Analysis

- **Direction:** Backward
- Values: Sets of variables
- Transfer functions: Given a set of variable assignments V and statement a = b + c:
- Remove a from V (any previous value of a is now dead.)
- Add b and c to V (any previous value of b or c is now live.)
- Formally:  $V_{in} = (V_{out} \setminus \{a\}) \ \ \ \ \ \{b,c\}$
- Initial value: Depends on semantics of language
  - E.g., function arguments and return values (pushes)
  - Result of local analysis of other blocks as part of a global analysis

### Running local analyses

- Given an analysis (D, V, F, I) for a basic block
- Assume that **D** is "forward;" analogous for the reverse case
- Initially, set OUT[entry] to I
- For each statement **s**, in order:
  - Set IN[s] to OUT[prev], where prev is the previous statement
  - Set OUT[s] to f<sub>s</sub>(IN[s]), where f<sub>s</sub> is the transfer function for statement s

# **Global Optimizations**

### Global analysis

- A global analysis is an analysis that works on a control-flow graph as a whole
- Substantially more powerful than a local analysis
  - (Why?)
- Substantially more complicated than a local analysis
  - (Why?)

### Local vs. global analysis

- Many of the optimizations from local analysis can still be applied globally
  - Common sub-expression elimination
  - Copy propagation
  - Dead code elimination
- Certain optimizations are possible in global analysis that aren't possible locally:
  - e.g. code motion: Moving code from one basic block into another to avoid computing values unnecessarily
- Example global optimizations:
  - Global constant propagation
  - Partial redundancy elimination

#### Loop invariant code motion example

```
while (t < 120) {
    z = z + x - y;
}

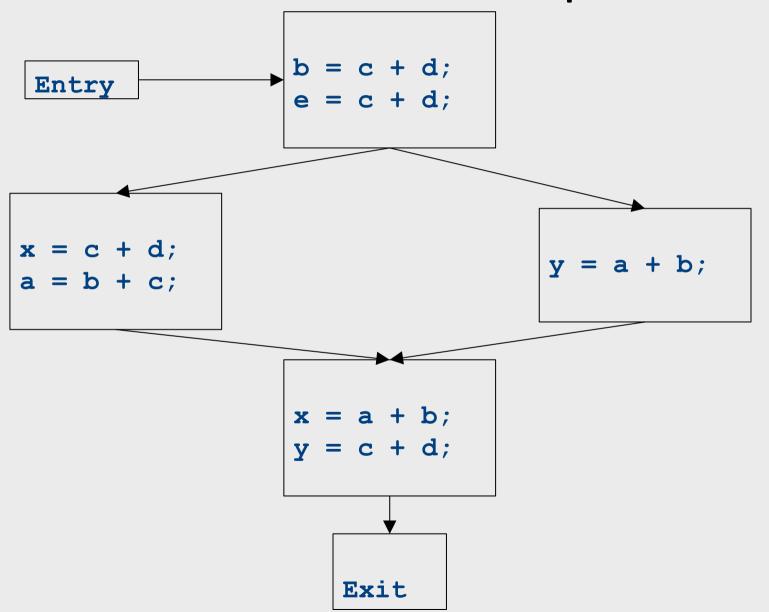
value of expression x - y is
    not changed by loop body</pre>
```

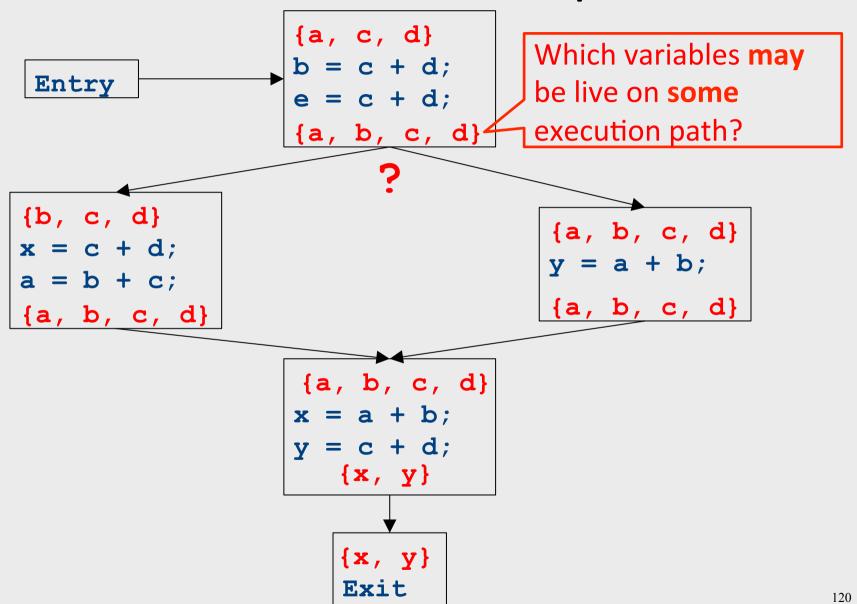
#### Why global analysis is hard

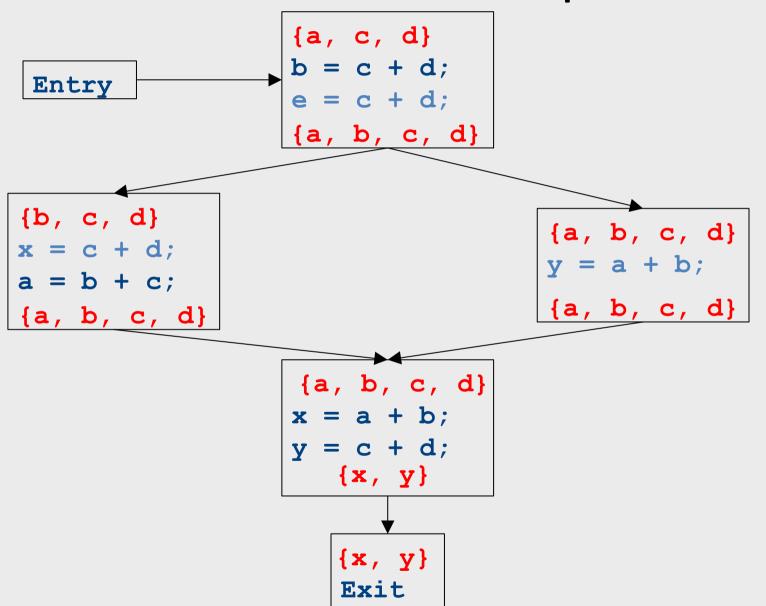
- Need to be able to handle multiple predecessors/successors for a basic block
- Need to be able to handle multiple paths through the control-flow graph, and may need to iterate multiple times to compute the final value (but the analysis still needs to terminate!)
- Need to be able to assign each basic block a reasonable default value for before we've analyzed it

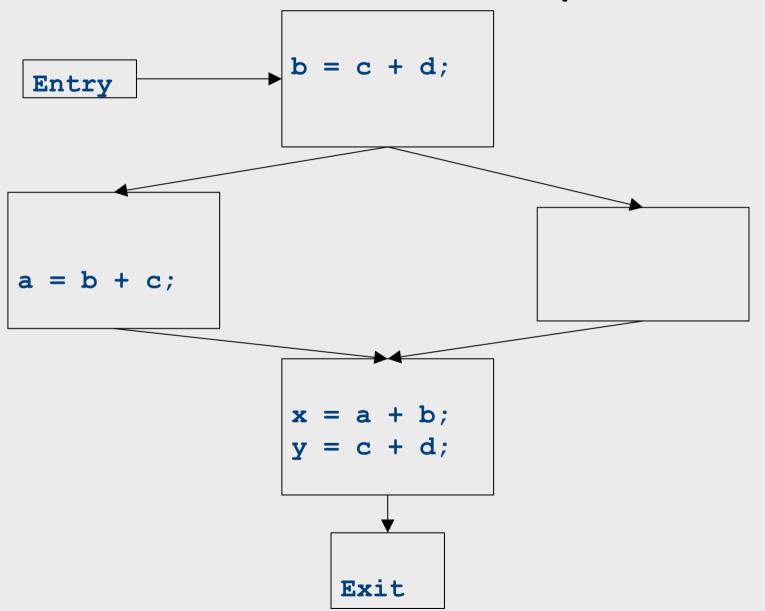
#### Global dead code elimination

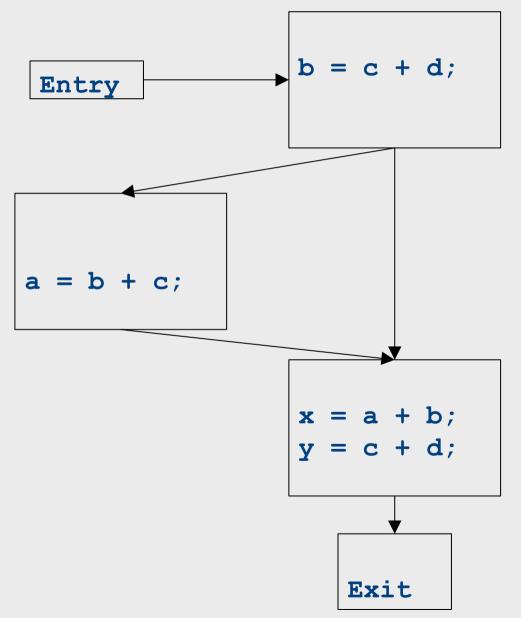
- Local dead code elimination needed to know what variables were live on exit from a basic block
- This information can only be computed as part of a global analysis
- How do we modify our liveness analysis to handle a CFG?





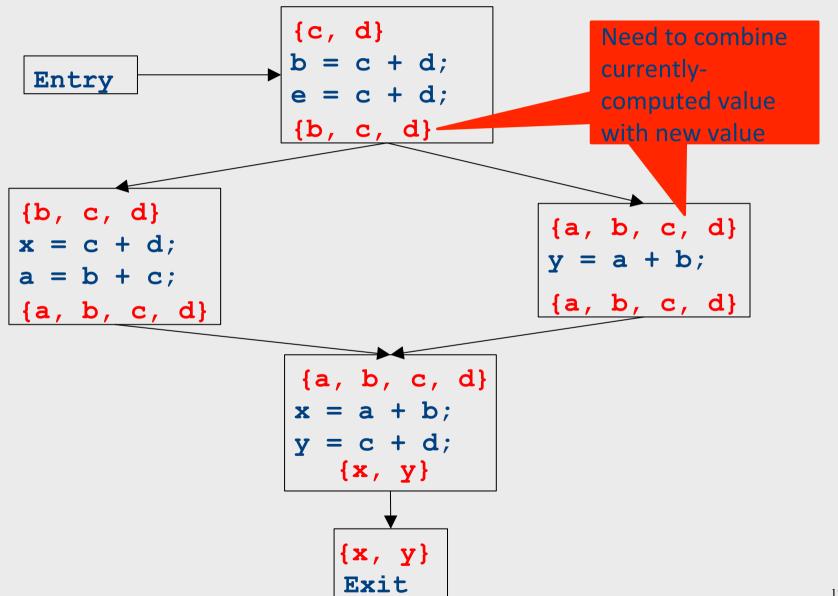


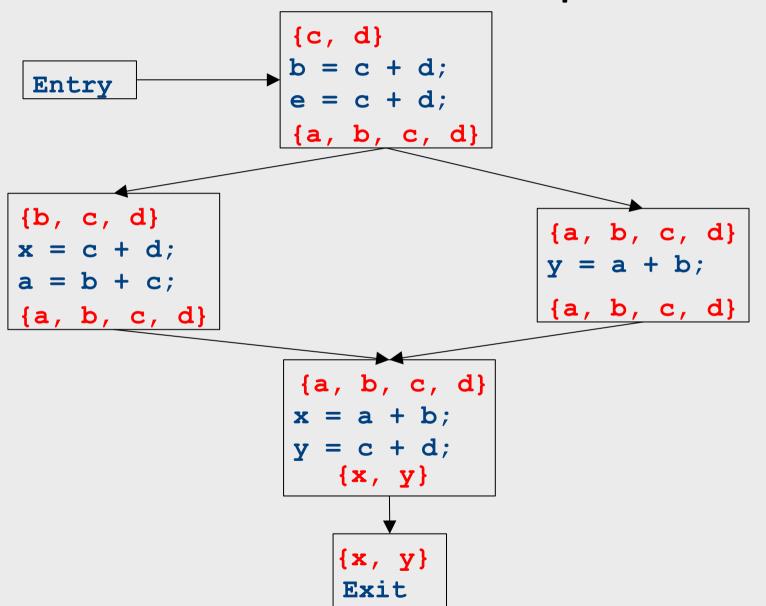


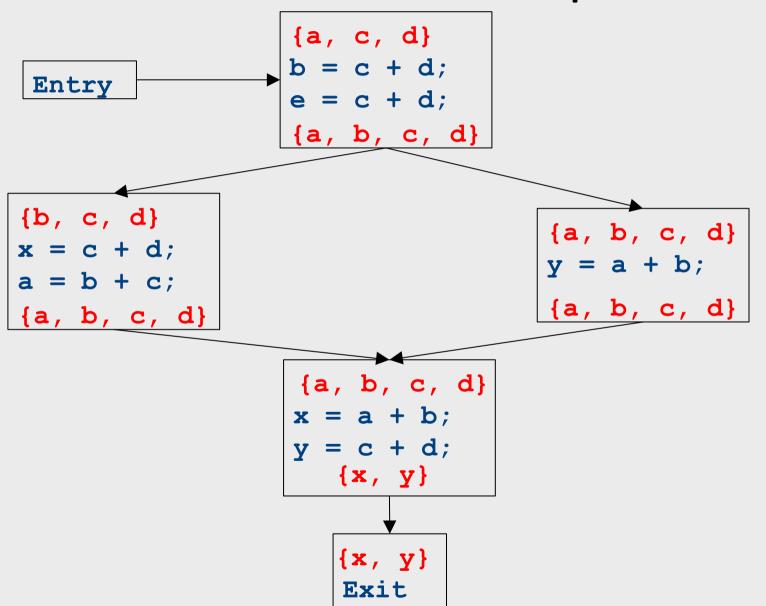


### Major changes – part 1

- In a local analysis, each statement has exactly one predecessor
- In a global analysis, each statement may have multiple predecessors
- A global analysis must have some means of combining information from all predecessors of a basic block



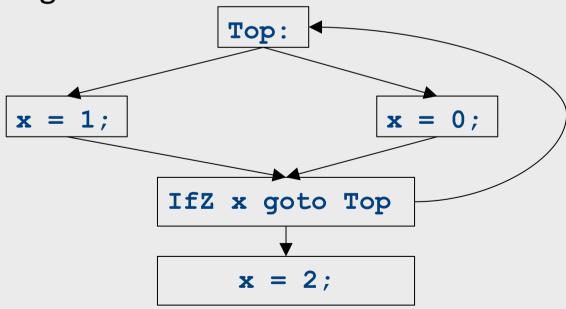




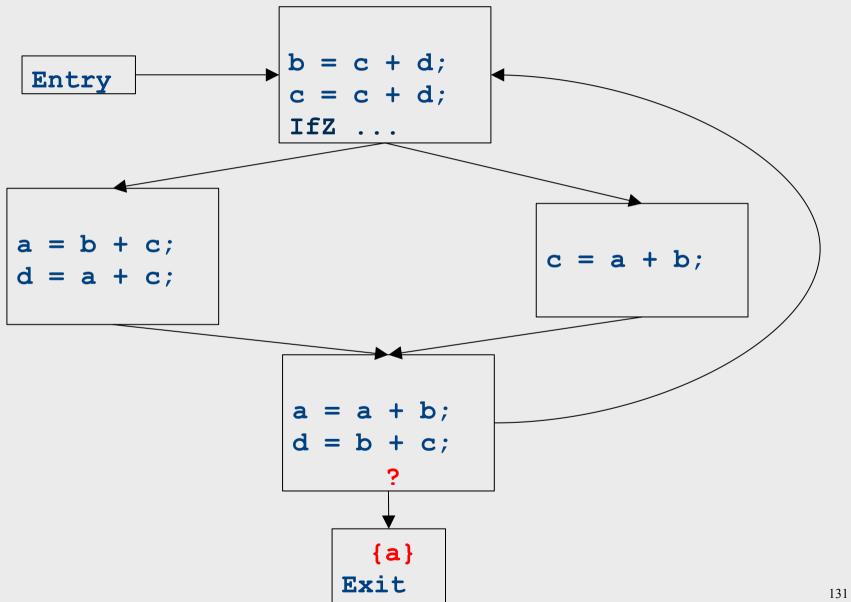
### Major changes – part 2

- In a local analysis, there is only one possible path through a basic block
- In a global analysis, there may be many paths through a CFG
- May need to recompute values multiple times as more information becomes available
- Need to be careful when doing this not to loop infinitely!
  - (More on that later)

- Up to this point, we've considered loop-free CFGs, which have only finitely many possible paths
- When we add loops into the picture, this is no longer true
- Not all possible loops in a CFG can be realized in the actual program



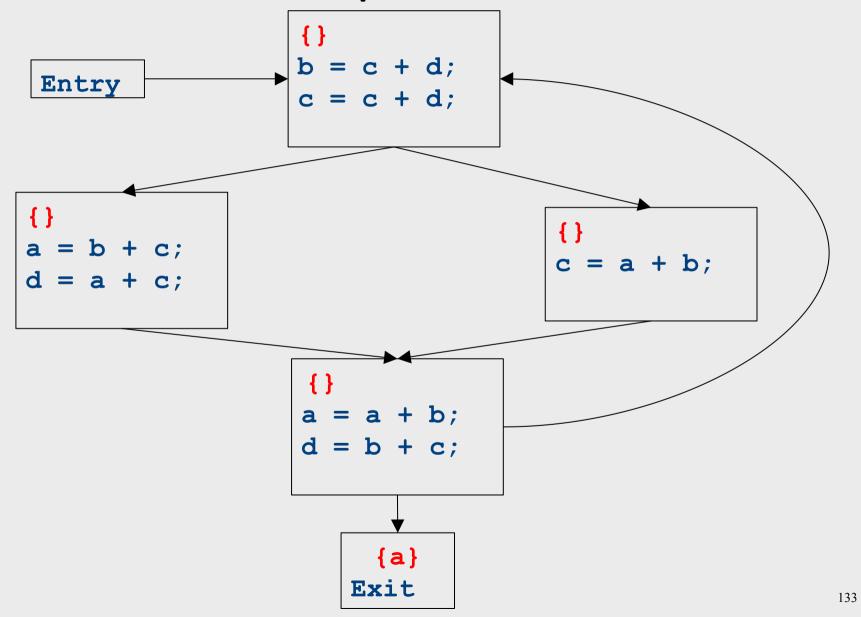
- Up to this point, we've considered loop-free CFGs, which have only finitely many possible paths
- When we add loops into the picture, this is no longer true
- Not all possible loops in a CFG can be realized in the actual program
- Sound approximation: Assume that every possible path through the CFG corresponds to a valid execution
  - Includes all realizable paths, but some additional paths as well
  - May make our analysis less precise (but still sound)
  - Makes the analysis feasible; we'll see how later

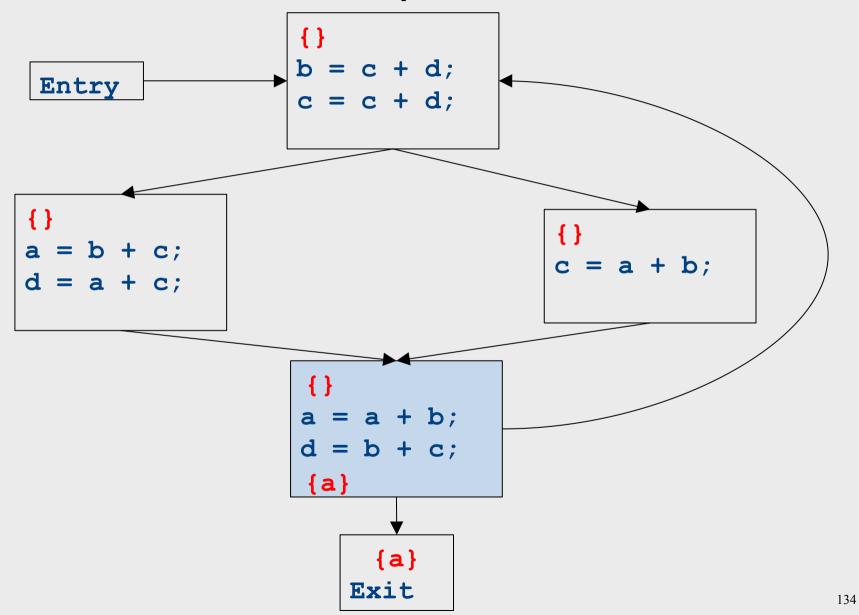


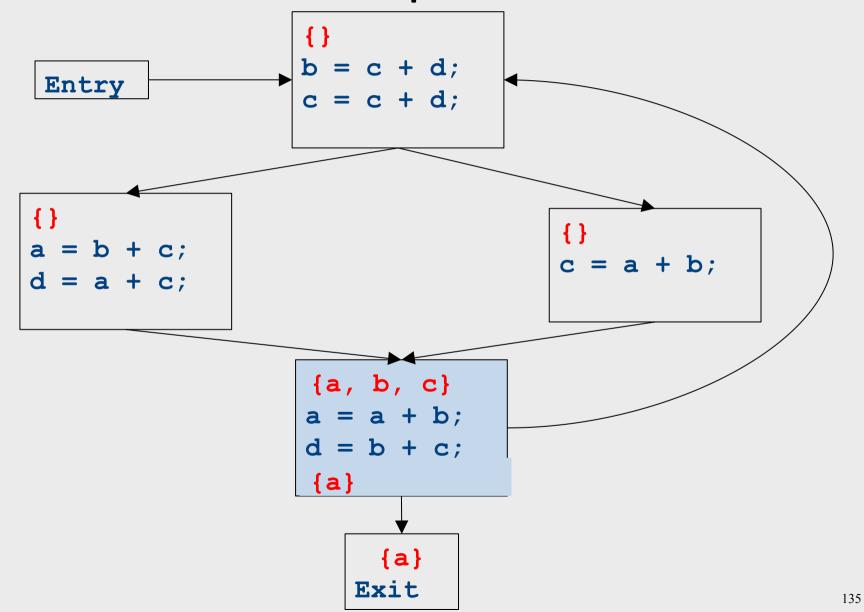
#### Major changes – part 3

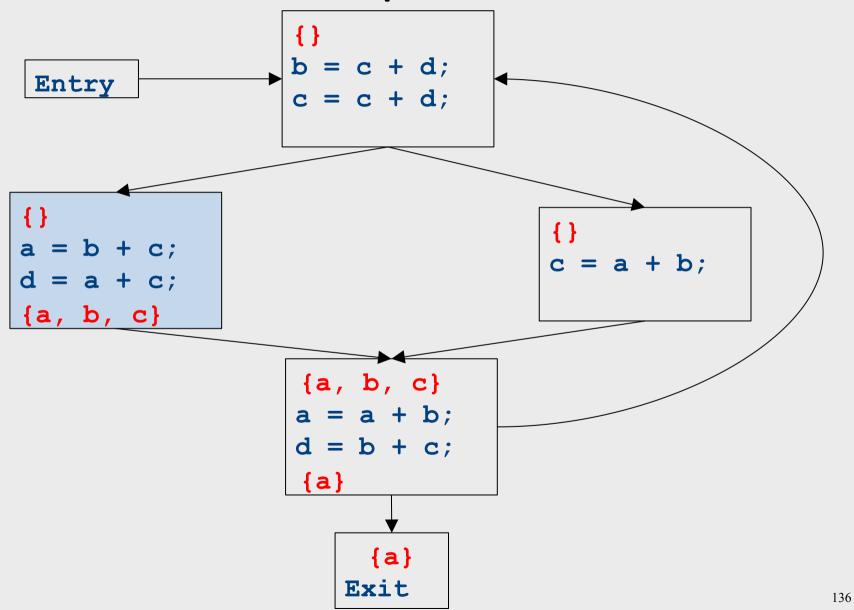
- In a local analysis, there is always a well defined "first" statement to begin processing
- In a global analysis with loops, every basic block might depend on every other basic block
- To fix this, we need to assign initial values to all of the blocks in the CFG

