

Compilation

0368-3133 2014/15a

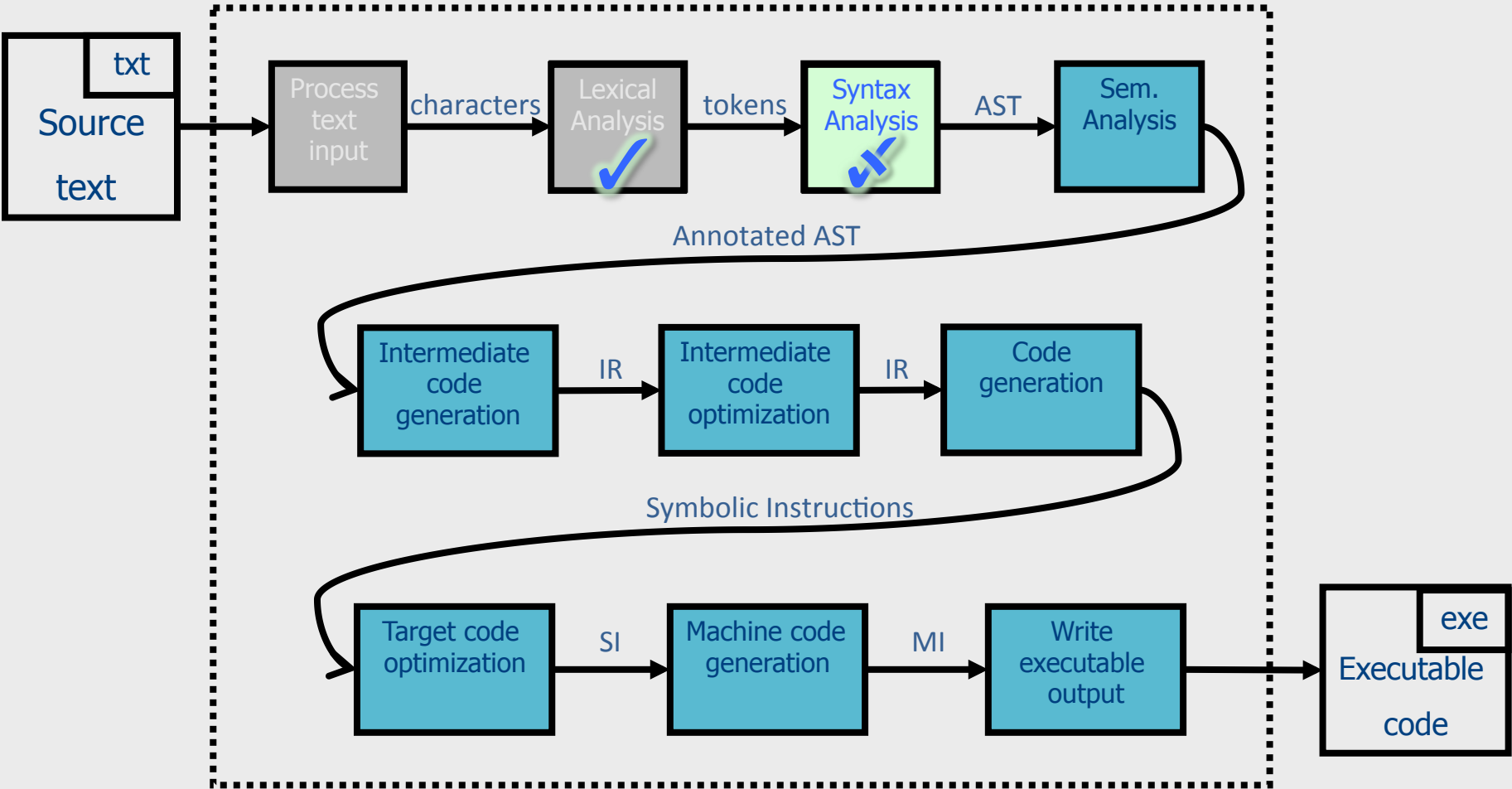
Lecture 5



Syntax Analysis: Bottom-Up parsing

Noam Chomsky

Where are we?



Bottom-Up vs Top-Down

Top-Down Parsing

Reduction starts by choosing a
derivation rule

Reduction is done in context

Bottom-Up vs Top-Down

Top-Down Parsing

Reduction starts by choosing a
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Reduction is done in context

Expr \rightarrow Even * Even | Odd – Odd

Even \rightarrow 0 | 2 | 4 | 6 | 8

Odd \rightarrow 1 | 3 | 5 | 7 | 9

used | 6 * 8
unused

Bottom-Up vs Top-Down

Top-Down Parsing

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Expr \rightarrow Even * Even | Odd – Odd

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Expr

matched | 6 * 8
unmatched

Bottom-Up vs Top-Down

Top-Down Parsing

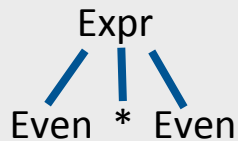
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Bottom-Up vs Top-Down

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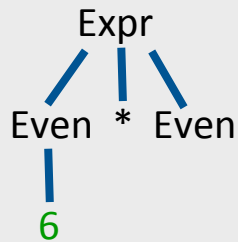
Reduction starts by choosing a **derivation rule**

- Reduction is done in context of a rule

Expr \rightarrow Even * Even | Odd + Odd

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6 | * 8
matched unmatched

Bottom-Up vs Top-Down

Top-Down Parsing

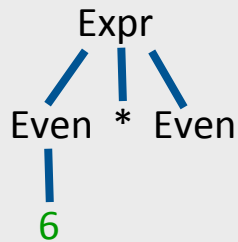
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matched



Bottom-Up Parsing

Reduction considers all **possible reduction rules**

- Rule is determined at the end

Bottom-Up vs Top-Down

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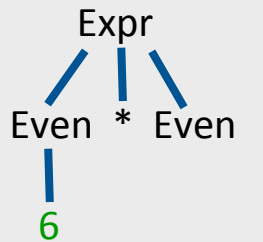
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Bottom-Up vs Top-Down