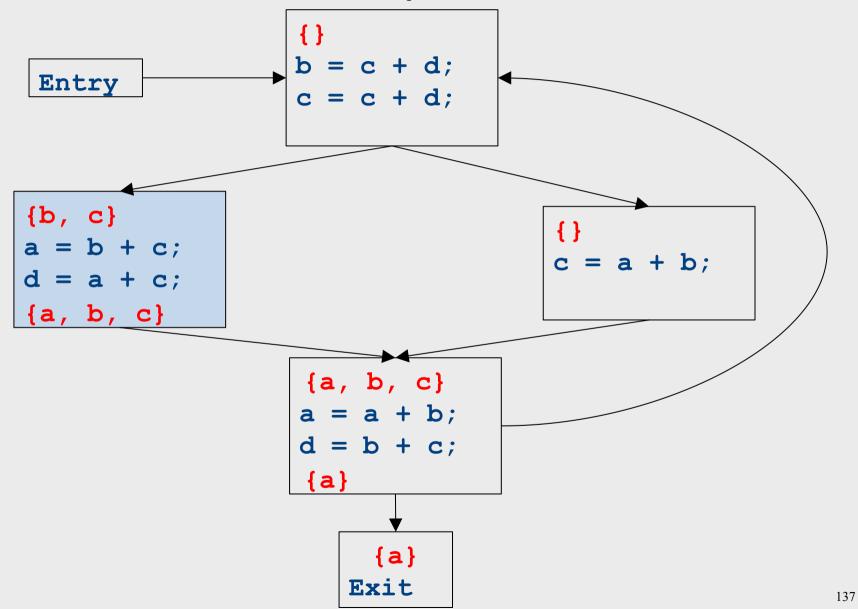
## CFGs with loops - initialization

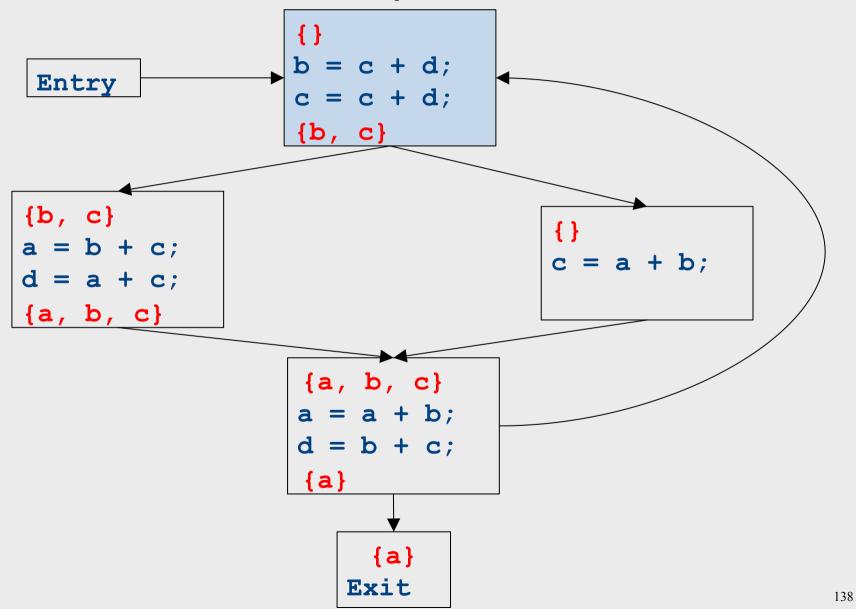


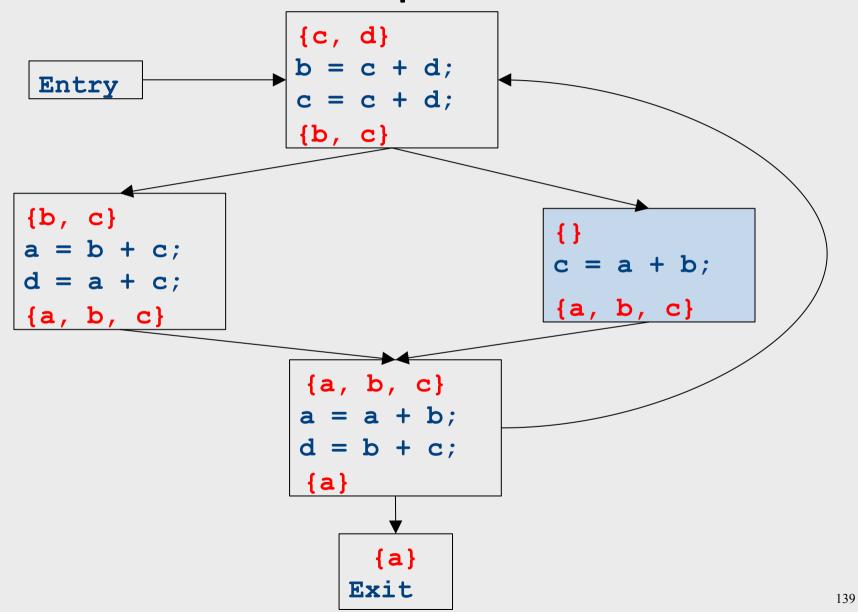


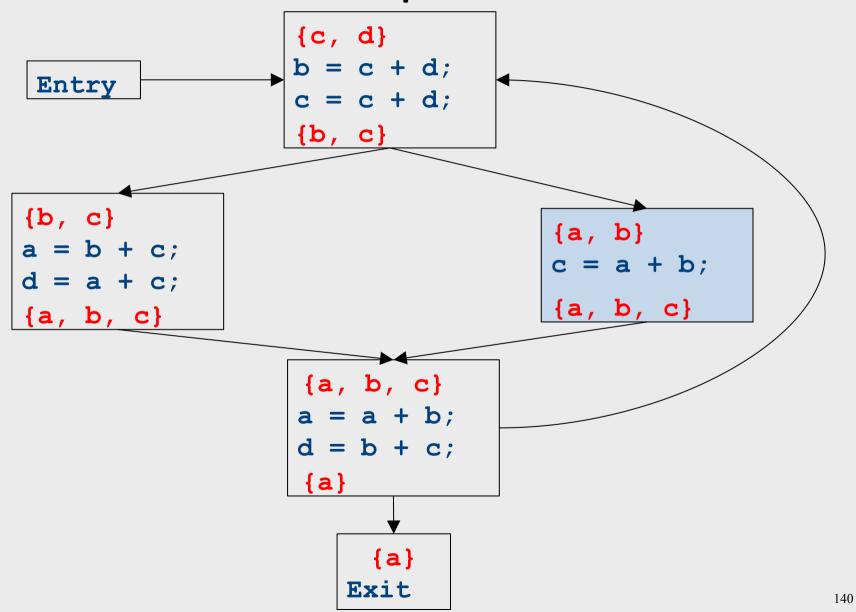


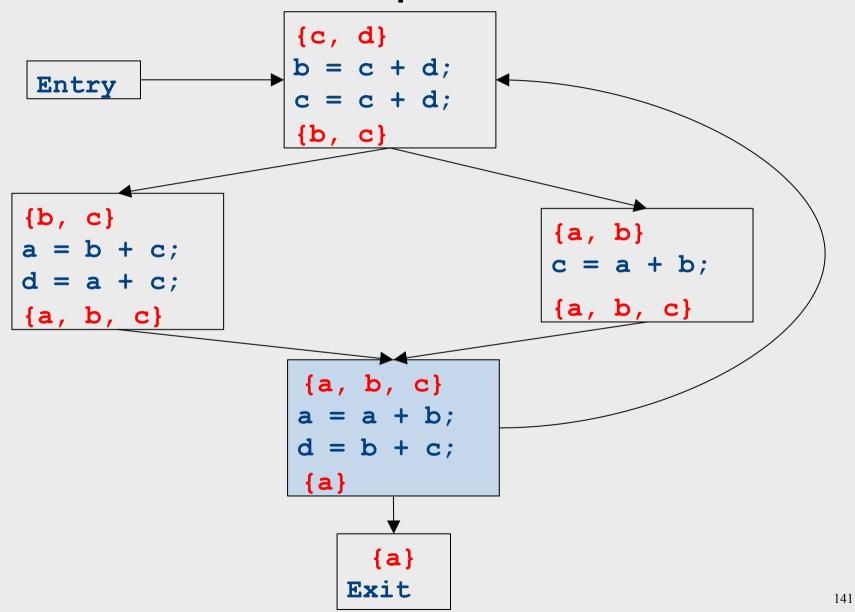


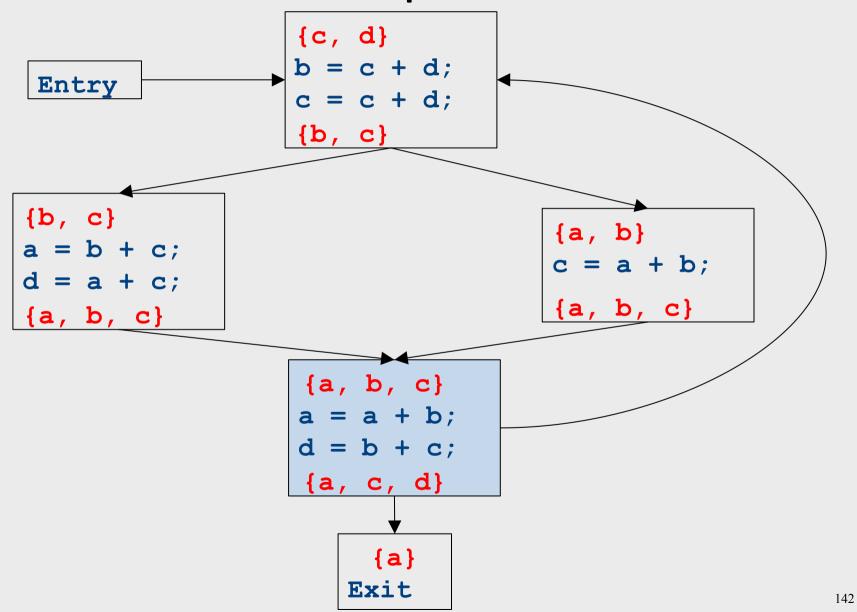


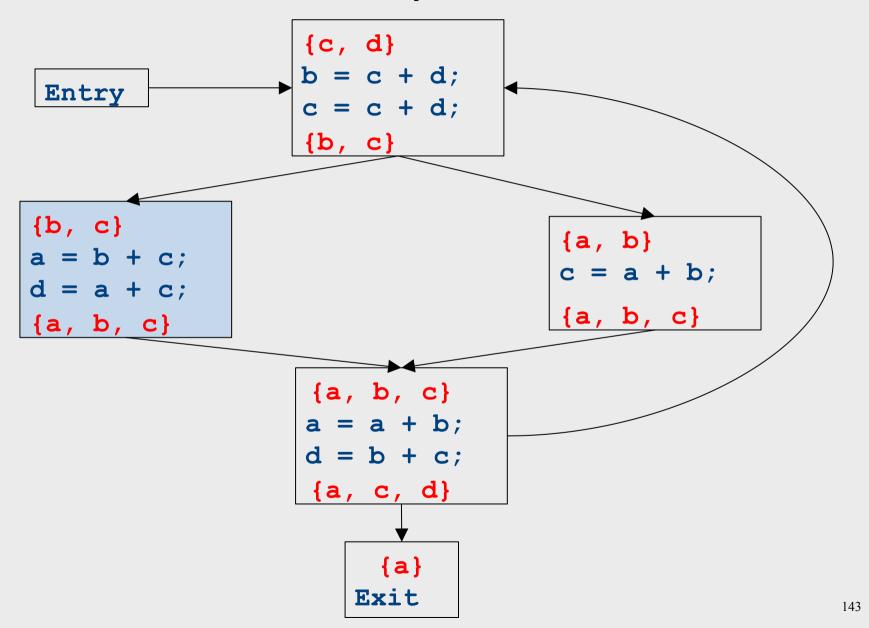


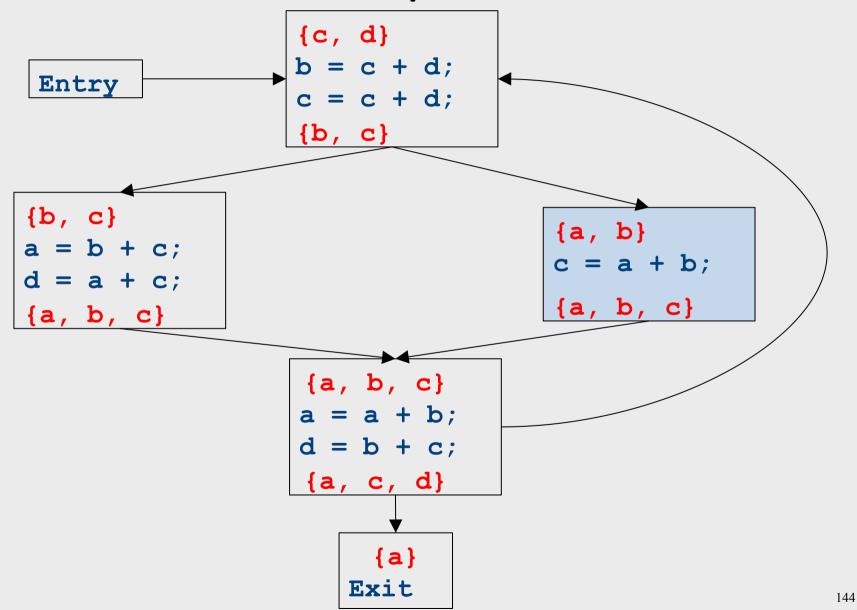


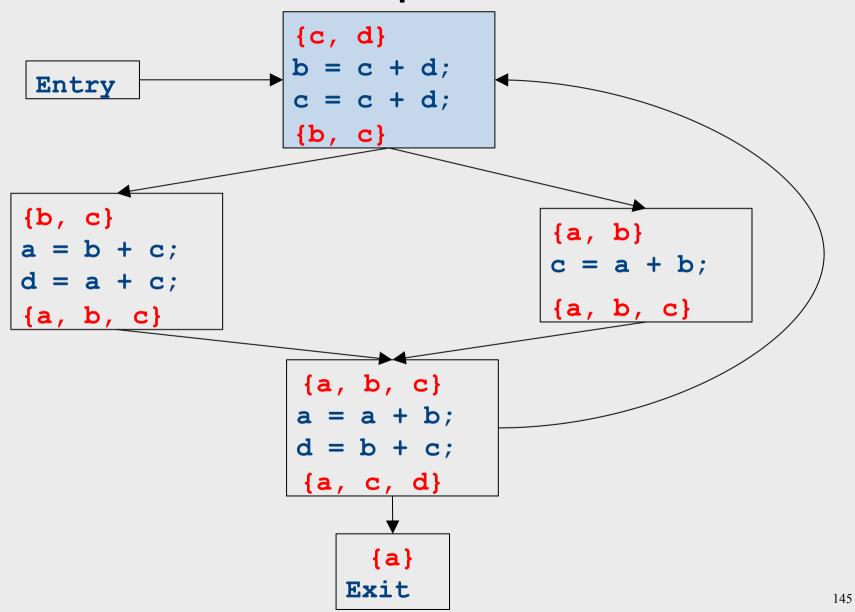


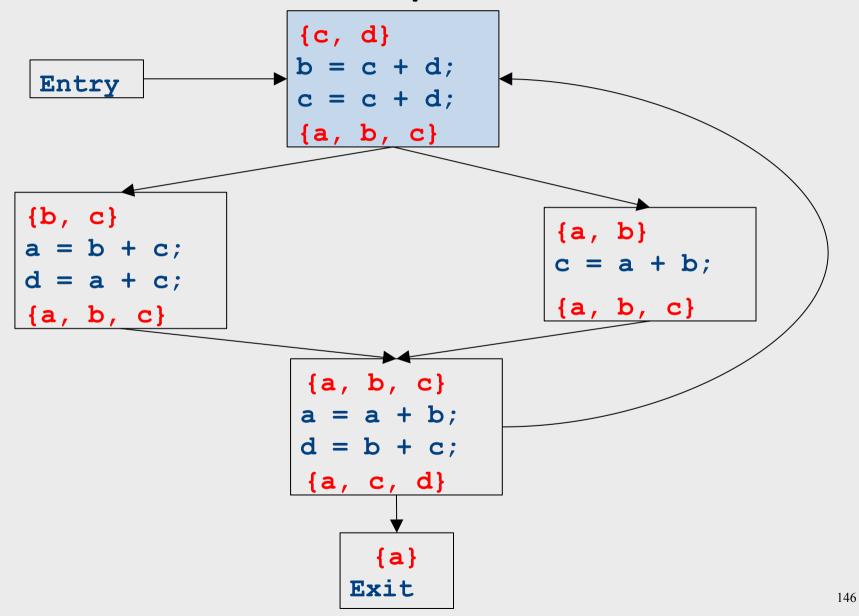


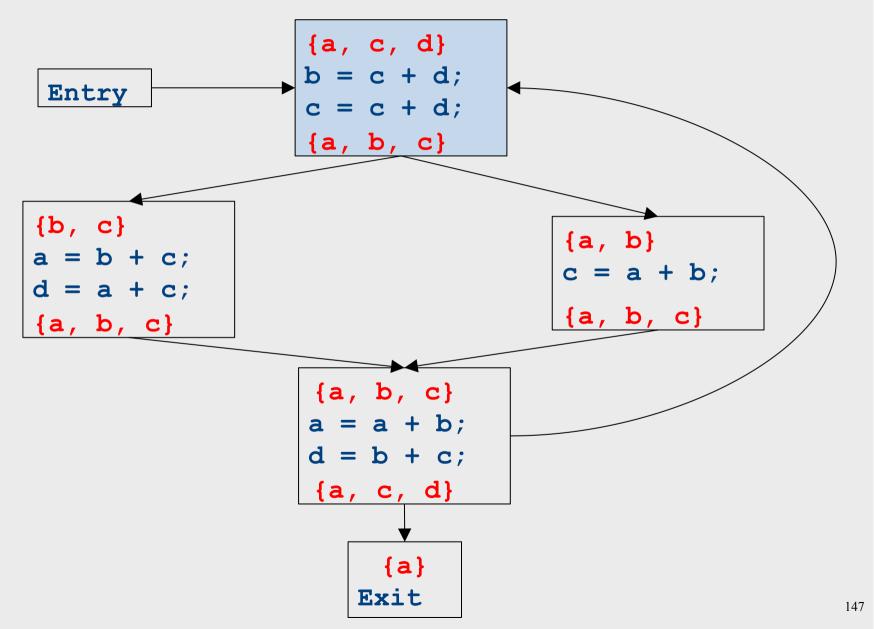


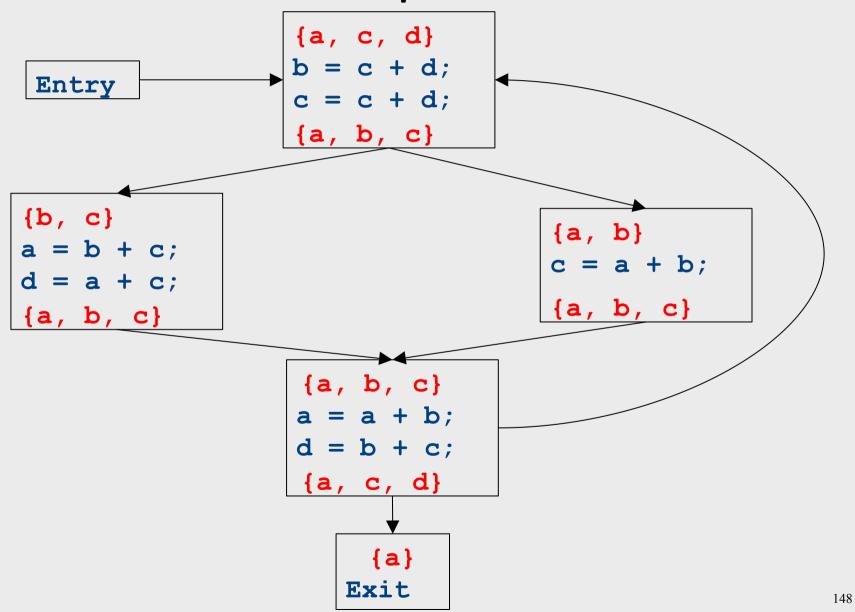












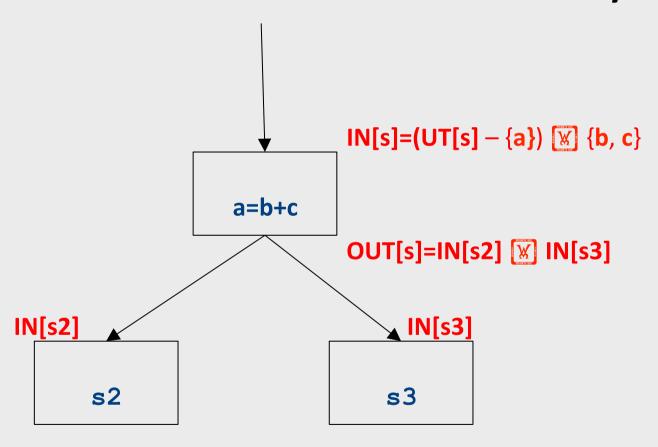
# Summary of differences

- Need to be able to handle multiple predecessors/successors for a basic block
- Need to be able to handle multiple paths through the control-flow graph, and may need to iterate multiple times to compute the final value
  - But the analysis still needs to terminate!
- Need to be able to assign each basic block a reasonable default value for before we've analyzed it

# Global liveness analysis

- Initially, set IN[s] = { } for each statement s
- Set IN[exit] to the set of variables known to be live on exit (language-specific knowledge)
- Repeat until no changes occur:
  - For each statement s of the form a = b + c, in any order you'd like:
    - Set OUT[s] to set union of IN[p] for each successor p of s
    - Set IN[s] to (OUT[s] − a) [₩] {b, c}.
- Yet another fixed-point iteration!