Top-Down Parsing

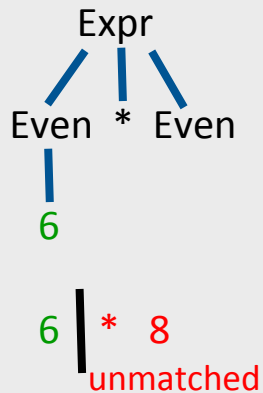
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Bottom-Up vs Top-Down

Top-Down Parsing

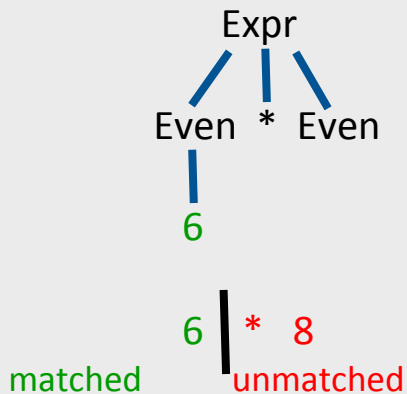
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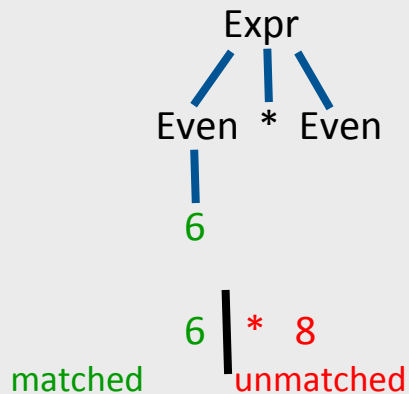
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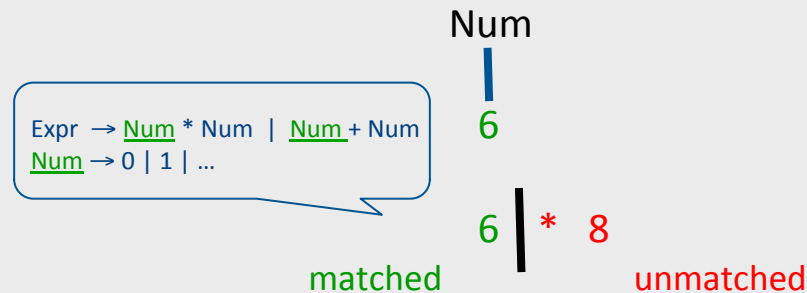
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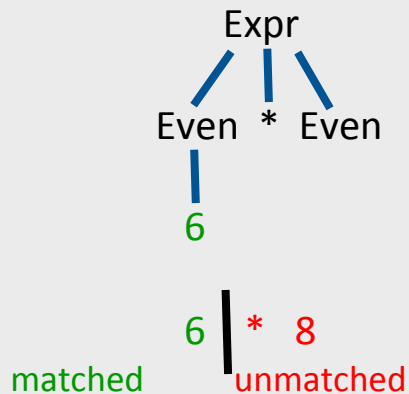
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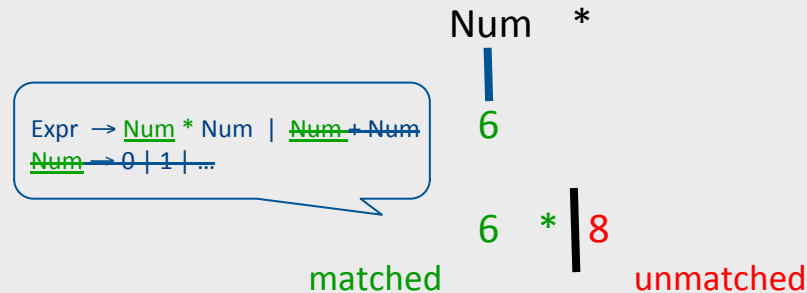
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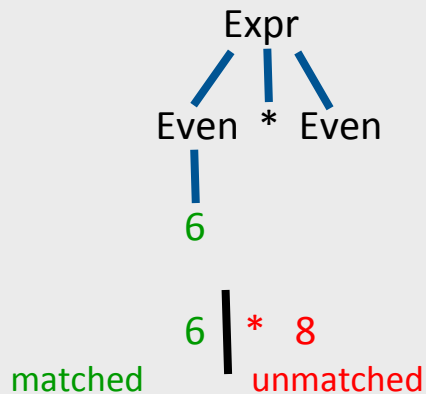
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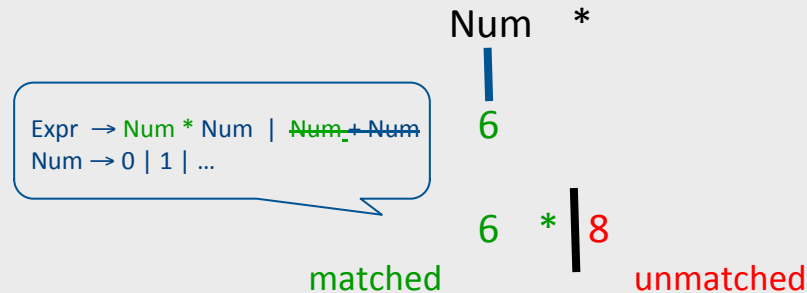
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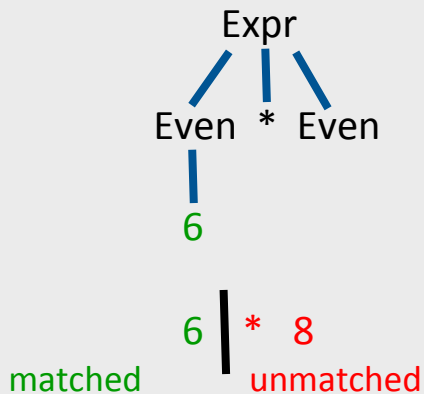
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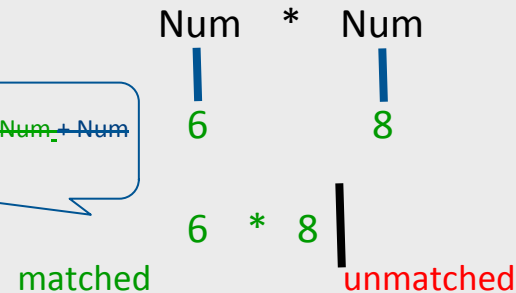
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Bottom-Up vs Top-Down