# Global liveness analysis



# Why does this work?

- To show correctness, we need to show that
  - The algorithm eventually terminates, and
  - When it terminates, it has a sound answer
- Termination argument:
  - Once a variable is discovered to be live during some point of the analysis, it always stays live
  - Only finitely many variables and finitely many places where a variable can become live
- Soundness argument (sketch):
  - Each individual rule, applied to some set, correctly updates liveness in that set
  - When computing the union of the set of live variables, a variable is only live if it was live on some path leaving the statement

# **Abstract Interpretation**

Theoretical foundations of program analysis

Cousot and Cousot 1977

- Abstract meaning of programs
  - Executed at compile time

# Another view of local optimization

- In local optimization, we want to reason about some property of the runtime behavior of the program
- Could we run the program and just watch what happens?
- Idea: Redefine the semantics of our programming language to give us information about our analysis

### Properties of local analysis

- The only way to find out what a program will actually do is to run it
- Problems:
  - The program might not terminate
  - The program might have some behavior we didn't see when we ran it on a particular input
- However, this is not a problem inside a basic block
  - Basic blocks contain no loops
  - There is only one path through the basic block

### Assigning new semantics

- Example: Available Expressions
- Redefine the statement a = b + c to mean
   "a now holds the value of b + c, and any
   variable holding the value a is now invalid"
- Run the program assuming these new semantics
- Treat the optimizer as an interpreter for these new semantics

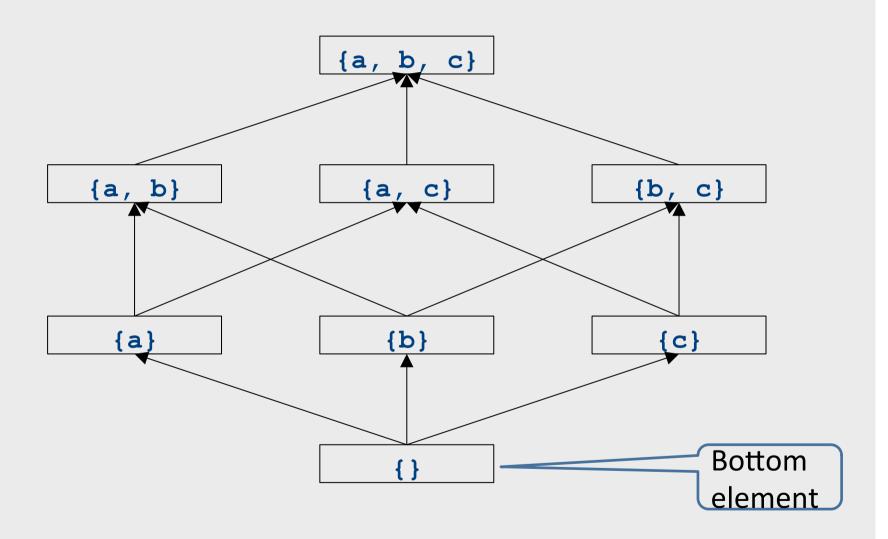
# Theory to the rescue

- Building up all of the machinery to design this analysis was tricky
- The key ideas, however, are mostly independent of the analysis:
  - We need to be able to compute functions describing the behavior of each statement
  - We need to be able to merge several subcomputations together
  - We need an initial value for all of the basic blocks
- There is a beautiful formalism that captures many of these properties

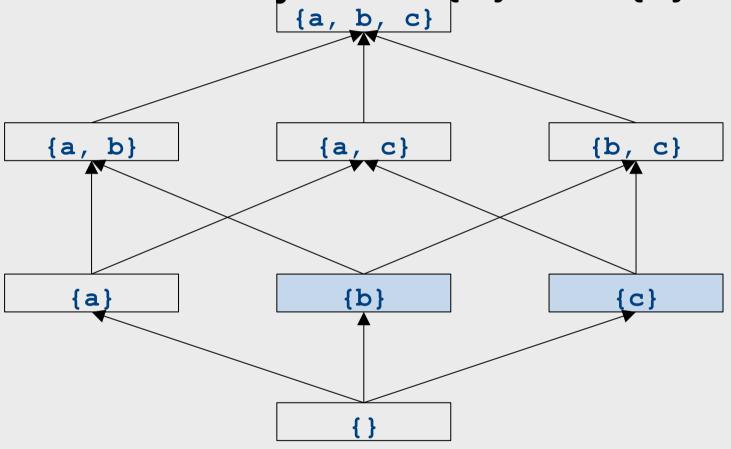
#### Join semilattices

- A join semilattice is a ordering defined on a set of elements
- Any two elements have some join that is the smallest element larger than both elements
- There is a unique bottom element, which is smaller than all other elements
- Intuitively:
  - The join of two elements represents combining information from two elements by an overapproximation
- The bottom element represents "no information yet" or "the least conservative possible answer"

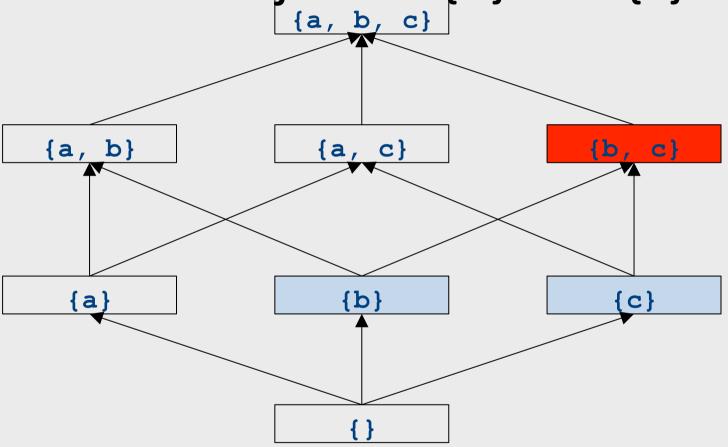
### Join semilattice for liveness



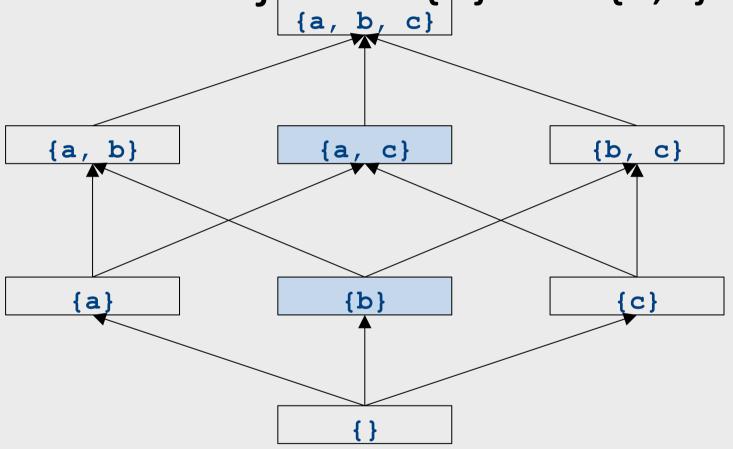
What is the join of {b} and {c}?



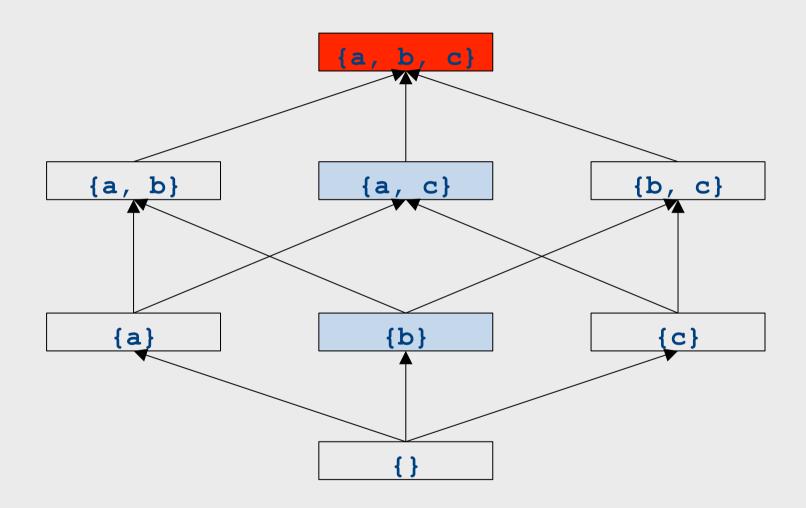
What is the join of {b} and {c}?



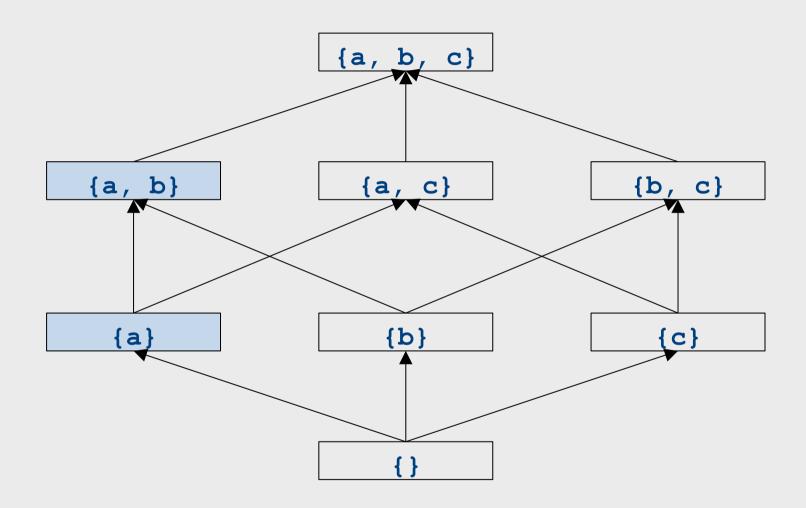
What is the join of {b} and {a,c}?



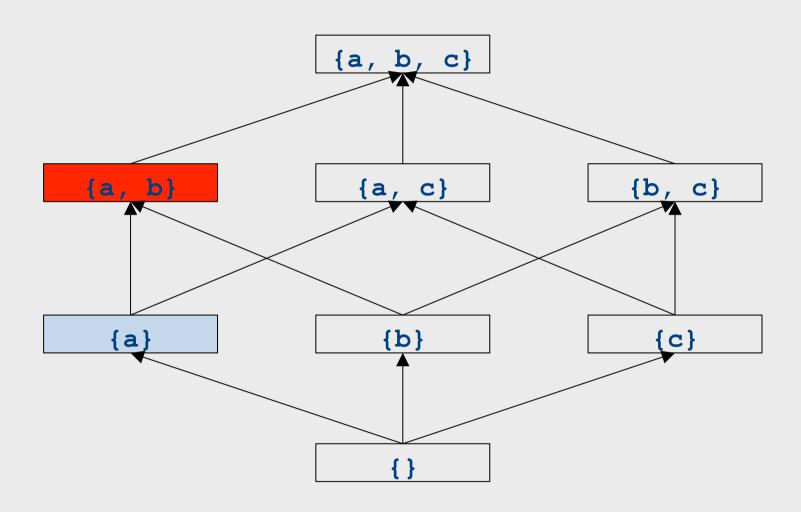
# What is the join of {b} and {a,c}?



# What is the join of {a} and {a,b}?



# What is the join of {a} and {a,b}?

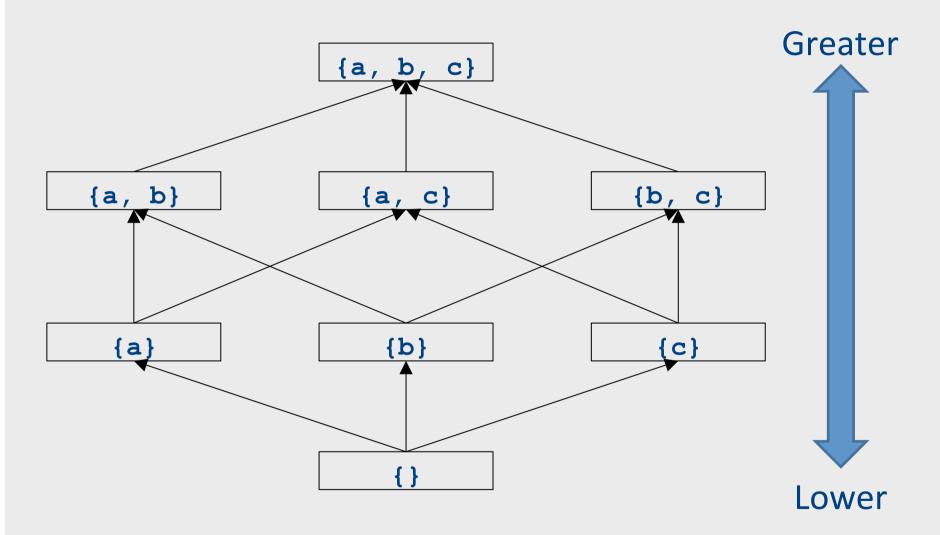


#### Formal definitions

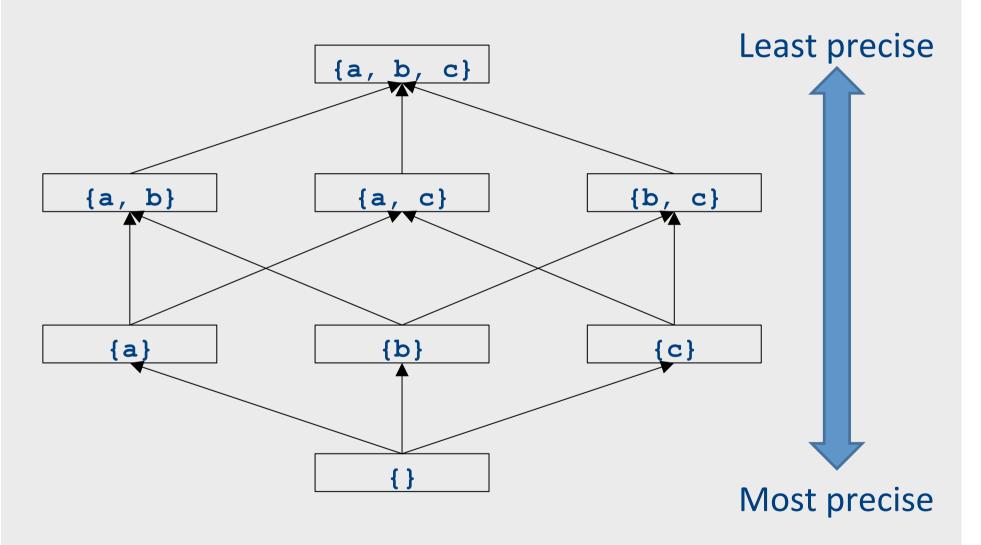
- A join semilattice is a pair (V, [X]), where
- V is a domain of elements
- W is a join operator that is
  - commutative:  $x \times y = y \times x$

  - idempotent:  $x \times x = x$
- If x ⋈ y = z, we say that z is the join or (least upper bound) of x and y
- Every join semilattice has a bottom element denoted [x] such that [x] [x] x = x for all x

# Join semilattices and ordering



# Join semilattices and ordering



# Join semilattices and orderings

- Every join semilattice (V, (W)) induces an ordering relationship (W) over its elements
- Define  $x \times y$  iff  $x \times y = y$
- Need to prove
  - − Reflexivity: x [¥] x
  - Antisymmetry: If  $x \times y$  and  $y \times x$ , then x = y
  - Transitivity: If x ⋈ y and y ⋈ z, then x ⋈ z

# An example join semilattice

- The set of natural numbers and the max function
- Idempotent
  - $\max\{a, a\} = a$
- Commutative
  - $\max\{a, b\} = \max\{b, a\}$
- Associative
  - $\max\{a, \max\{b, c\}\} = \max\{\max\{a, b\}, c\}$
- Bottom element is 0:
  - $\max\{0, a\} = a$
- What is the ordering over these elements?

## A join semilattice for liveness

- Sets of live variables and the set union operation
- Idempotent:

$$- \times \times \times \times = \times$$

• Commutative:

$$- x \times y = y \times x$$

Associative:

$$- (x \times y) \times z = x \times (y \times z)$$

- Bottom element:
  - The empty set:  $\emptyset \times x = x$
- What is the ordering over these elements?

# Semilattices and program analysis

- Semilattices naturally solve many of the problems we encounter in global analysis
- How do we combine information from multiple basic blocks?
- What value do we give to basic blocks we haven't seen yet?
- How do we know that the algorithm always terminates?

# Semilattices and program analysis

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  - Actually, we still don't! More on that later

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  - Use the bottom element
- How do we know that the algorithm always terminates?
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### A general framework

- A global analysis is a tuple (**D**, **V**, **W**, **F**, **I**), where
  - D is a direction (forward or backward)
    - The order to visit statements within a basic block, not the order in which to visit the basic blocks
  - V is a set of values
  - is a join operator over those values
  - F is a set of transfer functions f : V ⋈ V
  - I is an initial value
- The only difference from local analysis is the introduction of the join operator

## Running global analyses

- Assume that (D, V, W, F, I) is a forward analysis
- Set OUT[s] = [w] for all statements s
- Set OUT[entry] = I
- Repeat until no values change:
  - For each statement s with predecessors

```
p<sub>1</sub>, p<sub>2</sub>, ... , p<sub>n</sub>:
```

- Set  $IN[s] = OUT[p_1]$  W  $OUT[p_2]$  W ... W  $OUT[p_n]$
- Set OUT[s] = f<sub>s</sub> (IN[s])
- The order of this iteration does not matter
  - This is sometimes called chaotic iteration

## For comparison

- Set OUT[s] = W for all statements s
- Set OUT[entry] = I
- Repeat until no values change:
  - For each statement s
     with predecessors

- Set IN[s] = OUT[p₁] ☒
   OUT[p₂] ☒ ... ☒
   OUT[pn]
- Set OUT[s] = f<sub>s</sub> (IN[s])

- Set IN[s] = {} for all statements s
- Set OUT[exit] = the set of variables known to be live on exit
- Repeat until no values change:
  - For each statement s of the form a=b+c:
    - Set OUT[s] = set union of IN[x] for each successor x of s
    - Set IN[s] = (OUT[s]-{a}) 🕱 {b,c}

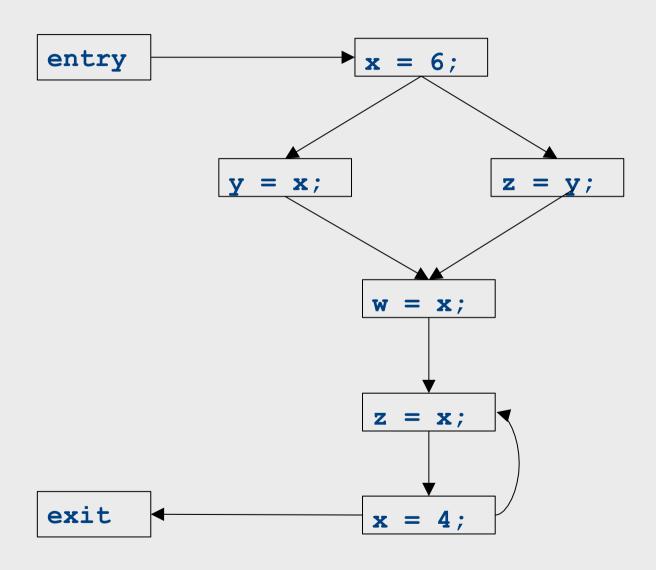
#### The dataflow framework

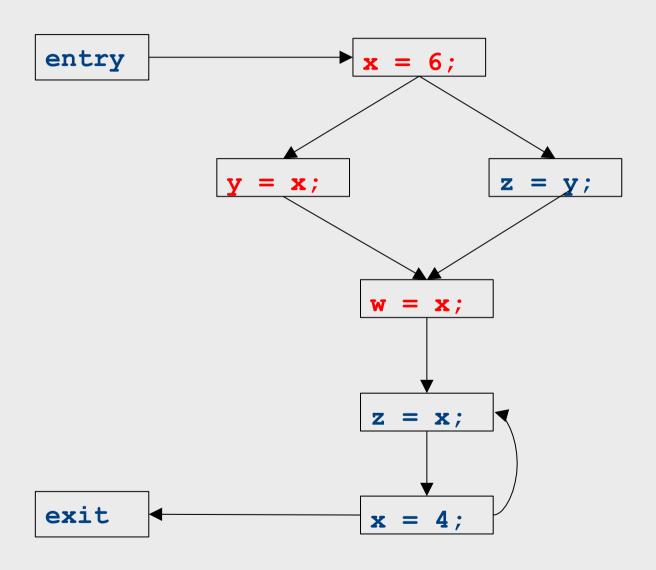
- This form of analysis is called the dataflow framework
- Can be used to easily prove an analysis is sound
- With certain restrictions, can be used to prove that an analysis eventually terminates
  - Again, more on that later

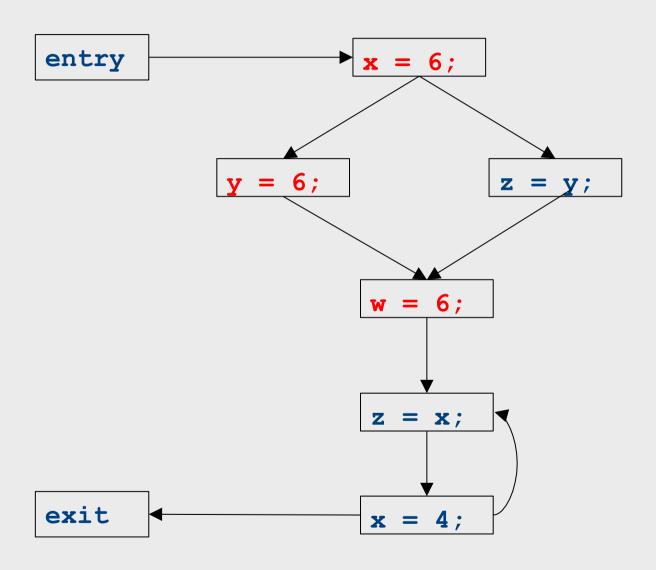
# Global constant propagation

- Constant propagation is an optimization that replaces each variable that is known to be a constant value with that constant
- An elegant example of the dataflow framework