Top-Down Parsing

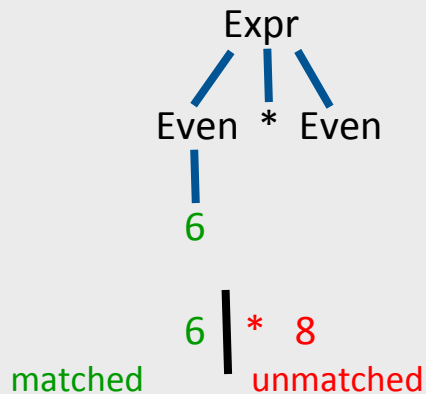
Reduction starts by choosing a **derivation rule**

- Reduction is done in context of a rule

$\text{Expr} \rightarrow \text{Even} * \text{Even} \mid \text{Odd} + \text{Odd}$

$\text{Even} \rightarrow 0 \mid 2 \mid 4 \mid 6 \mid 8$

$\text{Odd} \rightarrow 1 \mid 3 \mid 5 \mid 7 \mid 9$



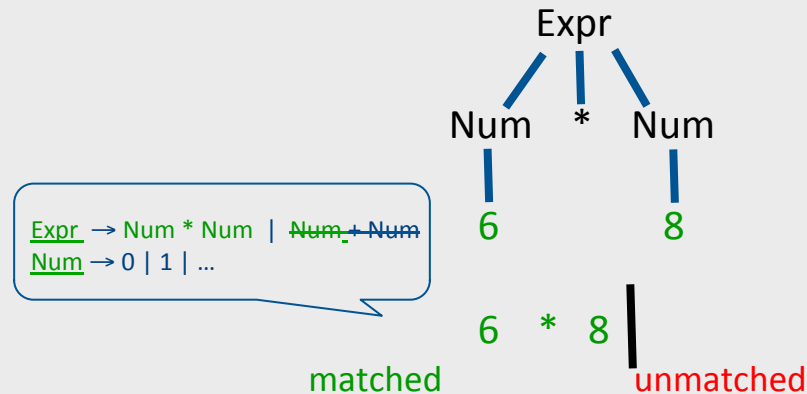
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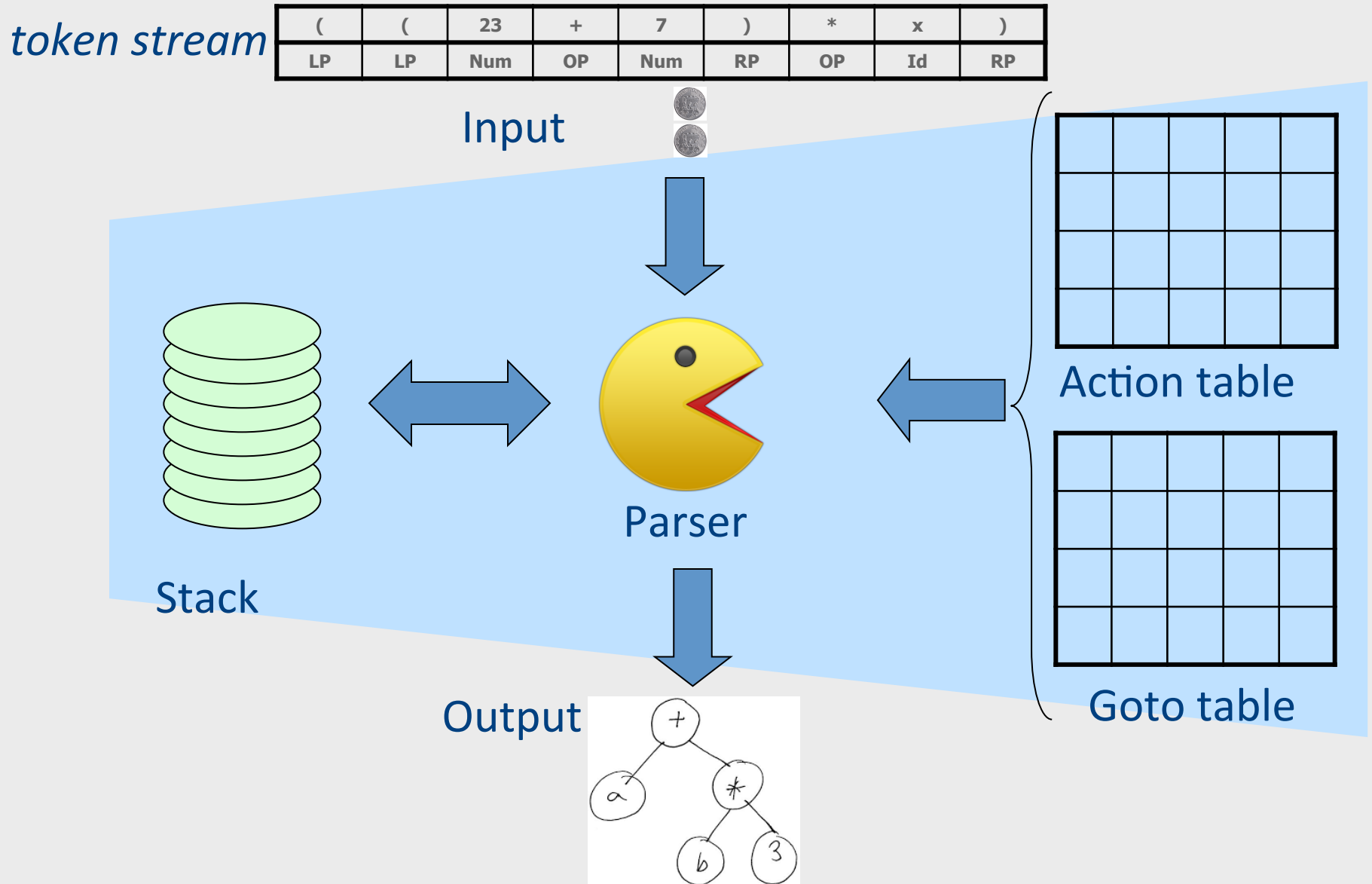
Bottom-up parsing: LR(k) Grammars

- A grammar is in the class LR(K) when it can be derived via:
 - Bottom-up derivation
 - Scanning the input from left to right (L)
 - Producing the rightmost derivation (R)
 - With lookahead of k tokens (k)
- We will look at LR(0) grammars

Terminology: Reductions & Handles

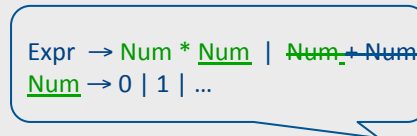
- The opposite of derivation is called *reduction*
 - Let $A \rightarrow \alpha$ be a production rule
 - Derivation: $\beta A \mu \rightarrow \beta \alpha \mu$
 - Reduction: $\beta \alpha \mu \rightarrow \beta A \mu$
- A *handle* is the reduced substring
 - α is the handles for $\beta \alpha \mu$

How does the parser know what to do?



How does the parser know what to do?

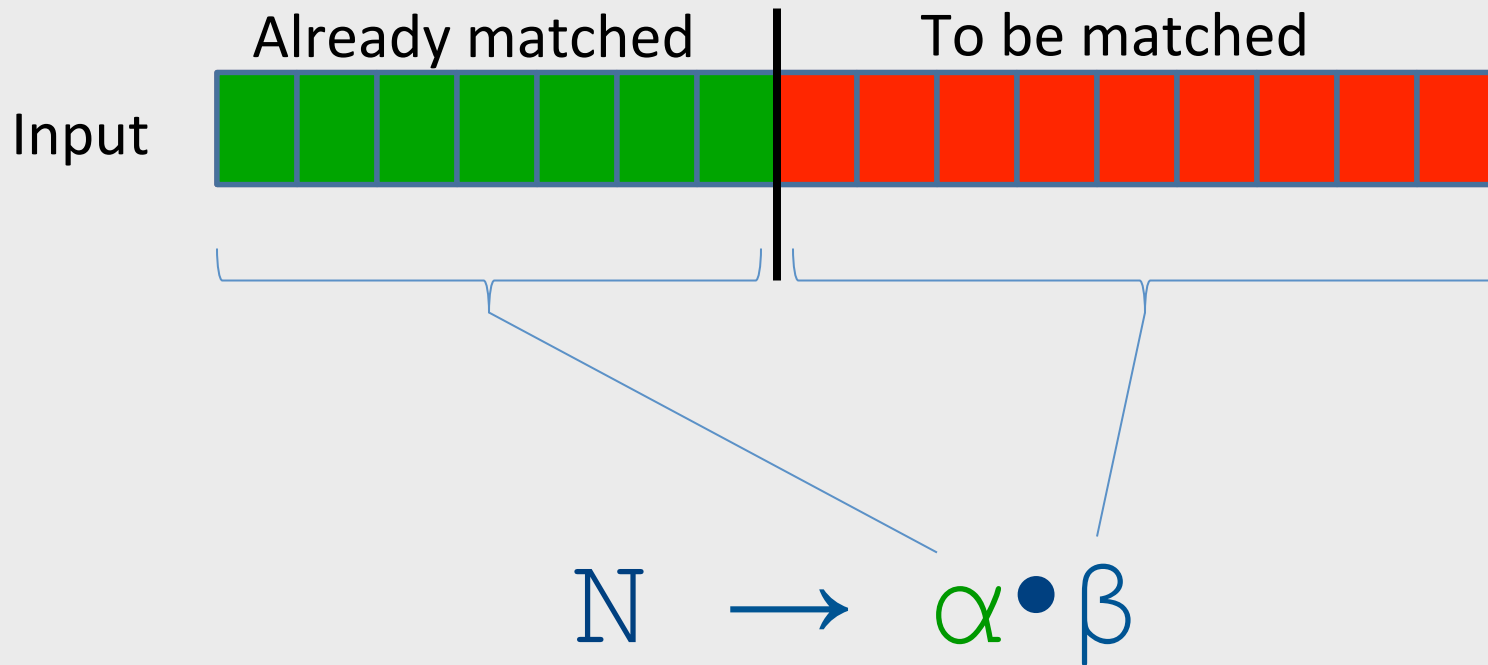
- A **state** will keep the info gathered on handle(s)
 - A state in the “control” of the PDA
 - Also (part of) the stack alphabet
 - State = set of LR(0) items



Expr \rightarrow Num * Num | Num + Num
Num \rightarrow 0 | 1 | ...

- A **table** will tell it “what to do” based on current state and next token
 - The transition function of the PDA
- A **stack** will records the “nesting level”
 - Prefixes of handles