# Global constant propagation







#### Constant propagation analysis

- In order to do a constant propagation, we need to track what values might be assigned to a variable at each program point
- Every variable will either
  - Never have a value assigned to it,
  - Have a single constant value assigned to it,
  - Have two or more constant values assigned to it, or
  - Have a known non-constant value.
  - Our analysis will propagate this information throughout a CFG to identify locations where a value is constant

# Properties of constant propagation

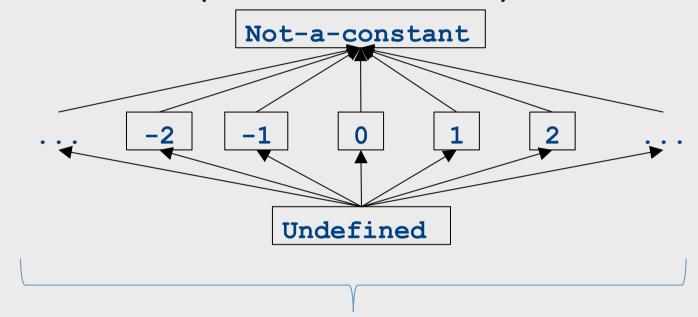
- For now, consider just some single variable x
- At each point in the program, we know one of three things about the value of x:
  - x is definitely not a constant, since it's been assigned two values or assigned a value that we know isn't a constant
  - x is definitely a constant and has value k
  - We have never seen a value for x
- Note that the first and last of these are **not** the same!
  - The first one means that there may be a way for x to have multiple values
  - The last one means that x never had a value at all

#### Defining a join operator

- The join of any two different constants is **Not-a-Constant** 
  - (If the variable might have two different values on entry to a statement, it cannot be a constant)
- The join of Not a Constant and any other value is Not-a-Constant
  - (If on some path the value is known not to be a constant, then on entry to a statement its value can't possibly be a constant)
- The join of **Undefined** and any other value is that other value
  - (If x has no value on some path and does have a value on some other path, we can just pretend it always had the assigned value)

#### A semilattice for constant propagation

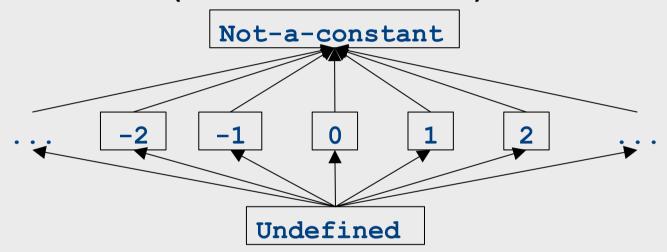
 One possible semilattice for this analysis is shown here (for each variable):



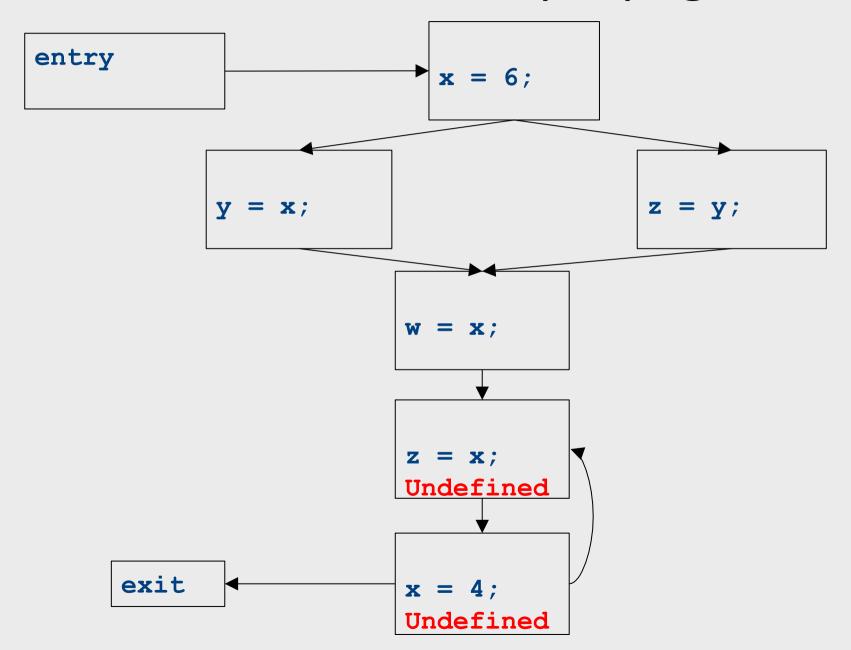
The lattice is infinitely wide

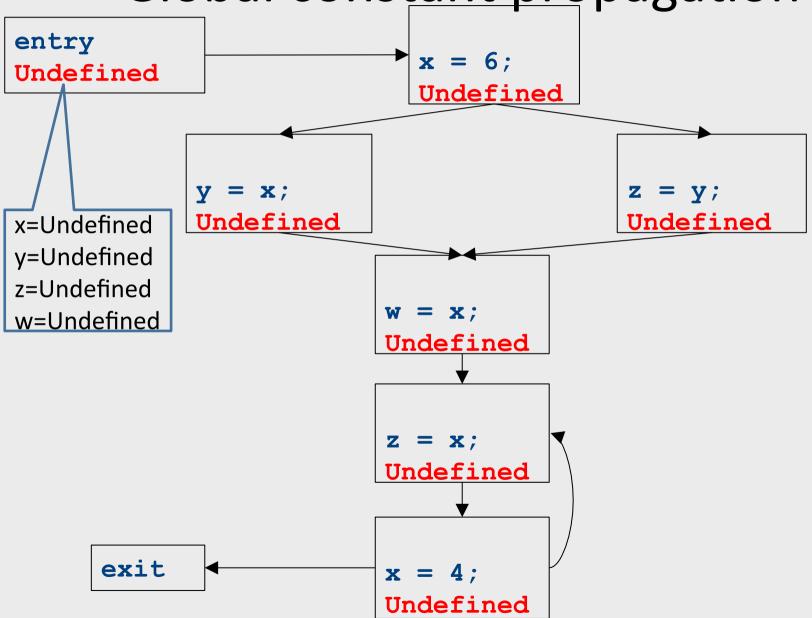
#### A semilattice for constant propagation

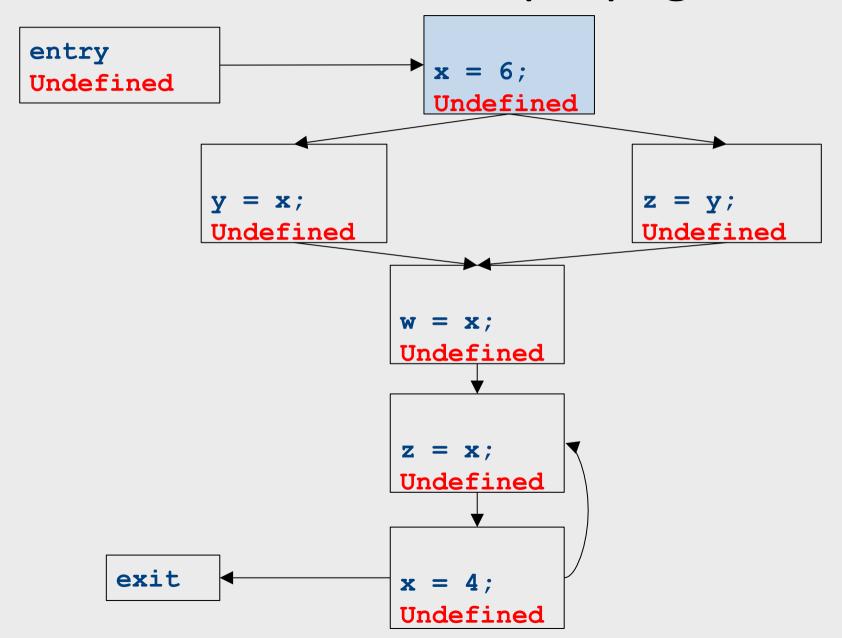
 One possible semilattice for this analysis is shown here (for each variable):

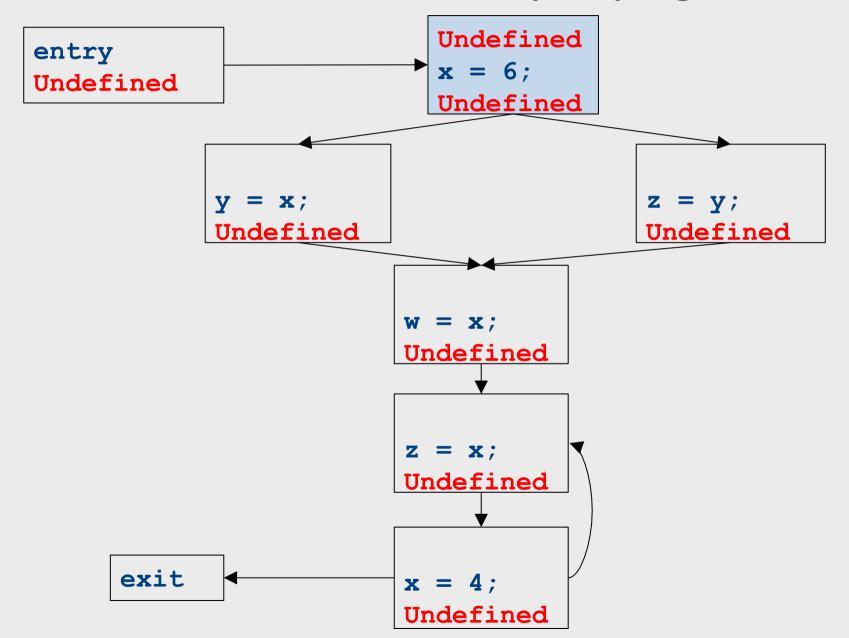


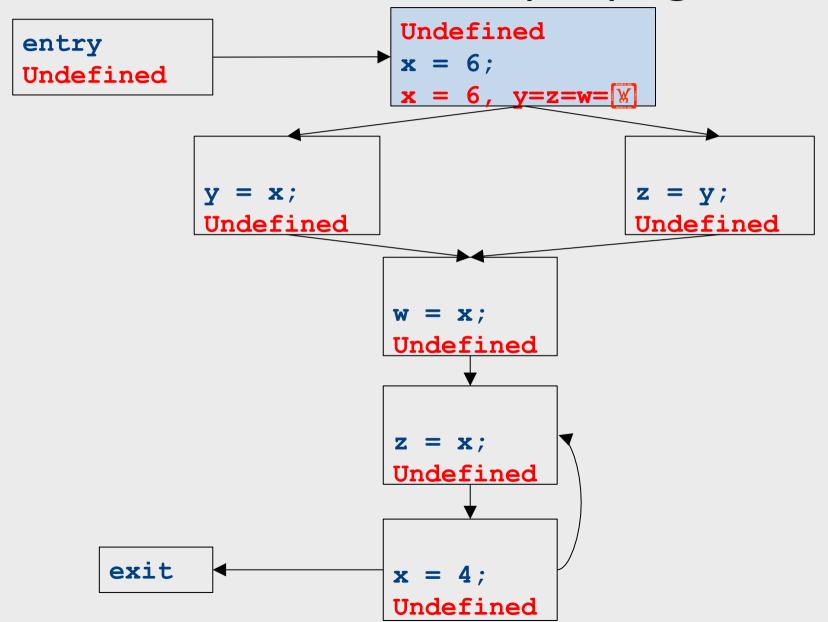
- Note:
  - The join of any two different constants is **Not-a-Constant**
  - The join of Not a Constant and any other value is Not-a-Constant
  - The join of **Undefined** and any other value is that other value