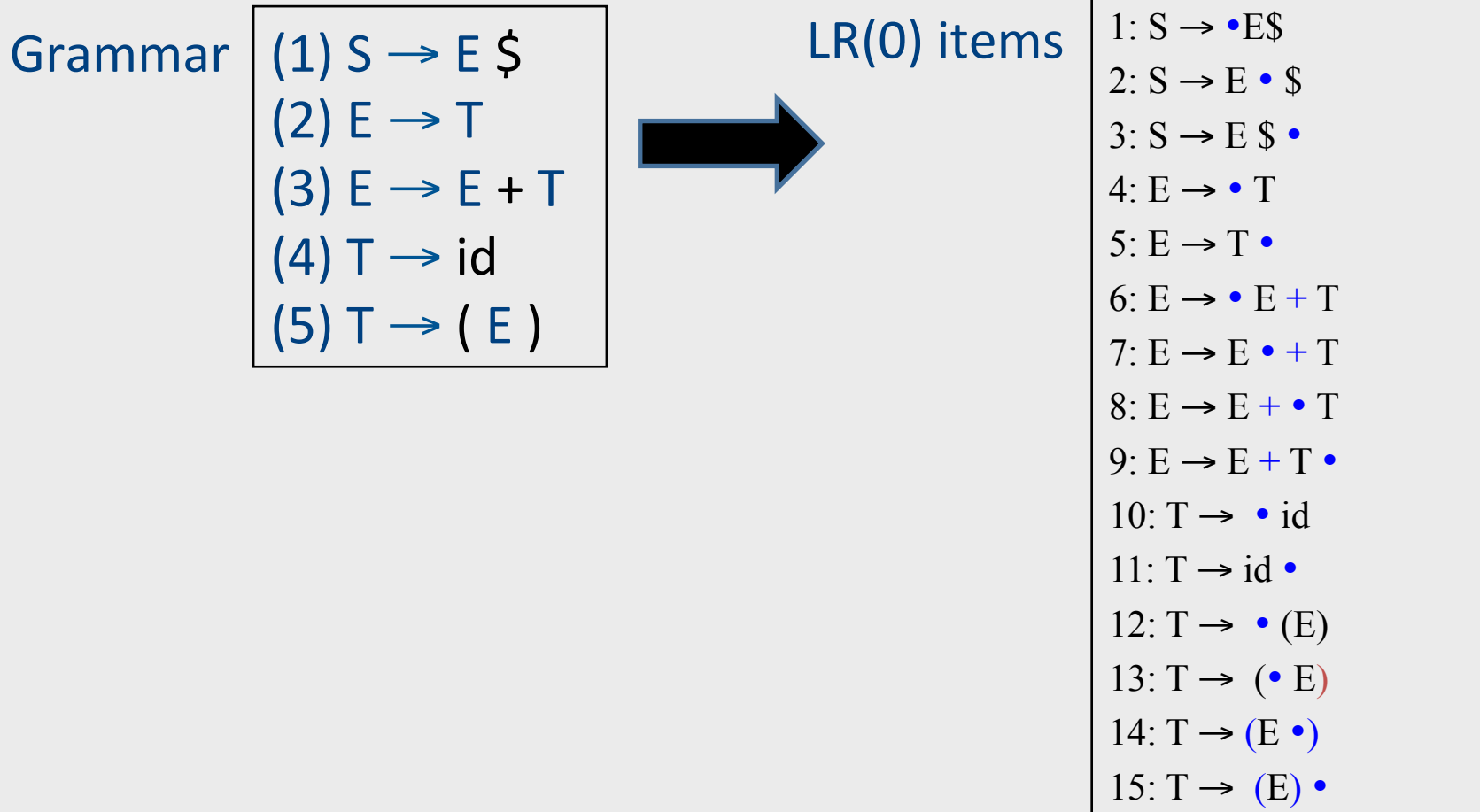
LR item



Hypothesis about $\alpha\beta$ being a possible handle, so far we've matched α , expecting to see β