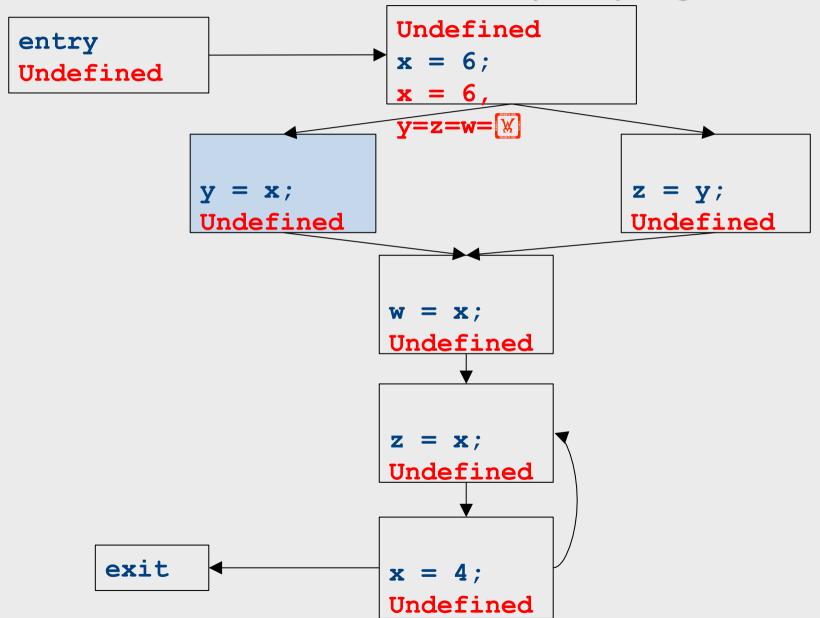


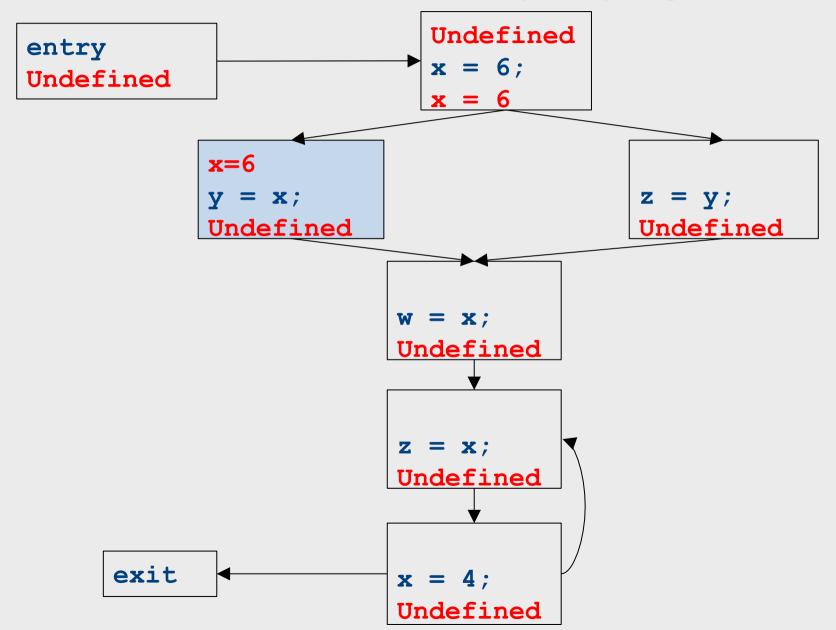


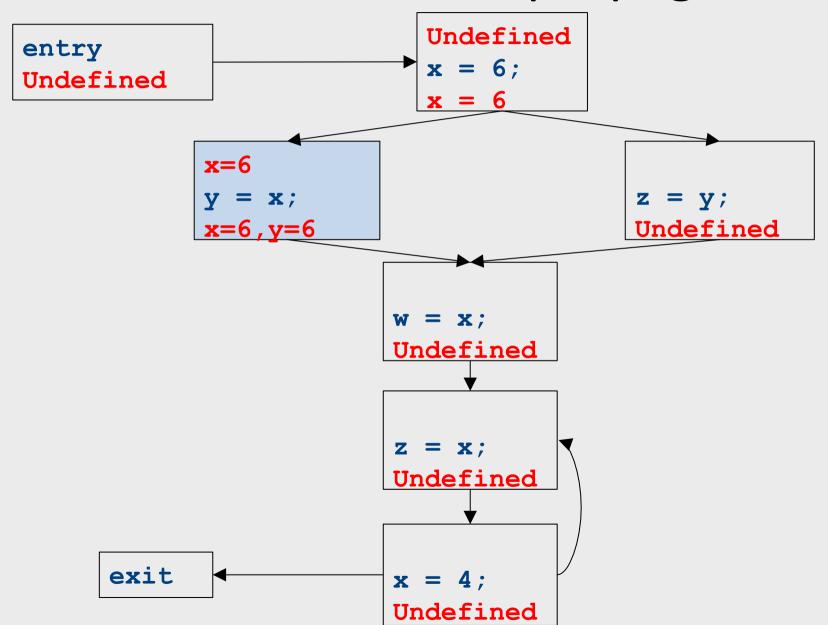


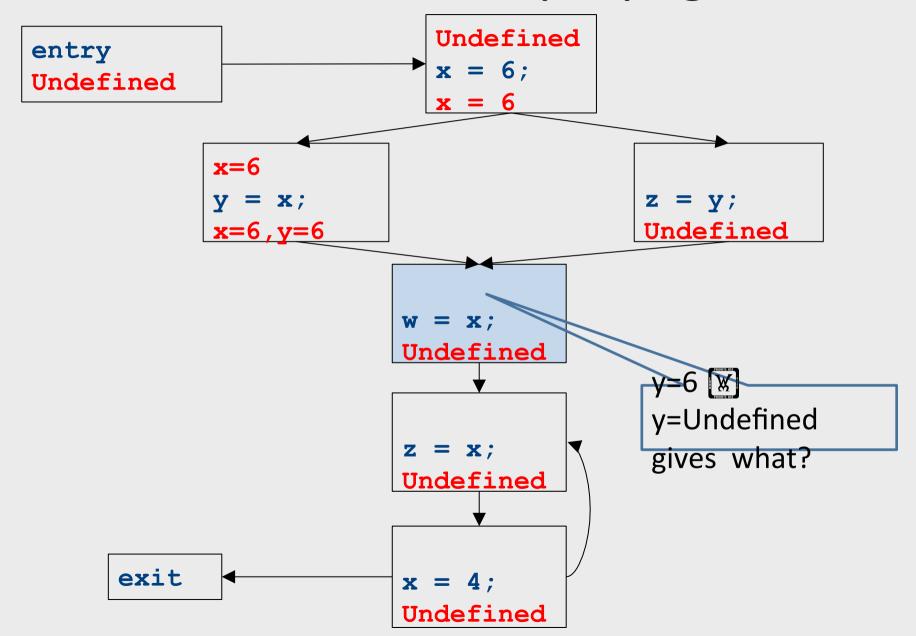




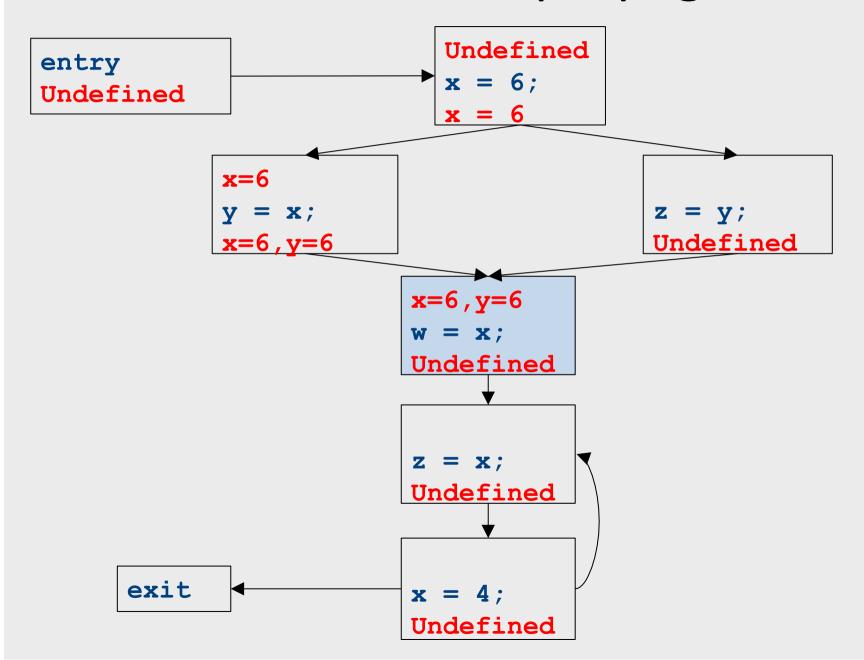


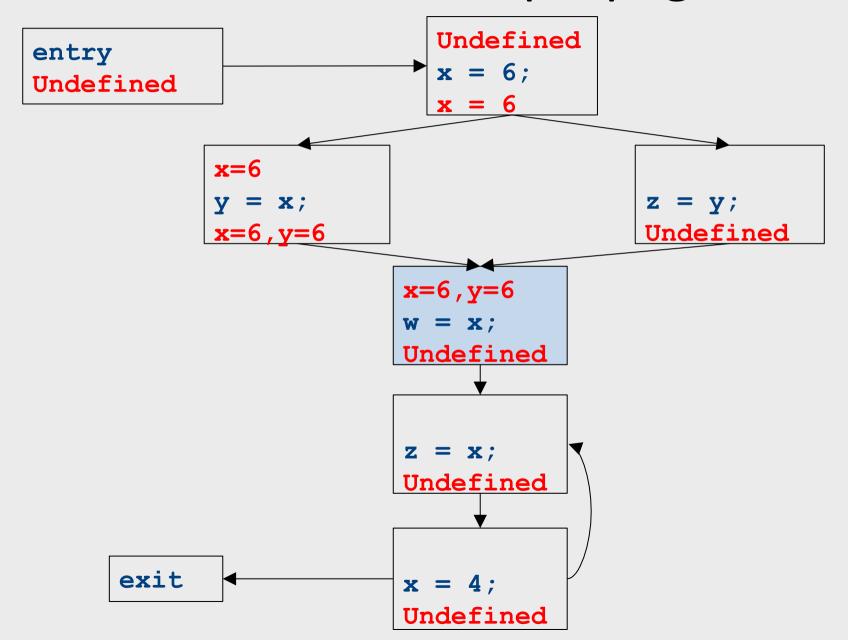


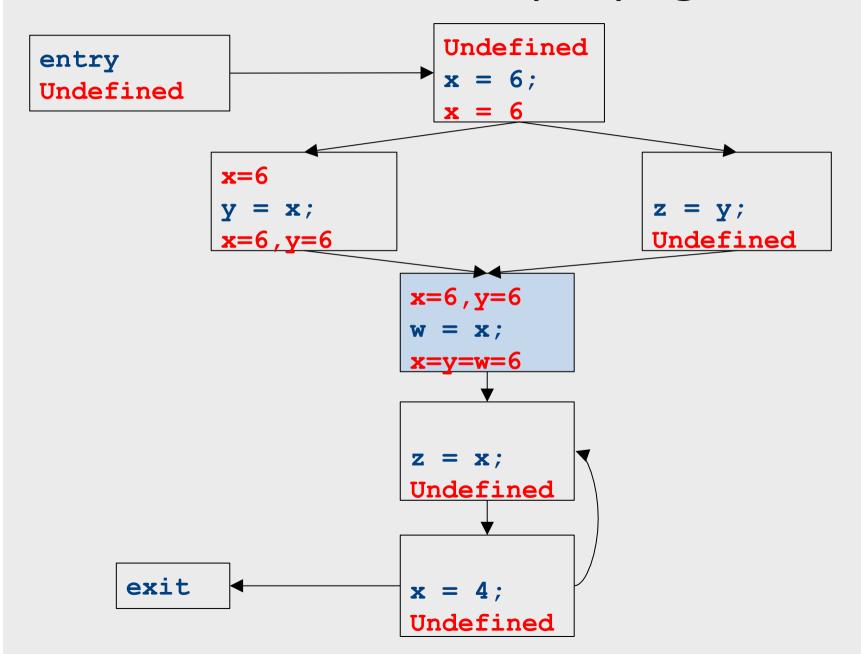


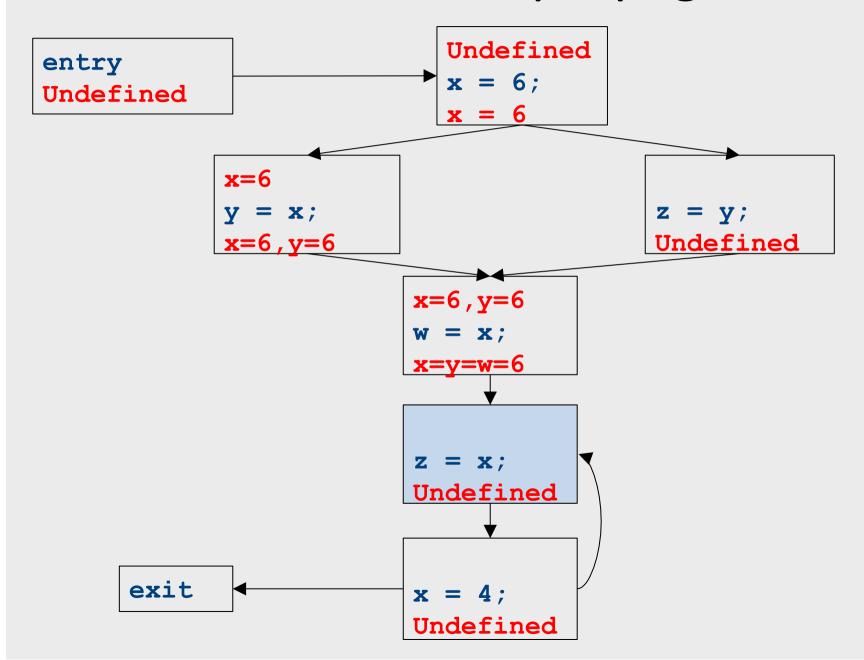


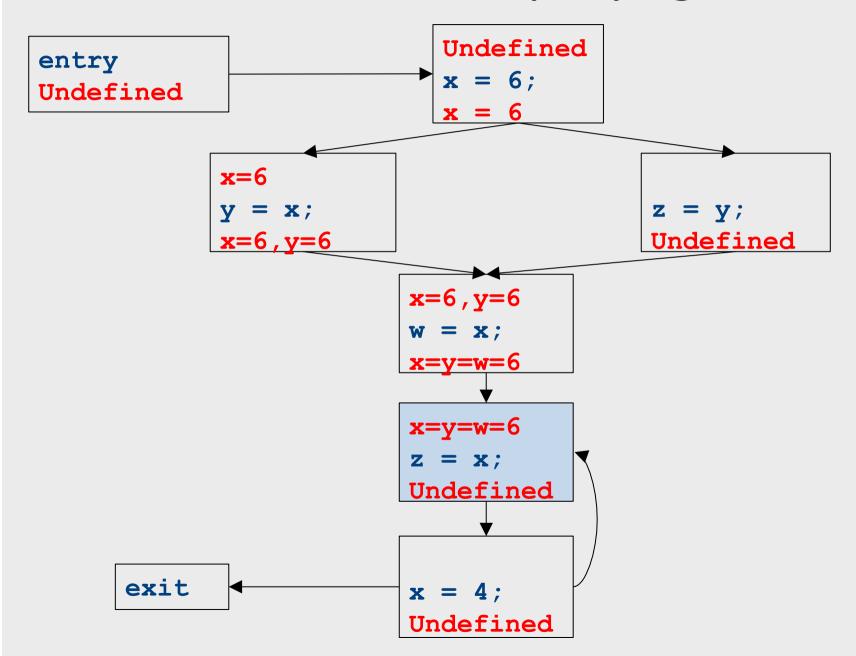
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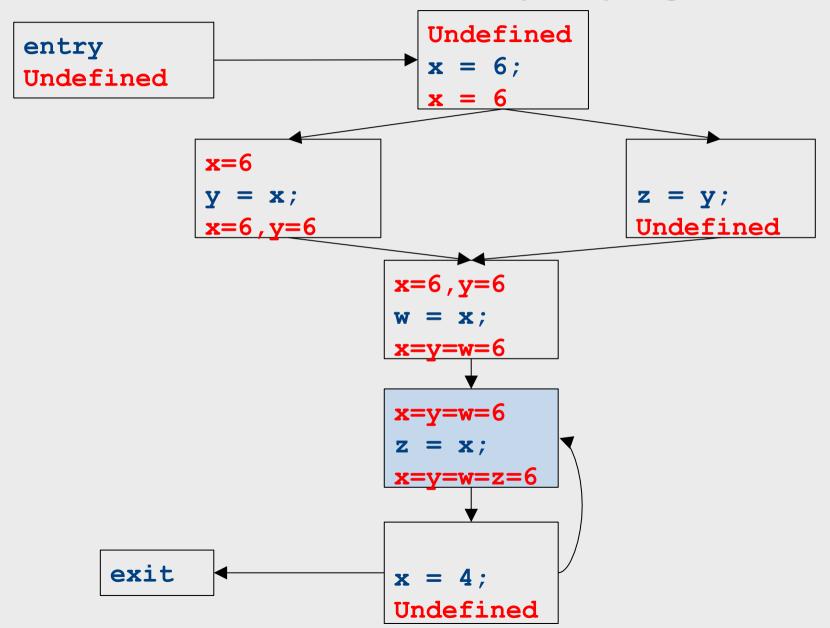


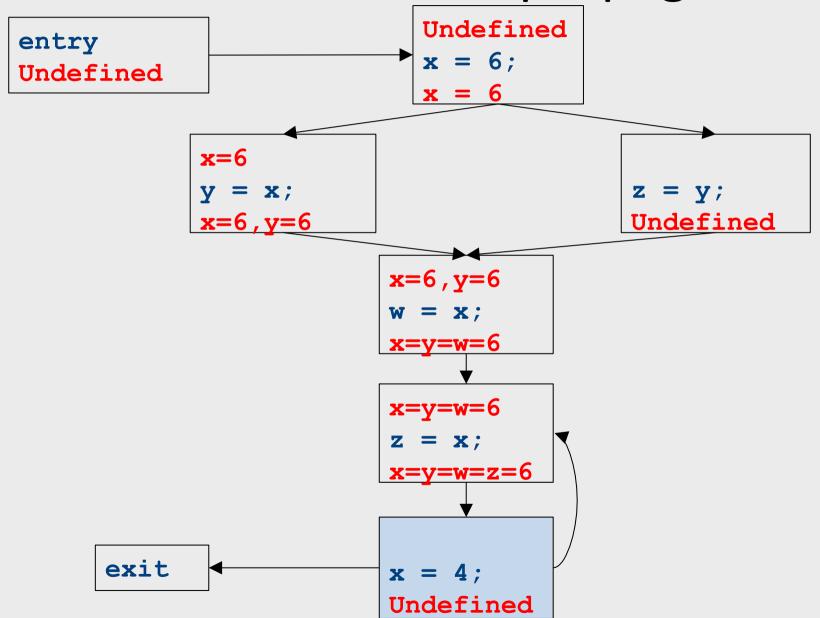


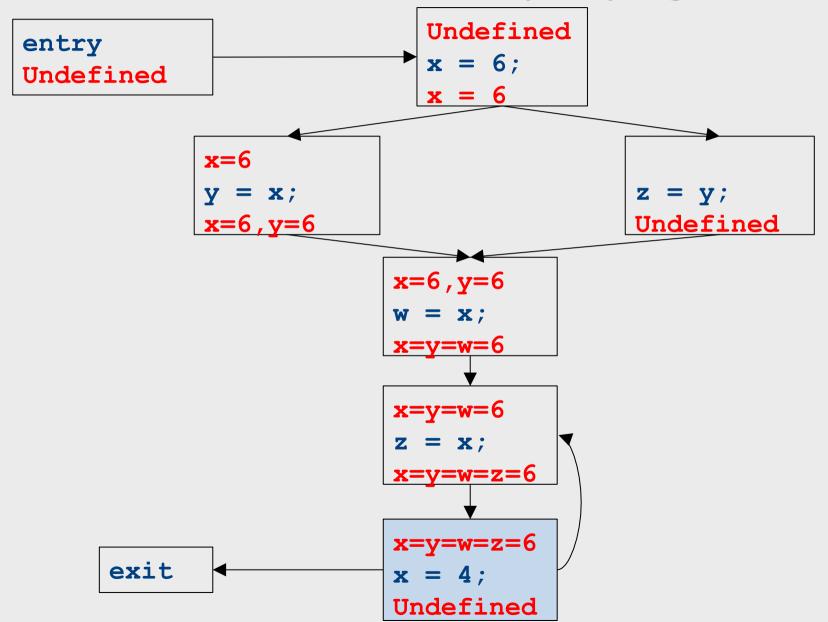


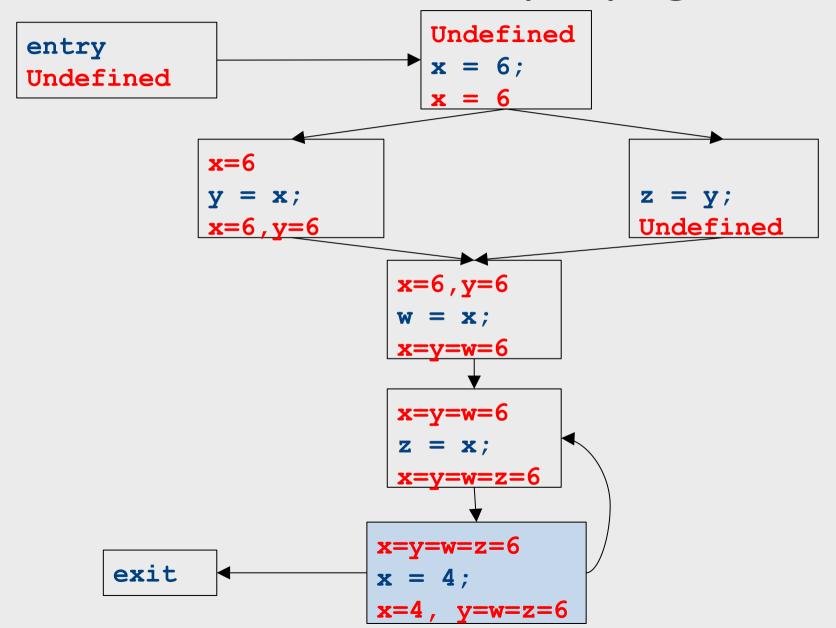


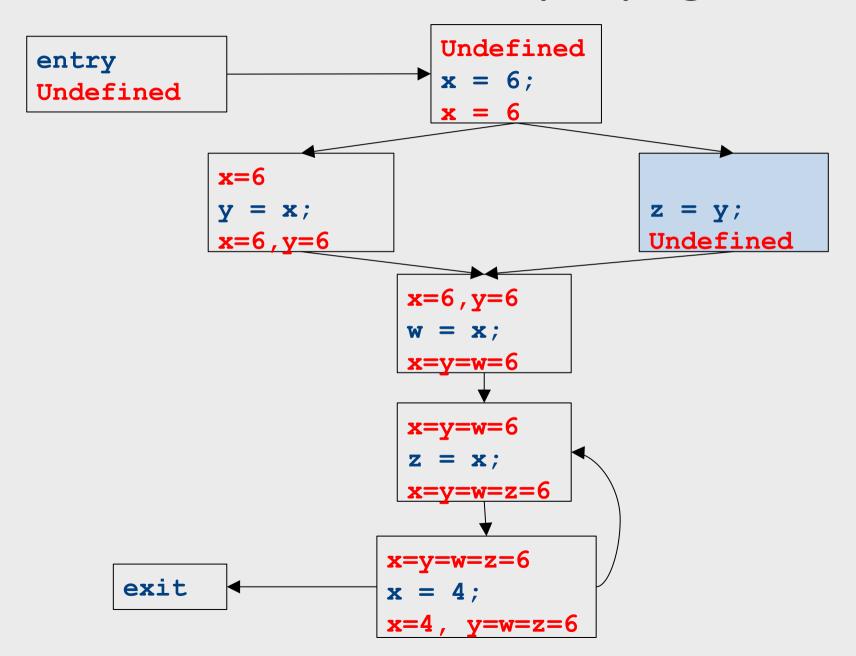


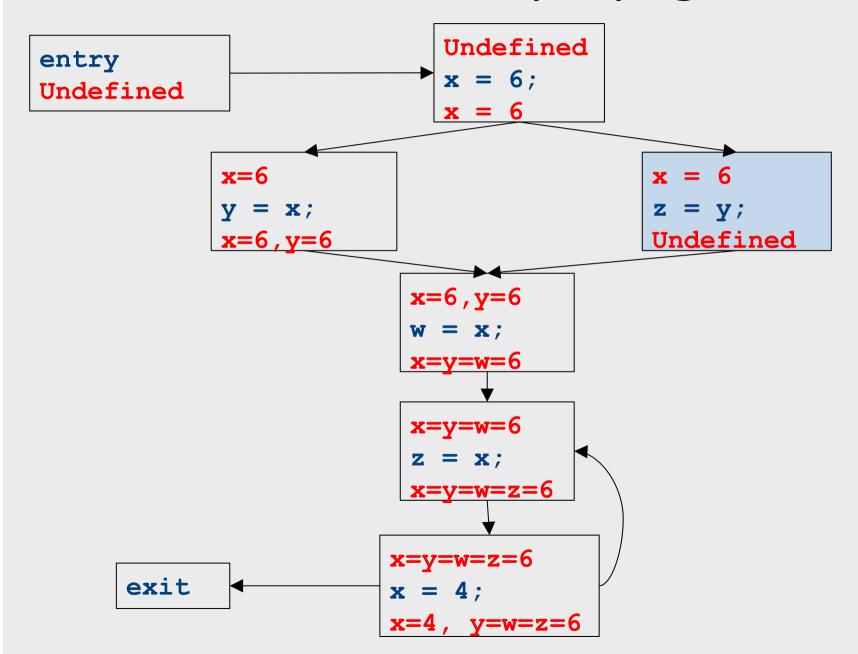


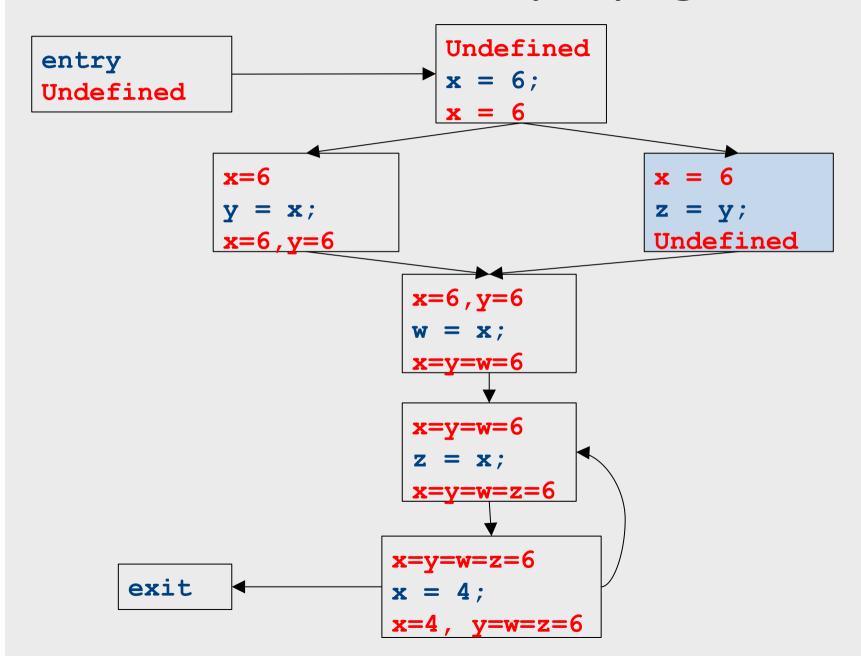


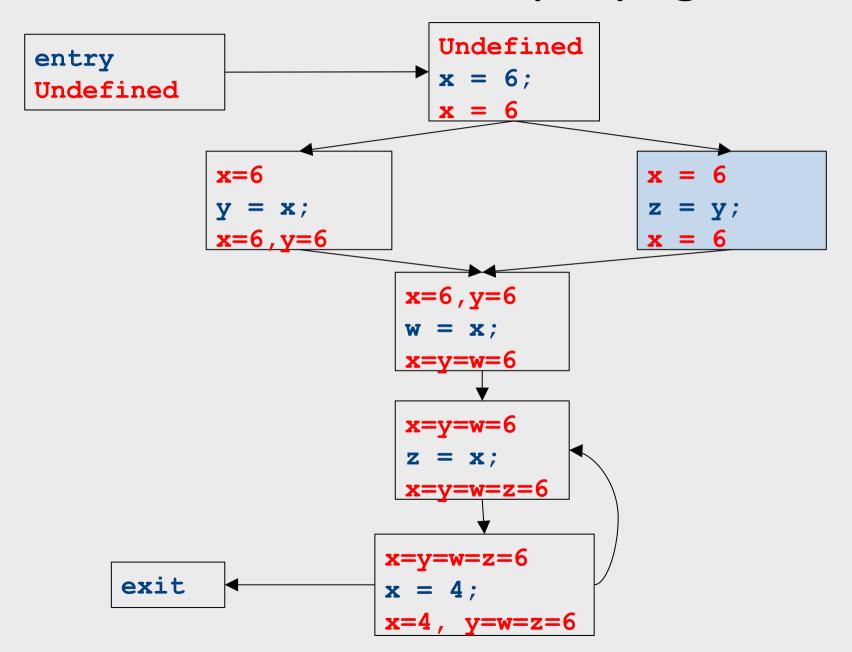


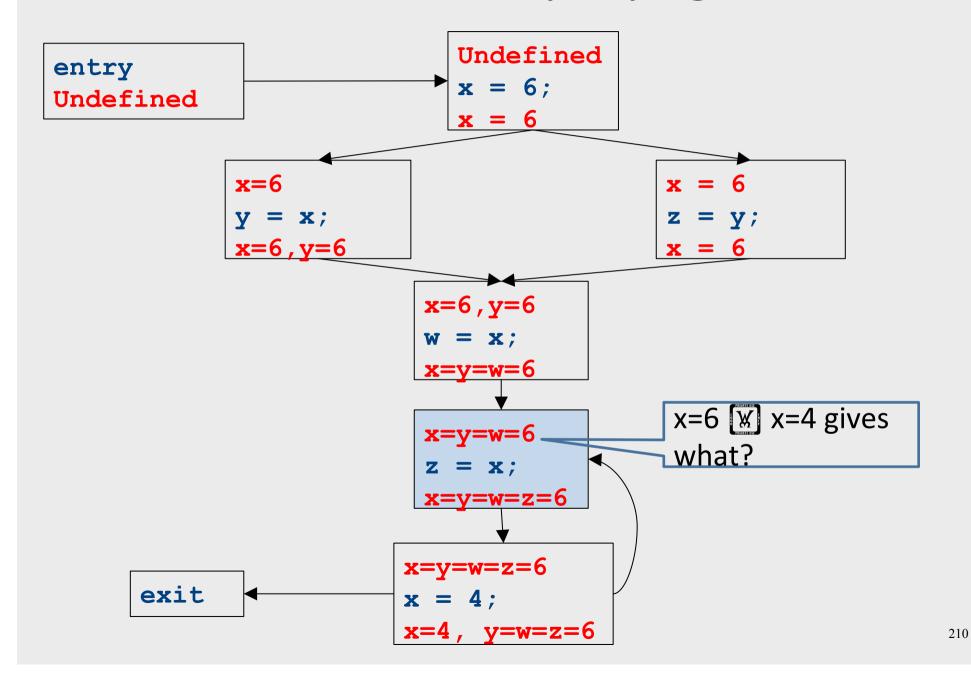


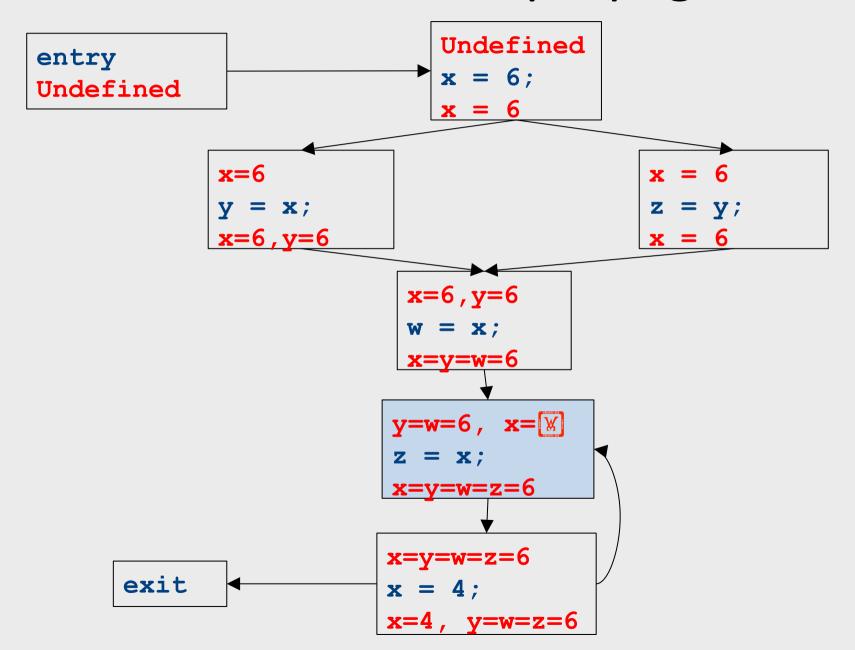


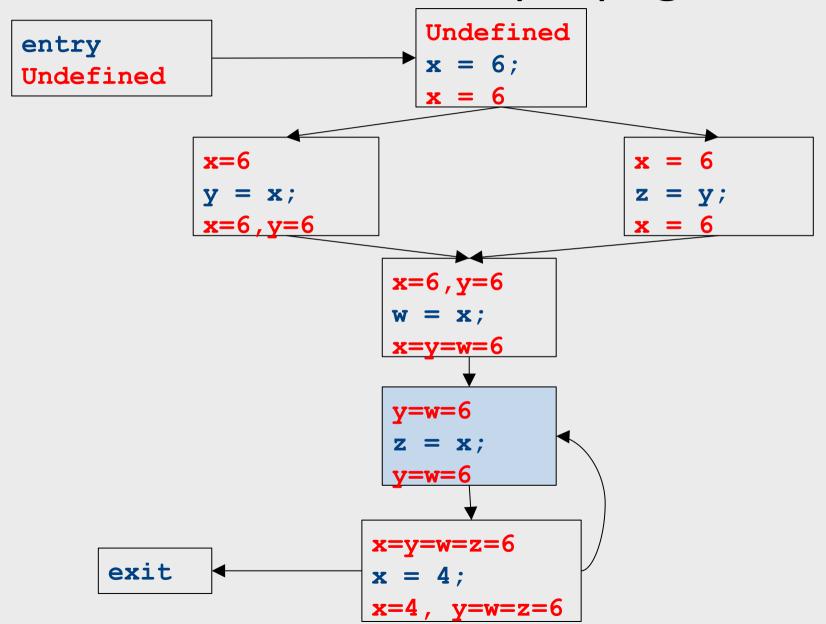


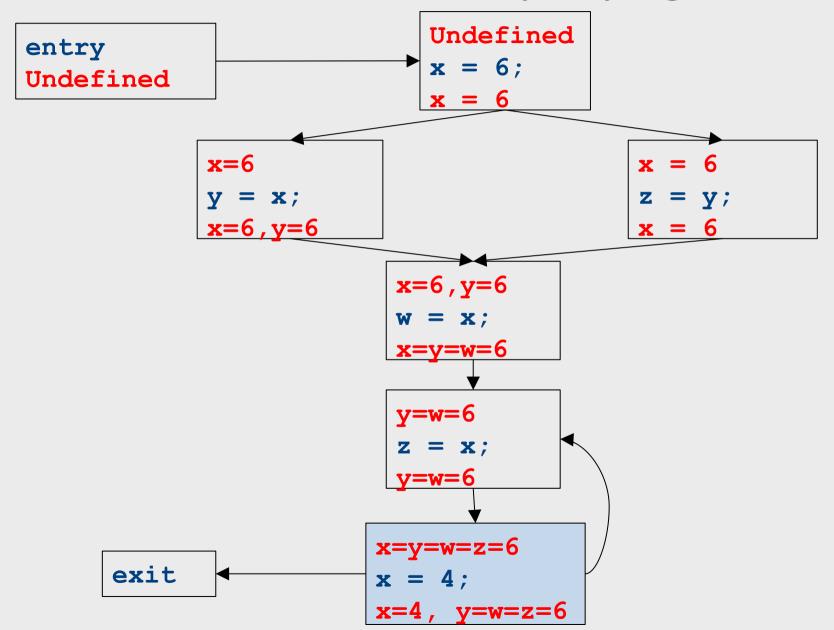


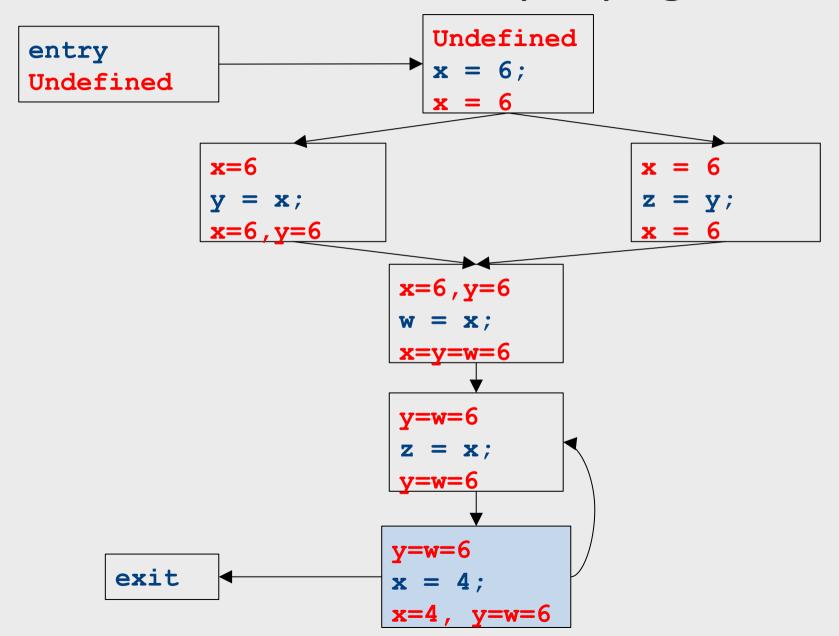


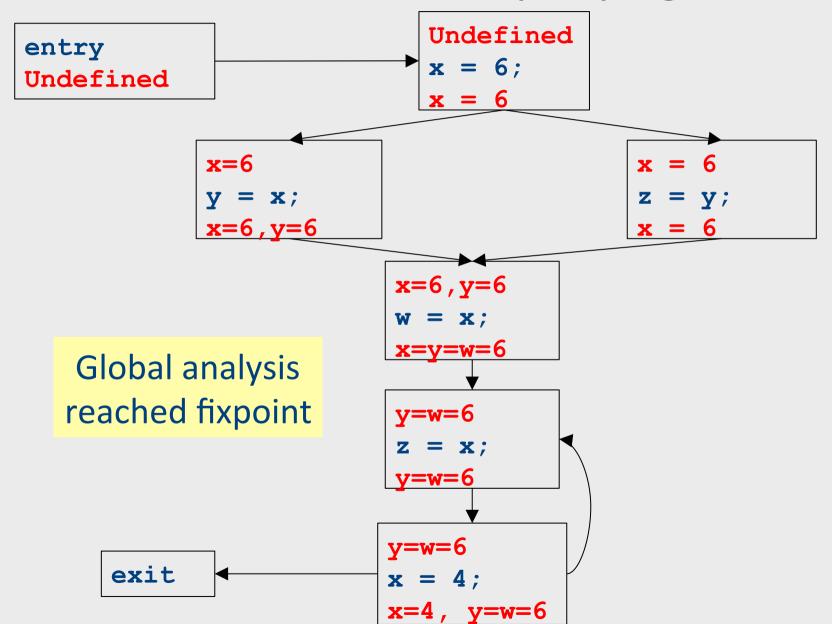


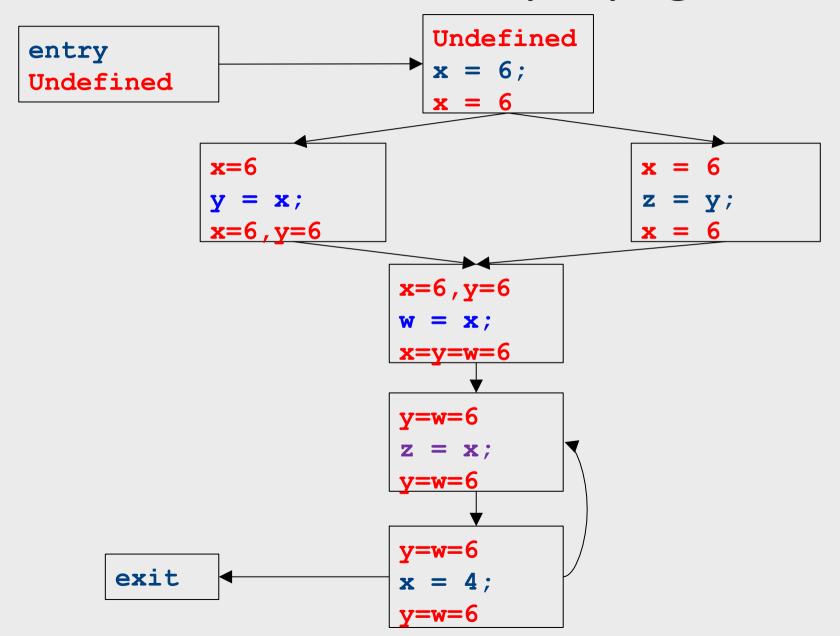




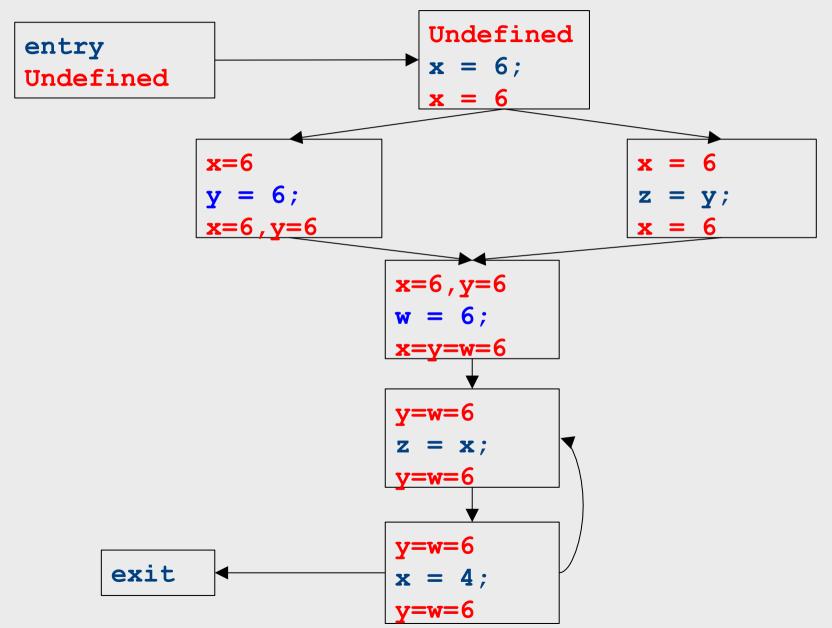








## Global constant propagation



# Dataflow for constant propagation

- Direction: Forward
- Semilattice: Vars [W] {Undefined, 0, 1, -1, 2, -2, ..., Not-a-Constant}
  - Join mapping for variables point-wise
     {x₩1,y₩1,z₩1} ₩ {x₩1,y₩2,z₩Not-a-Constant}
     = {x₩1,y₩Not-a-Constant}
- Transfer functions:
  - $f_{\mathbf{x} = \mathbf{k}}(V) = V|_{x | \mathbf{k} | k}$  (update V by mapping x to k)
  - $f_{x=a+b}(V) = V|_{x \times Not-a-Constant}$  (assign Not-a-Constant)
- Initial value: x is Undefined
  - (When might we use some other value?)

## Proving termination

- Our algorithm for running these analyses continuously loops until no changes are detected
- Given this, how do we know the analyses will eventually terminate?
  - In general, we don't

## Terminates?

## Liveness Analysis

 A variable is live at a point in a program if later in the program its value will be read before it is written to again

#### Join semilattice definition

- A join semilattice is a pair (V, [X]), where
- V is a domain of elements
- W is a join operator that is
  - commutative:  $x \times y = y \times x$
  - associative:  $(x \times y) \times z = x \times (y \times z)$
  - idempotent:  $x \times x = x$
- If x ⋈ y = z, we say that z is the join or (Least Upper Bound) of x and y
- Every join semilattice has a bottom element denoted [x] such that [x] [x] x = x for all x

## Partial ordering induced by join

- Every join semilattice (V, (X)) induces an ordering relationship (X) over its elements
- Define  $x \times y$  iff  $x \times y = y$
- Need to prove
  - − Reflexivity: x [¥] x
  - Antisymmetry: If  $x \times y$  and  $y \times x$ , then x = y
  - Transitivity: If x ⋈ y and y ⋈ z, then x ⋈ z

### A join semilattice for liveness

- Sets of live variables and the set union operation
- Idempotent:

$$- \times [X] \times = X$$

• Commutative:

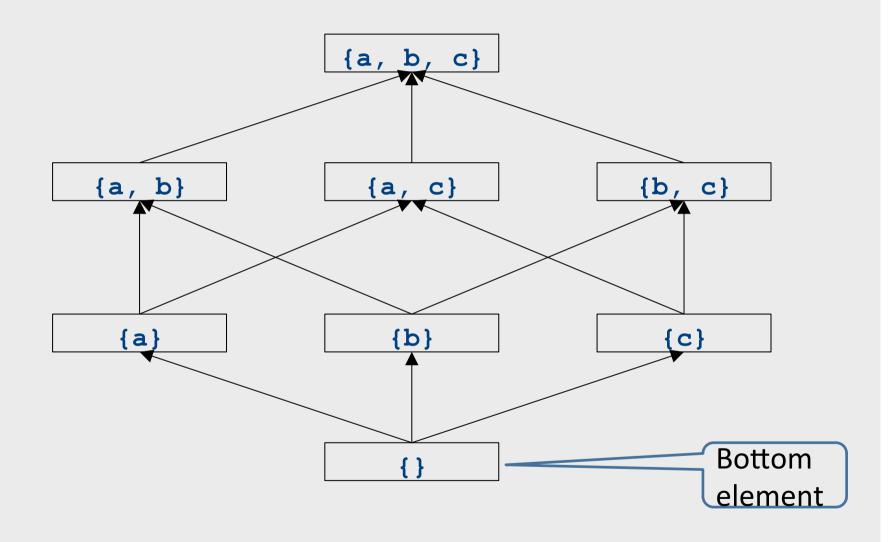
$$- x \times y = y \times x$$

Associative:

$$- (x \times y) \times z = x \times (y \times z)$$

- Bottom element:
  - The empty set:  $\emptyset \times x = x$
- Ordering over elements = subset relation

### Join semilattice example for liveness



#### Dataflow framework

- A global analysis is a tuple (D, V, W, F, I),
   where
  - D is a direction (forward or backward)
    - The order to visit statements within a basic block,
       NOT the order in which to visit the basic blocks
  - V is a set of values (sometimes called domain)
  - ─ is a join operator over those values
  - $\mathbf{F}$  is a set of transfer functions  $f_s : \mathbf{V} \boxtimes \mathbf{V}$  (for every statement s)
  - I is an initial value

### Running global analyses

- Assume that (**D**, **V**, **X**, **F**, **I**) is a forward analysis
- For every statement s maintain values before IN[s] and after OUT[s]
- Set OUT[s] = [w] for all statements s
- Set OUT[entry] = I
- Repeat until no values change:
  - For each statement **s** with predecessors  $PRED[s] = \{p_1, p_2, ..., p_n\}$ 
    - Set  $IN[s] = OUT[p_1] W OUT[p_2] W ... W OUT[p_n]$
    - Set OUT[s] =  $f_s(IN[s])$
- The order of this iteration does not matter
  - Chaotic iteration

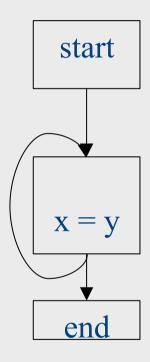
## Proving termination

- Our algorithm for running these analyses continuously loops until no changes are detected
- Problem: how do we know the analyses will eventually terminate?

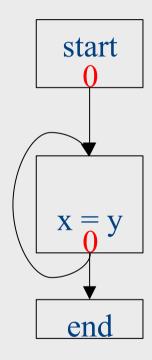
### A non-terminating analysis

- The following analysis will loop infinitely on any CFG containing a loop:
- Direction: Forward
- Domain: N
- Join operator: max
- Transfer function: f(n) = n + 1
- Initial value: 0

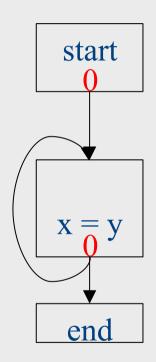
## A non-terminating analysis



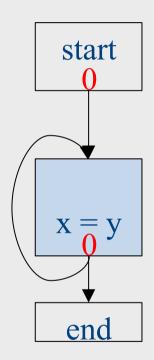
## Initialization

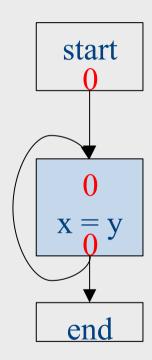


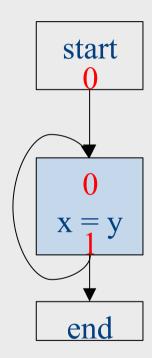
# Fixed-point iteration



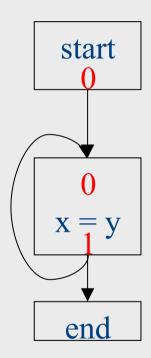
## Choose a block

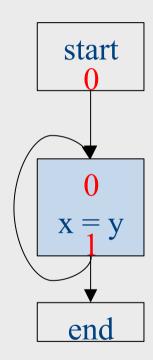


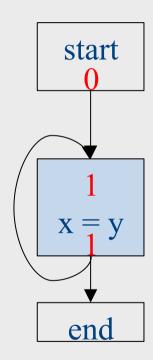


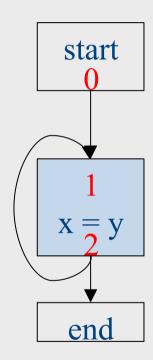


## Choose a block

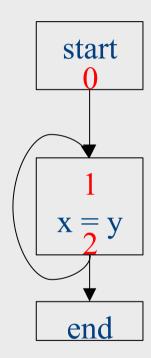


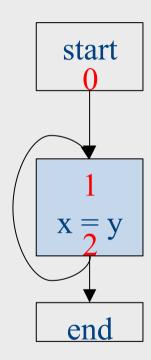


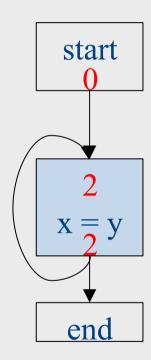


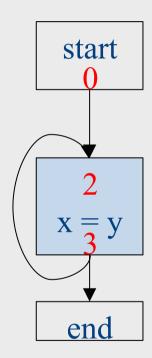


## Choose a block



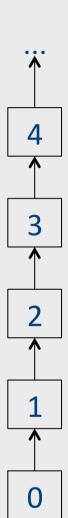






## Why doesn't this terminate?

- Values can increase without bound
- Note that "increase" refers to the lattice ordering, not the ordering on the natural numbers
- The height of a semilattice is the length of the longest increasing sequence in that semilattice
- The dataflow framework is not guaranteed to terminate for semilattices of infinite height
- Note that a semilattice can be infinitely large but have finite height
  - e.g. constant propagation



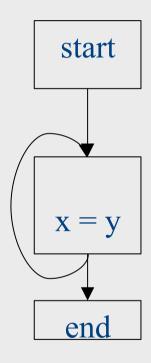
## Height of a lattice

- An increasing chain is a sequence of elements  $\mathbb{W} \times \mathbb{W} = \mathbb{W} \times \mathbb{W} = \mathbb{W} \times \mathbb{W} \times \mathbb{W} = \mathbb{W} \times \mathbb$ 
  - The length of such a chain is k
- The height of a lattice is the length of the maximal increasing chain
- For liveness with *n* program variables:
- For available expressions it is the number of expressions of the form a=b op c
  - For *n* program variables and *m* operator types:  $m[\mathbb{X}]n^3$

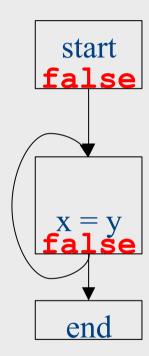
# Another non-terminating analysis

- This analysis works on a finite-height semilattice, but will not terminate on certain CFGs:
- Direction: Forward
- Domain: Boolean values true and false
- Join operator: Logical OR
- Transfer function: Logical NOT
- Initial value: false

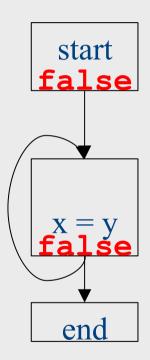
## A non-terminating analysis



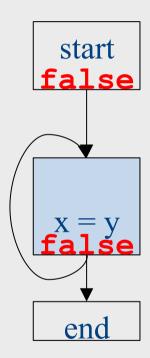
## Initialization

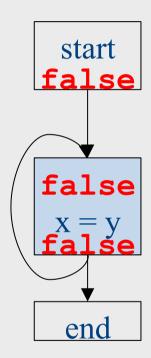


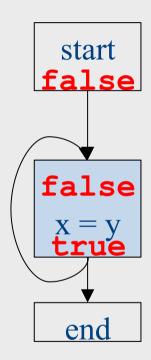
## Fixed-point iteration

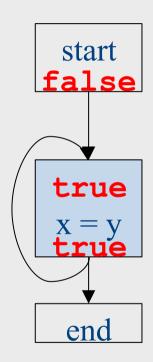


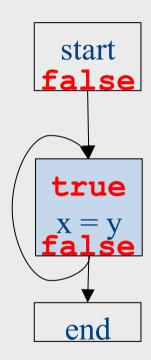
## Choose a block

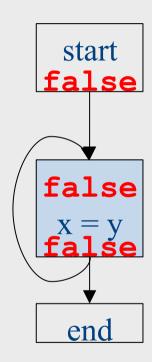


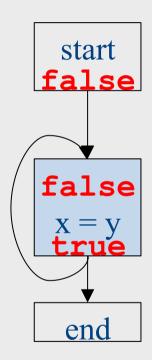






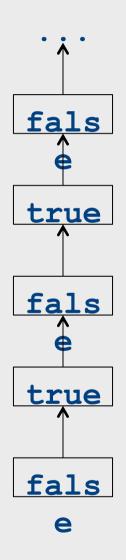






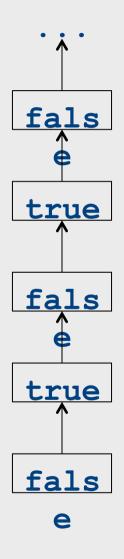
# Why doesn't it terminate?

- Values can loop indefinitely
- Intuitively, the join operator keeps pulling values up
- If the transfer function can keep pushing values back down again, then the values might cycle forever



# Why doesn't it terminate?

- Values can loop indefinitely
- Intuitively, the join operator keeps pulling values up
- If the transfer function can keep pushing values back down again, then the values might cycle forever
- How can we fix this?



#### Monotone transfer functions

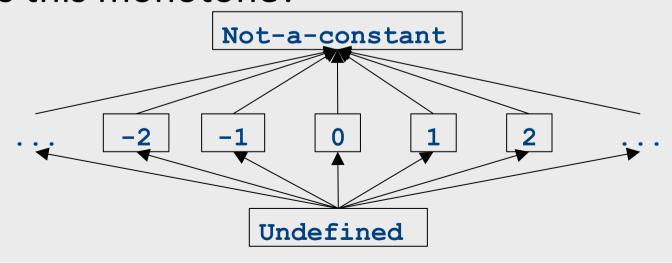
- A transfer function f is monotone iff if  $x \times y$ , then  $f(x) \times f(y)$
- Intuitively, if you know less information about a program point, you can't "gain back" more information about that program point
- Many transfer functions are monotone, including those for liveness and constant propagation
- Note: Monotonicity does **not** mean that  $x \bowtie f(x)$ 
  - (This is a different property called extensivity)

## Liveness and monotonicity

- A transfer function f is monotone iff if  $x \boxtimes y$ , then  $f(x) \boxtimes f(y)$
- Recall that our join operator is set union and induces an ordering relationship
   X X Y iff X XY
- Is this monotone?