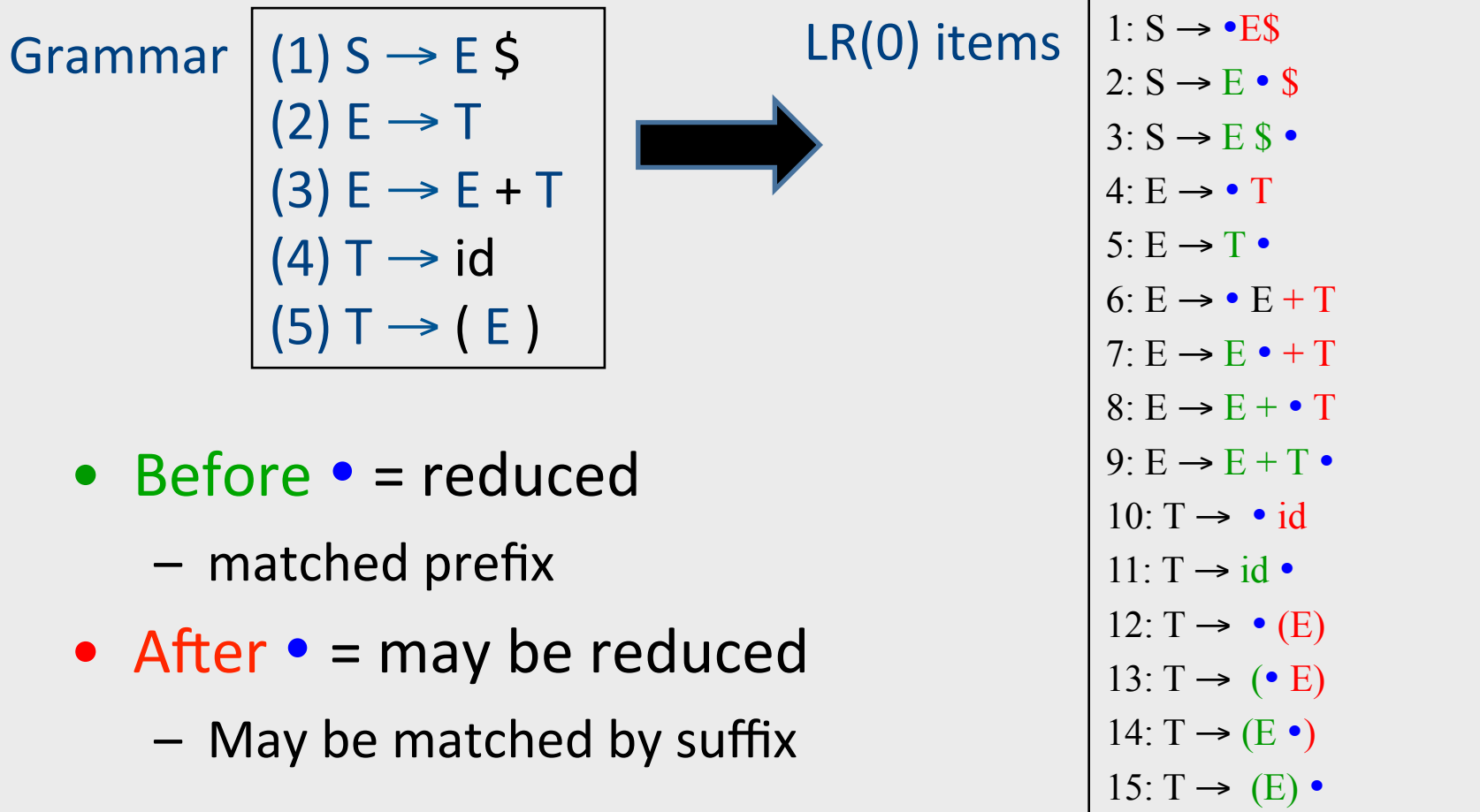
Example: LR(0) Items

- All items can be obtained by placing a dot at every position for every production:



Example: LR(0) Items

- All items can be obtained by placing a dot at every position for every production:



LR(0) Items

$$E \rightarrow E * B \mid E + B \mid B$$
$$B \rightarrow 0 \mid 1$$

- A derivation rule with a **location marker** (●) is called LR(0) item

PDA States

$$E \rightarrow E * B \mid E + B \mid B$$
$$B \rightarrow 0 \mid 1$$

- A PDA state is a set of LR(0) items. E.g.,
$$q_{13} = \{ E \rightarrow E \bullet * B, E \rightarrow E \bullet + B, B \rightarrow 1 \bullet \}$$
- Intuitively, if we matched 1,
Then the state will remember the 3 possible alternatives rules
and where we are in each of them

(1) $E \rightarrow E \bullet * B$ (2) $E \rightarrow E \bullet + B$ (3) $B \rightarrow 1 \bullet$

LR(0) Shift/Reduce Items

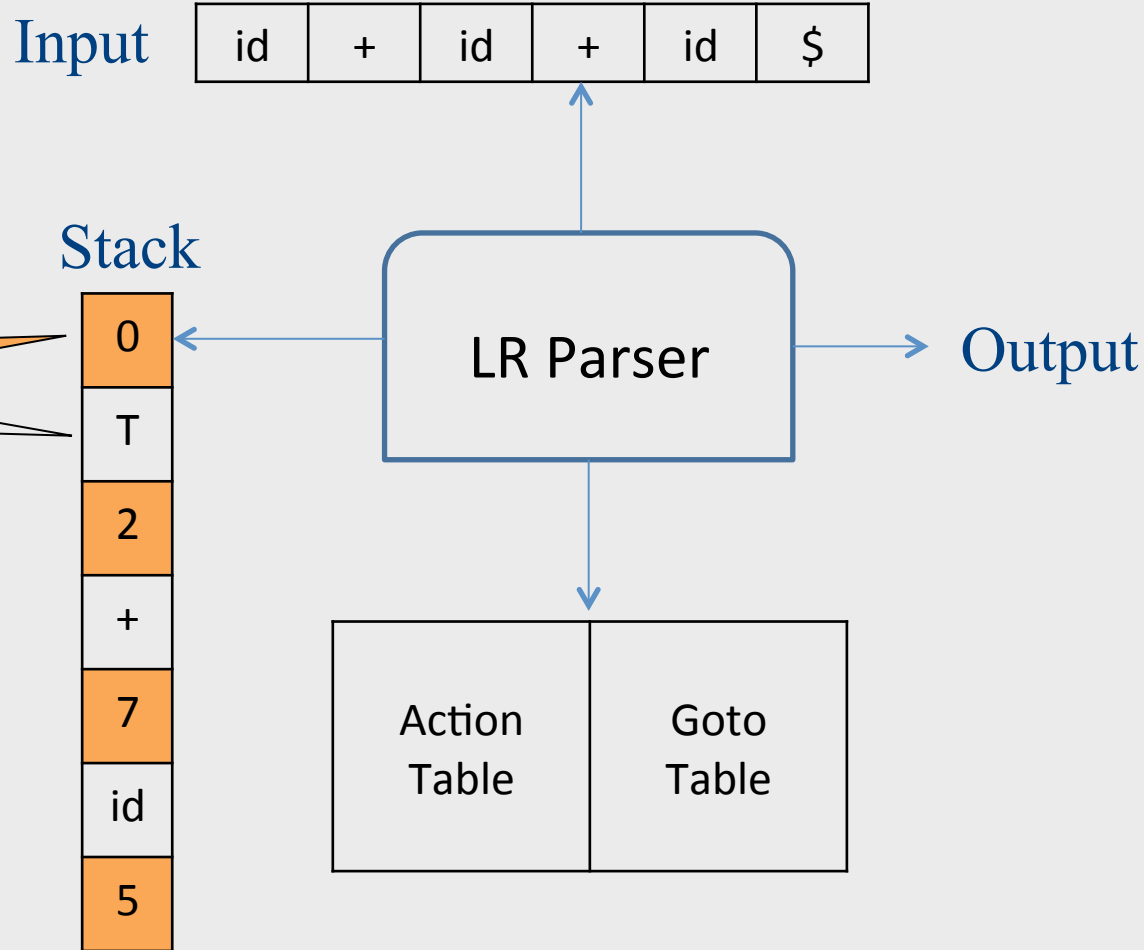
$N \rightarrow \alpha \bullet \beta$ Shift Item

$N \rightarrow \alpha \beta \bullet$ Reduce Item

Intuition

- Read input tokens left-to-right and remember them in the stack
- When a right hand side of a rule is found, remove it from the stack and replace it with the non-terminal it derives
- Remembering token is called **shift**
 - Each shift **moves to a state** that remembers what we've seen so far
- Replacing RHS with LHS is called **reduce**
 - Each reduce goes to a state that determines the context of the derivation

Model of an LR parser



LR parser stack

- Sequence made of state, symbol pairs
- For instance a possible stack for the grammar

$S \rightarrow E \$$

$E \rightarrow T$

$E \rightarrow E + T$

$T \rightarrow \text{id}$

$T \rightarrow (E)$

could be: 0 T 2 + 7 id 5


Stack grows this way

Form of LR parsing table

state	terminals	non-terminals
0	Shift/Reduce actions	Goto part
1	acc	
.		gm
.		
.	rk	
	sn	
	error	

shift state n

reduce by rule k

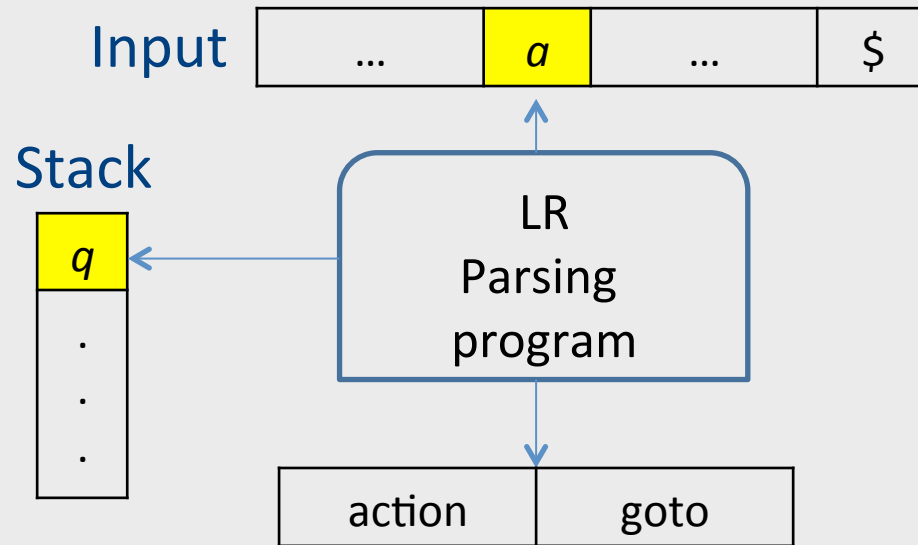
goto state m

accept

LR parser table example

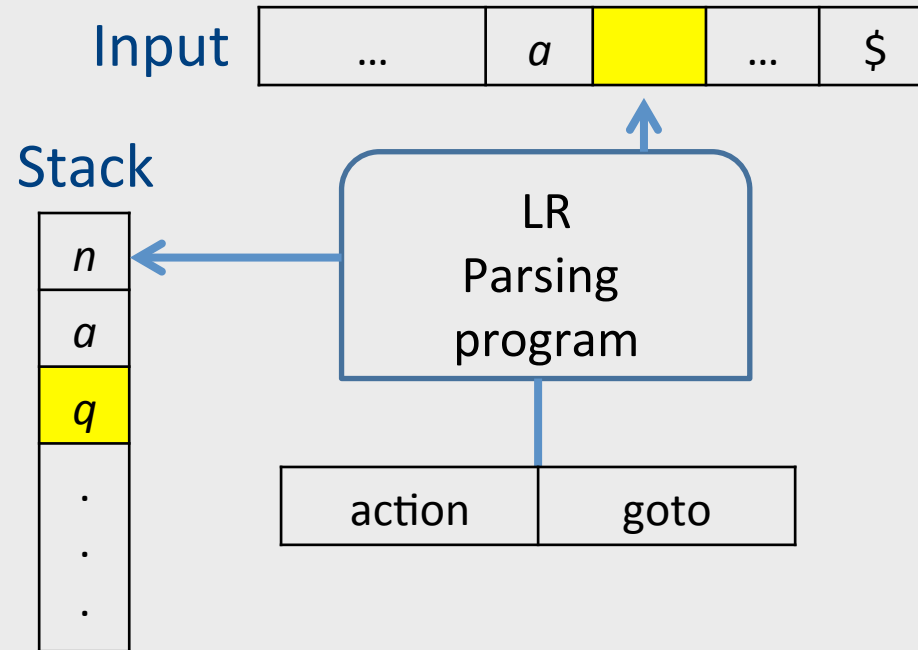
STATE	<i>action</i>					<i>goto</i>	
	id	+	()	\$	E	T
0	s5		s7			g1	g6
1		s3			acc		
2							
3	s5		s7				g4
4	r3	r3	r3	r3	r3		
5	r4	r4	r4	r4	r4		
6	r2	r2	r2	r2	r2		
7	s5		s7			g8	g6
8		s3		s9			
9	r5	r5	r5	r5	r5		