#### Is constant propagation monotone?

- A transfer function f is monotone iff if  $x \times y$ , then  $f(x) \times f(y)$
- Recall our transfer functions
  - $f_{\mathbf{x} = \mathbf{k}}(V) = V|_{\mathbf{x} | \mathbf{x} | \mathbf{k}}$  (update V by mapping x to k)
  - $f_{x=a+b}(V) = V|_{x | Not-a-Constant}$  (assign Not-a-Constant)
- Is this monotone?



## The grand result

- Theorem: A dataflow analysis with a finiteheight semilattice and family of monotone transfer functions always terminates
- Proof sketch:
  - The join operator can only bring values up
  - Transfer functions can never lower values back down below where they were in the past (monotonicity)
  - Values cannot increase indefinitely (finite height)

# An "optimality" result

- A transfer function f is distributive if  $f(a \bowtie b) = f(a) \bowtie f(b)$  for every domain elements a and b
- If all transfer functions are distributive then the fixed-point solution is the solution that would be computed by joining results from all (potentially infinite) control-flow paths
  - Join over all paths
- Optimal if we ignore program conditions

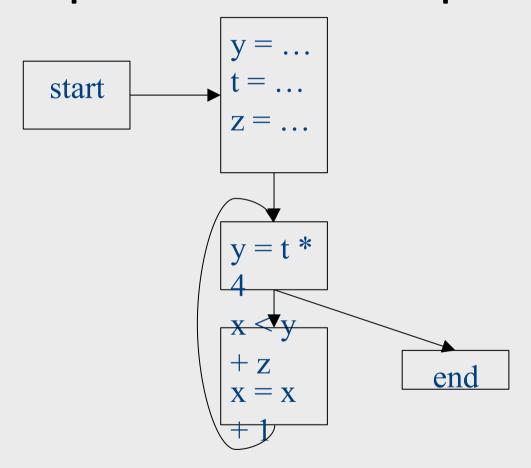
## An "optimality" result

- A transfer function f is distributive if  $f(a \bowtie b) = f(a) \bowtie f(b)$  for every domain elements a and b
- If all transfer functions are distributive then the fixed-point solution is equal to the solution computed by joining results from all (potentially infinite) control-flow paths
  - Join over all paths
- Optimal if we pretend all control-flow paths can be executed by the program
- Which analyses use distributive functions?

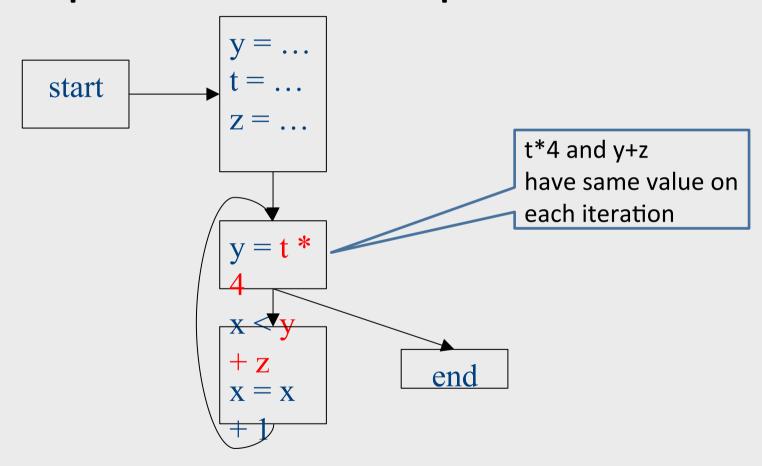
#### Loop optimizations

- Most of a program's computations are done inside loops
  - Focus optimizations effort on loops
- The optimizations we've seen so far are independent of the control structure
- Some optimizations are specialized to loops
  - Loop-invariant code motion
  - (Strength reduction via induction variables)
- Require another type of analysis to find out where expressions get their values from
  - Reaching definitions
    - (Also useful for improving register allocation)

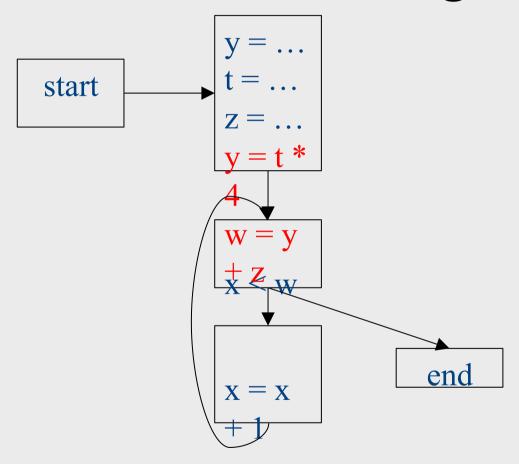
# Loop invariant computation



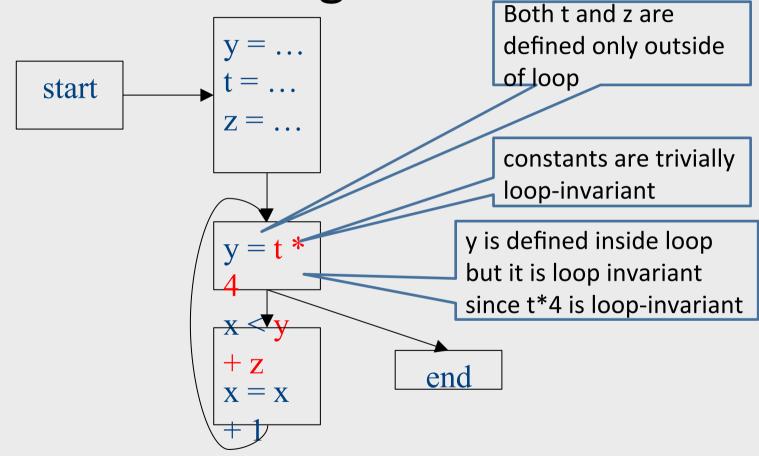
# Loop invariant computation



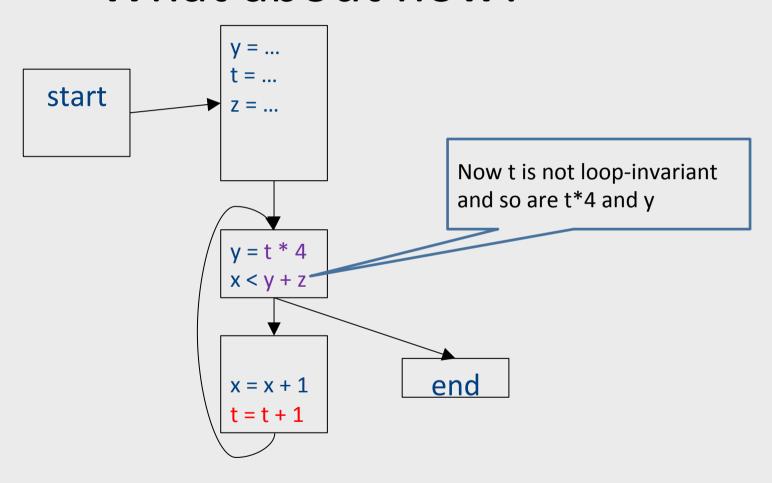
# Code hoisting



What reasoning did we use?



#### What about now?



#### Loop-invariant code motion

- $d: t = a_1 \text{ op } a_2$ 
  - d is a program location
- $a_1$  op  $a_2$  loop-invariant (for a loop L) if computes the same value in each iteration
  - Hard to know in general
- Conservative approximation
  - Each  $a_i$  is a constant, or
  - All definitions of  $a_i$  that reach d are outside L, or
  - Only one definition of of  $a_i$  reaches d, and is loop-invariant itself
- Transformation: hoist the loop-invariant code outside of the loop

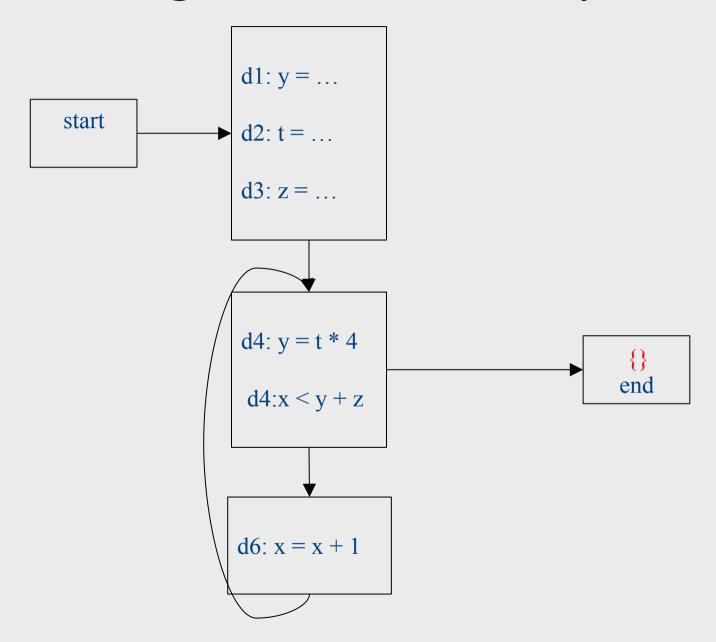
 A definition d: t = ... reaches a program location if there is a path from the definition to the program location, along which the defined variable is never redefined

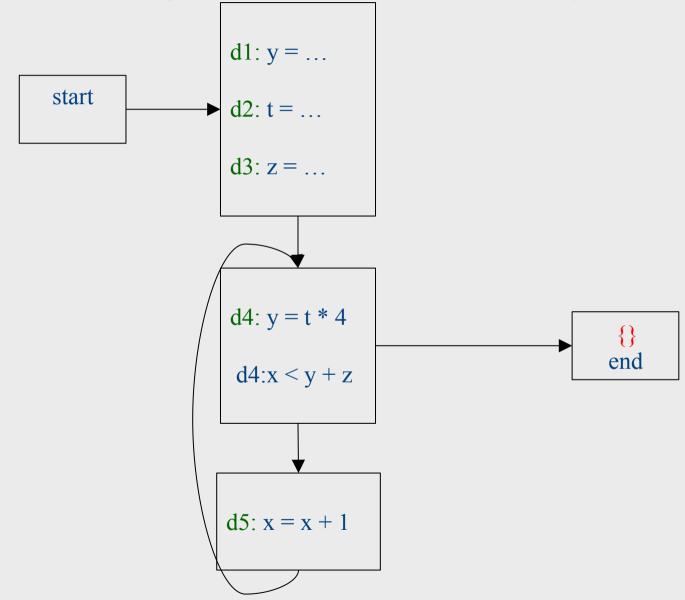
- A definition d: t = ... reaches a program location if there is a path from the definition to the program location, along which the defined variable is never redefined
- Direction: Forward
- Domain: sets of program locations that are definitions `
- Join operator: union
- Transfer function:

```
f_{d: a=b \text{ op } c}(RD) = (RD - defs(a)) \times \{d\}

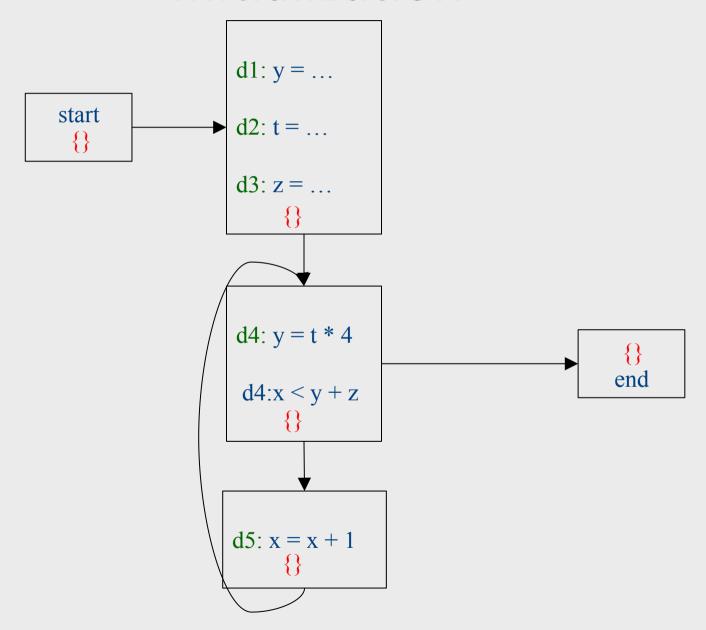
f_{d: not-a-def}(RD) = RD
```

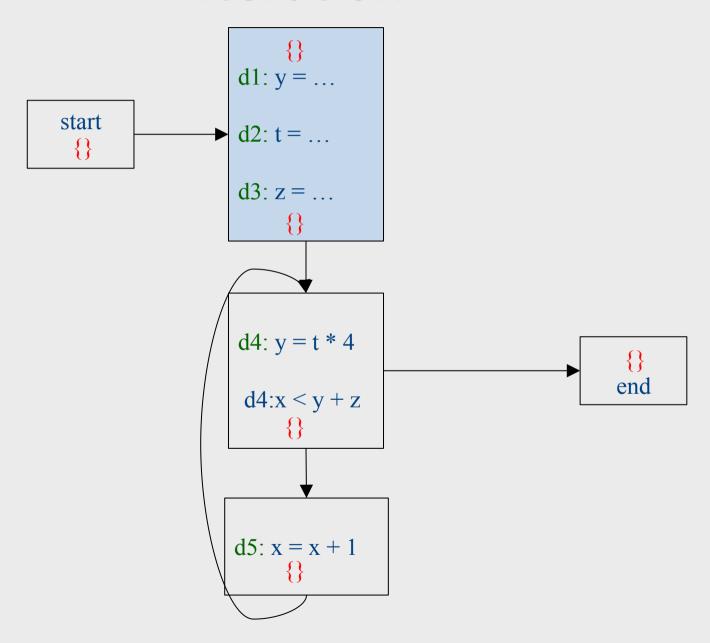
- Where defs(a) is the set of locations defining a (statements of the form a=...)
- Initial value: {}

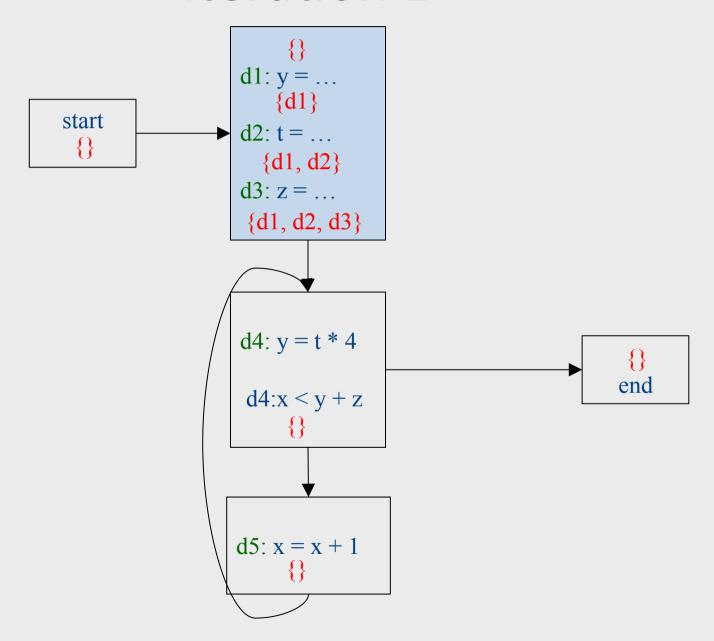


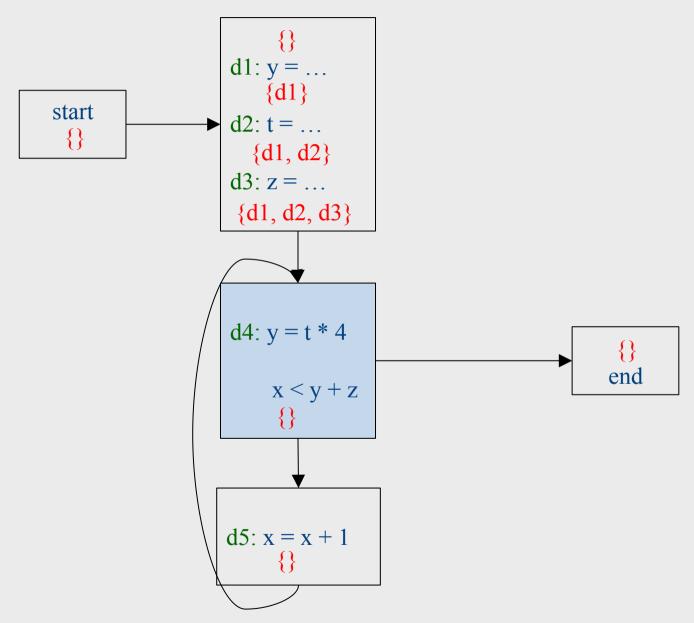


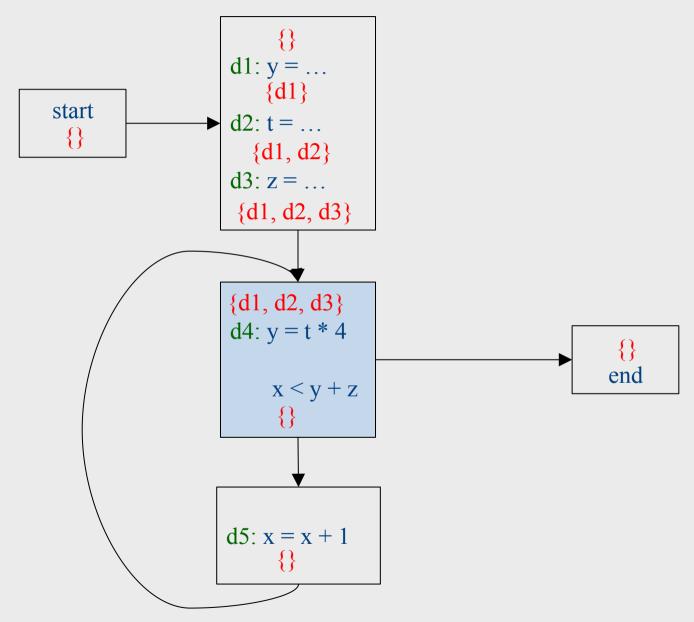
## Initialization

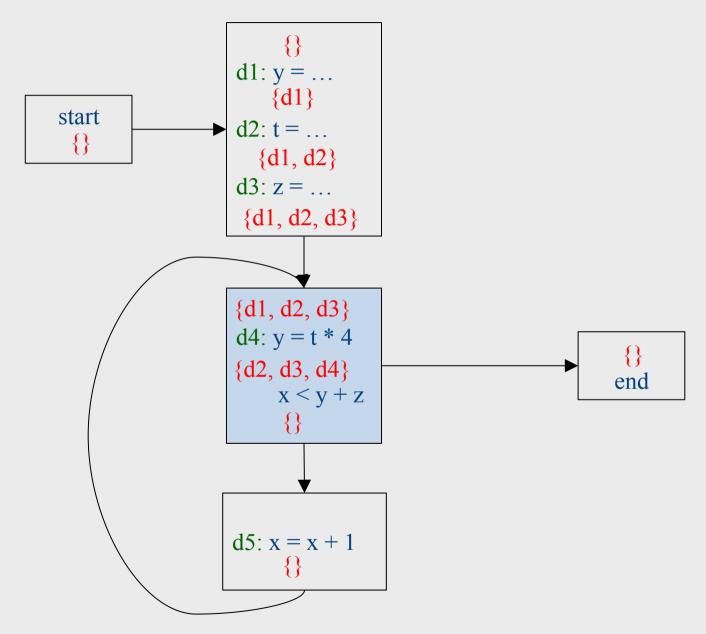


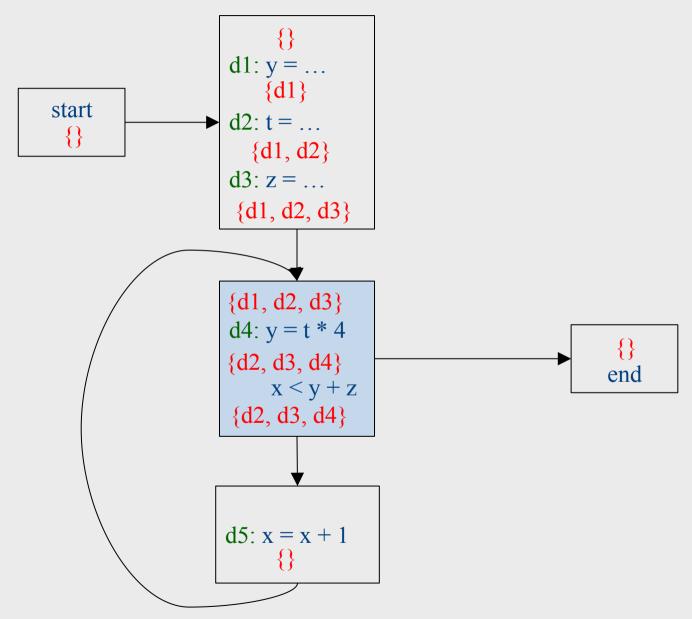


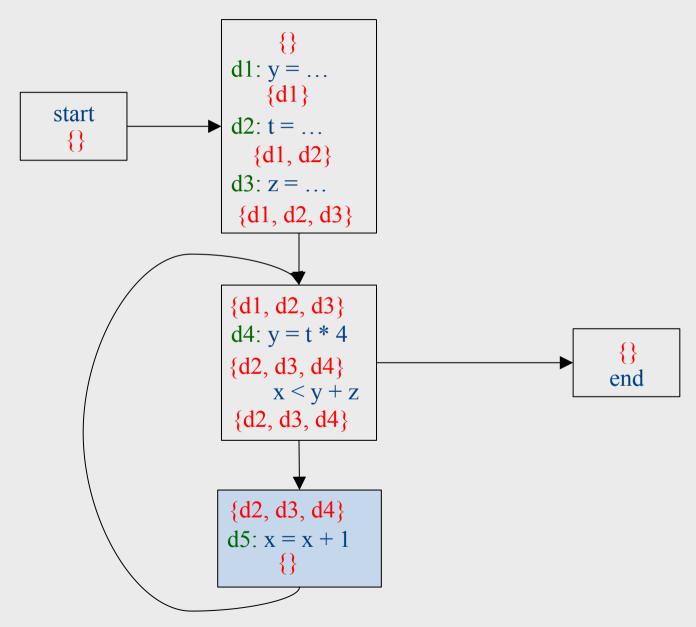


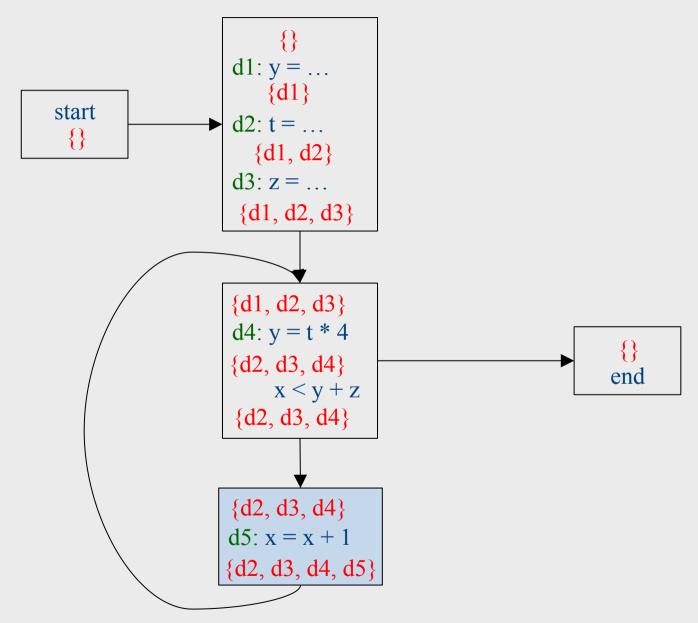


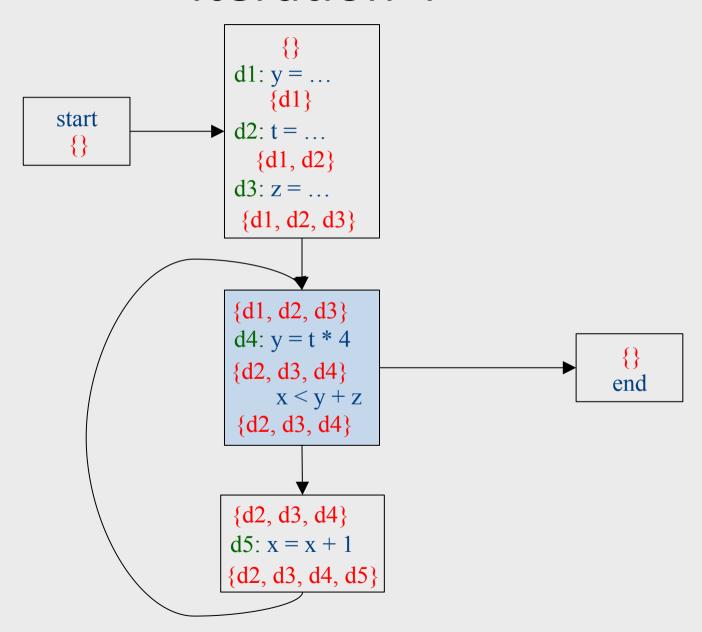


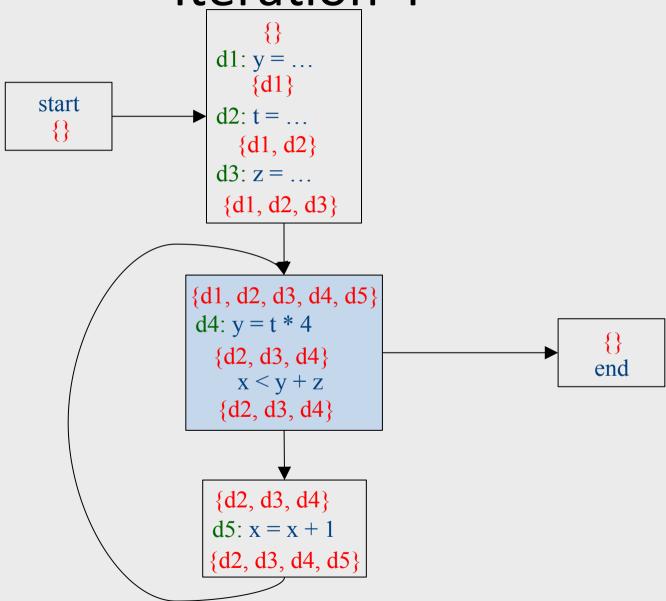


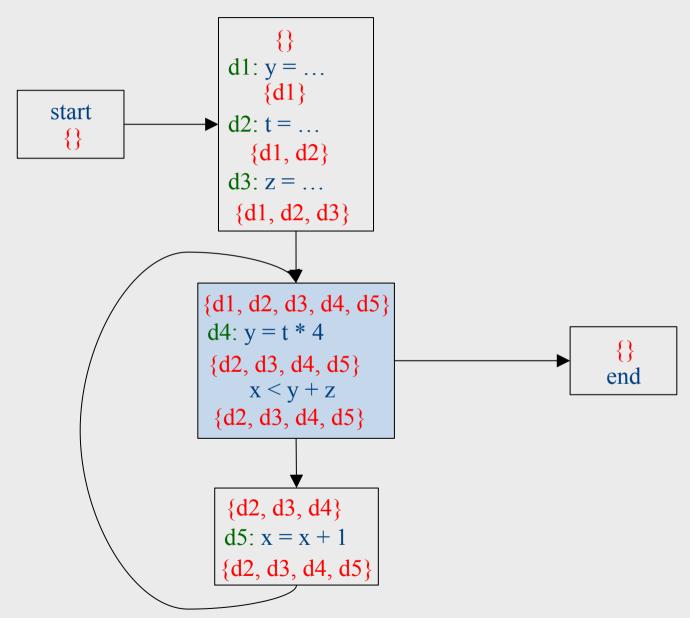


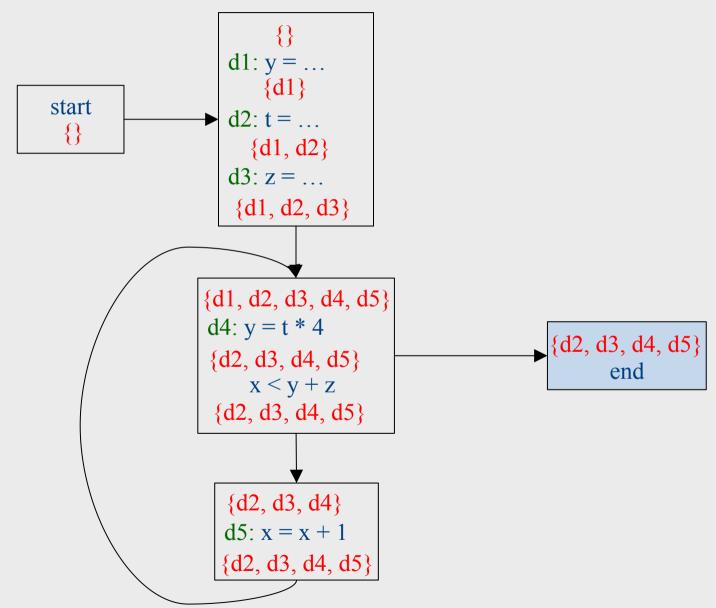




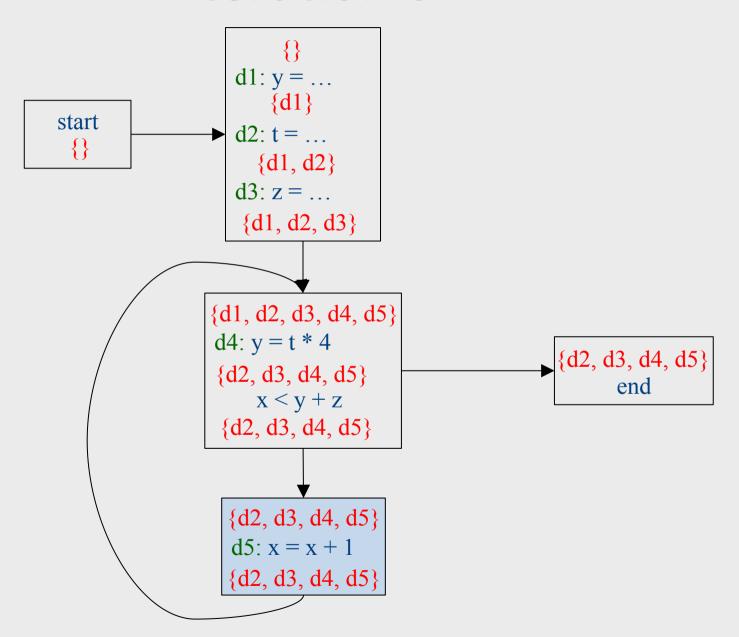




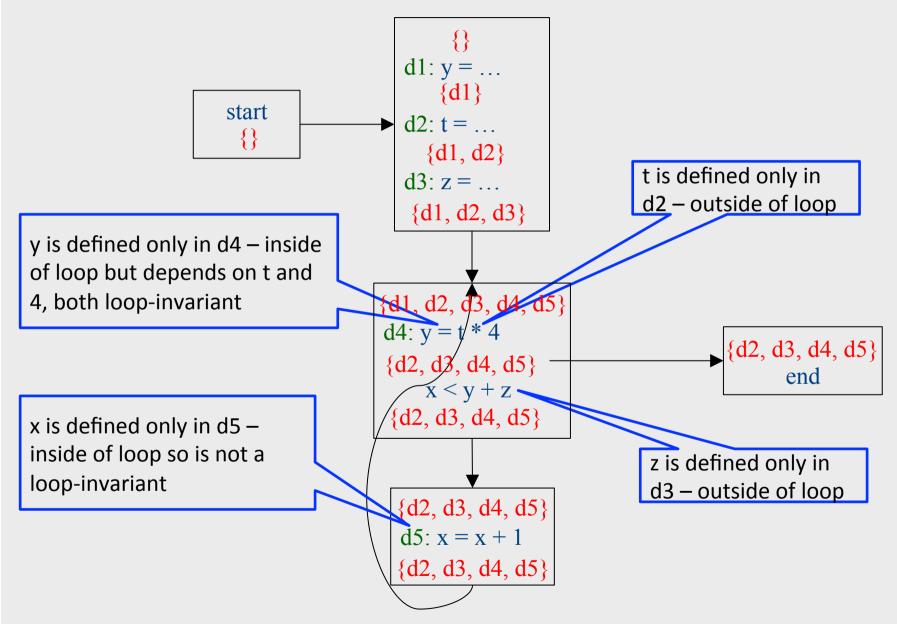




#### Iteration 6

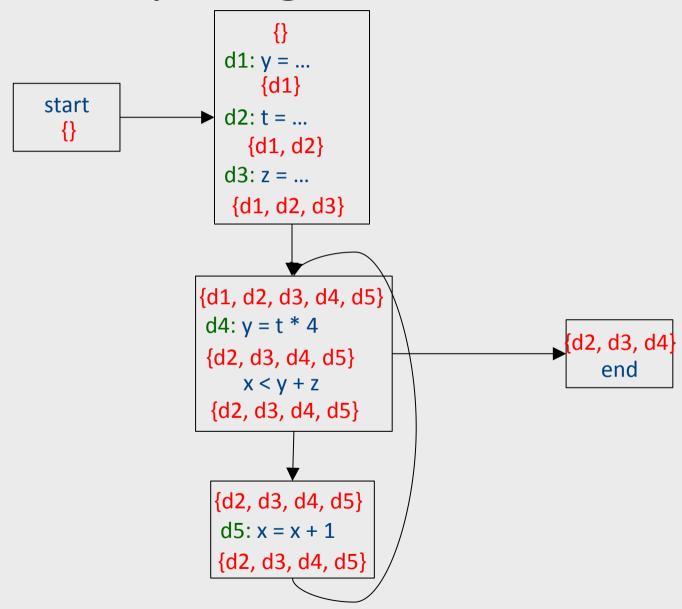


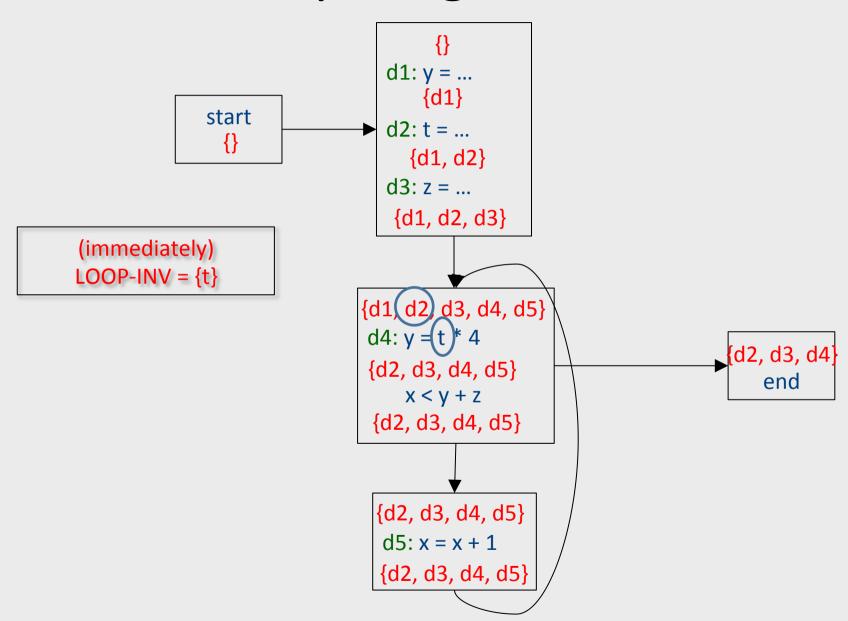
## Which expressions are loop invariant?

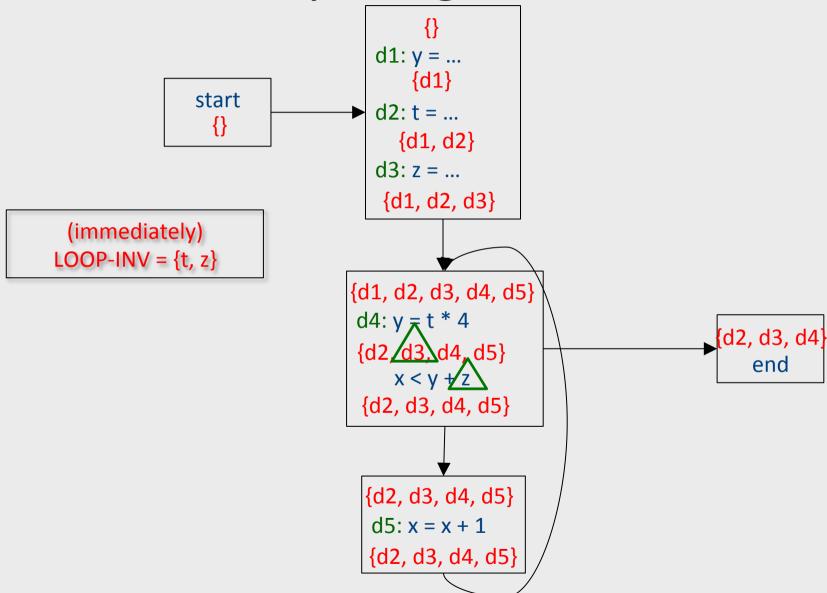


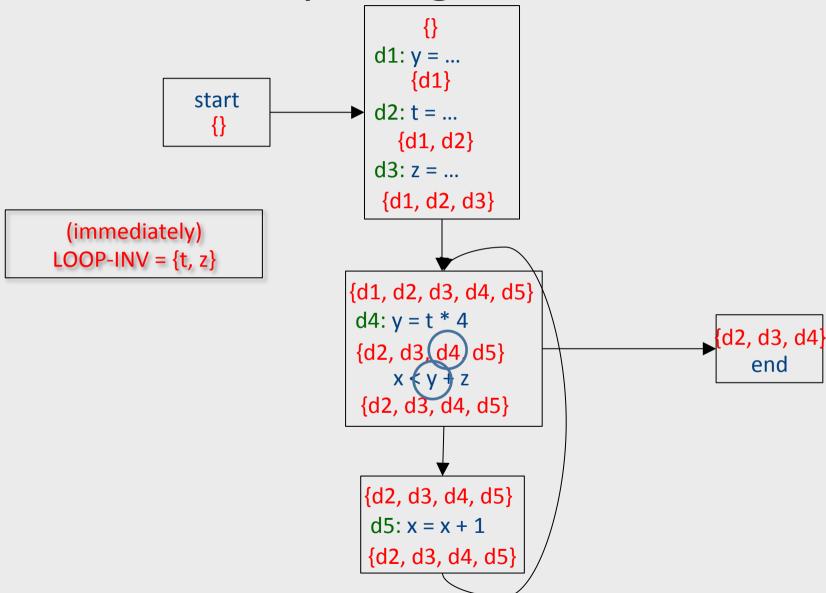
# Inferring loop-invariant expressions

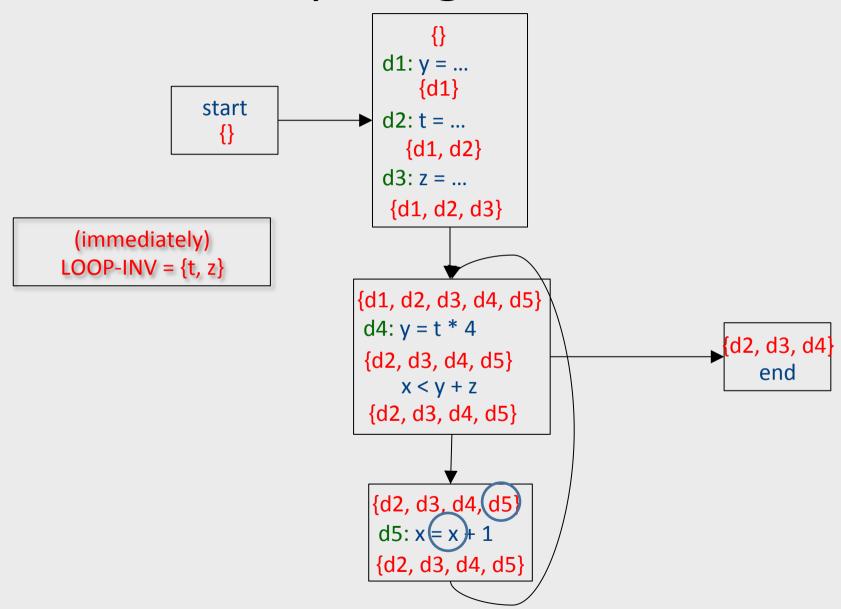
- For a statement s of the form  $t = a_1$  op  $a_2$
- A variable  $a_i$  is immediately loop-invariant if all reaching definitions  $IN[s] = \{d_1, ..., d_k\}$  for  $a_i$  are outside of the loop
- LOOP-INV = immediately loop-invariant variables and constants LOOP-INV = LOOP-INV [X] {x | d: x =  $a_1$  op  $a_2$ , d is in the loop, and both  $a_1$  and  $a_2$  are in LOOP-INV}
  - Iterate until fixed-point
- An expression is loop-invariant if all operands are loop-invariants

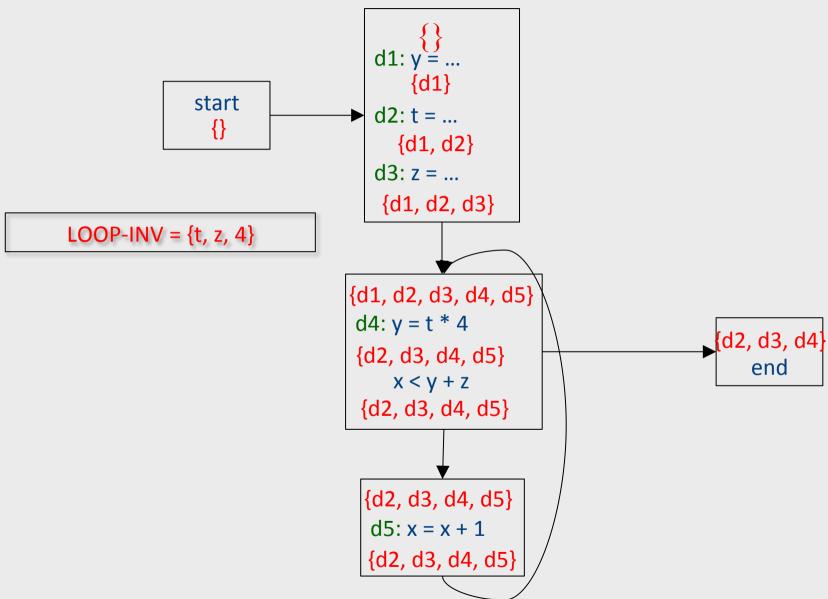


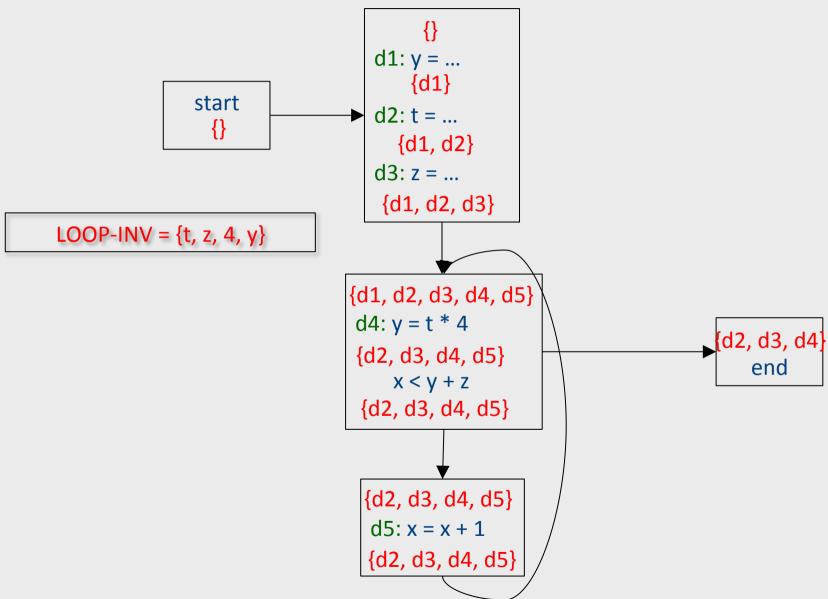












#### Induction variables

```
j is a linear function of
                         the induction variable
                         with multiplier 4
while (i < x)
                            i is incremented by a loop-
                            invariant expression on each
                            iteration – this is called an
                            induction variable
```

#### Strength-reduction

```
Prepare initial value

j = a + 4 * i

while (i < x) Increment by j = j + 4 multiplier

a[j] = j

i = i + 1

}
```

# Summary of optimizations

Analysis	<b>Enabled Optimizations</b>
Available Expressions	Common-subexpression elimination Copy Propagation
Constant Propagation	Constant folding
Live Variables	Dead code elimination
Reaching Definitions	Loop-invariant code motion