Shift move



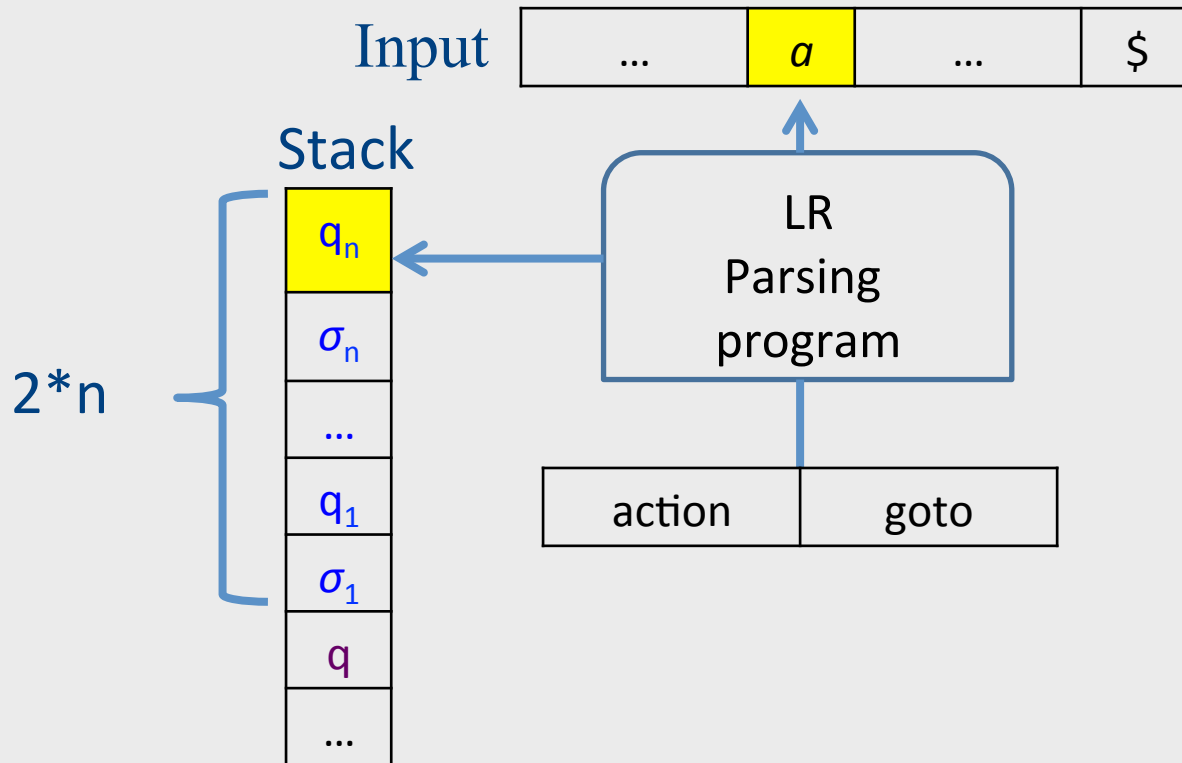
- $\text{action}[q, a] = sn$

Result of shift



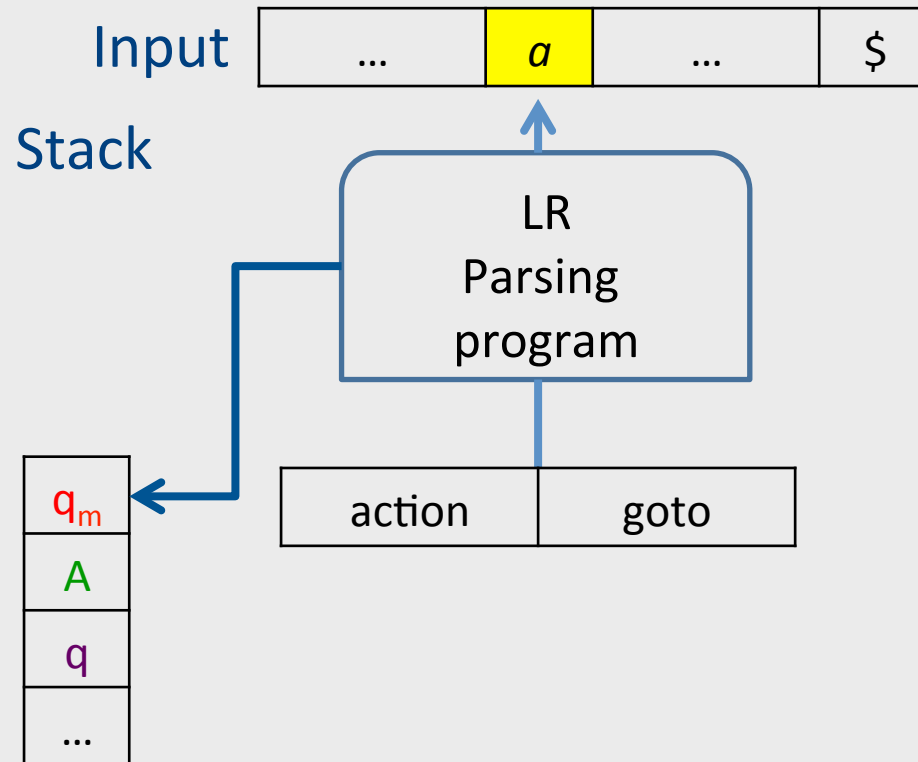
- $\text{action}[q, a] = sn$

Reduce move



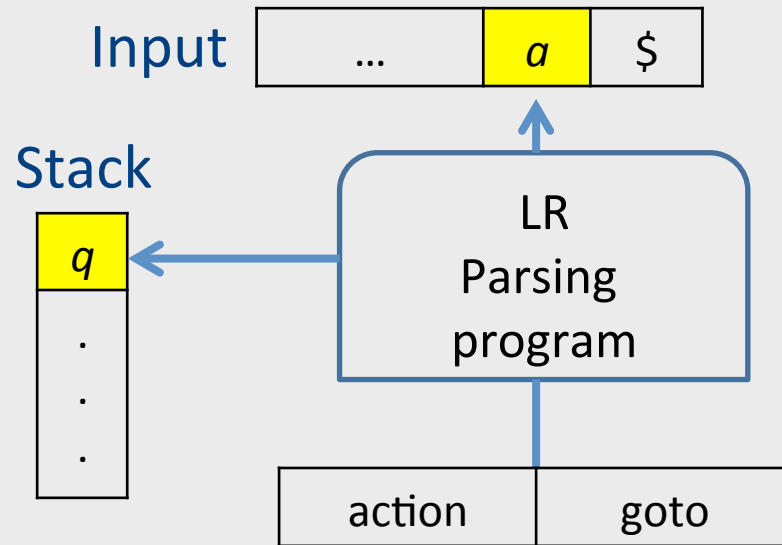
- $\text{action}[q_n, a] = rk$
- Production: $(k) A \rightarrow \sigma_1 \dots \sigma_n$
- Top of stack looks like $q_1 \sigma_1 \dots q_n \sigma_n$ for some $q_1 \dots q_n$
- $\text{goto}[q, A] = q_m$

Result of reduce move



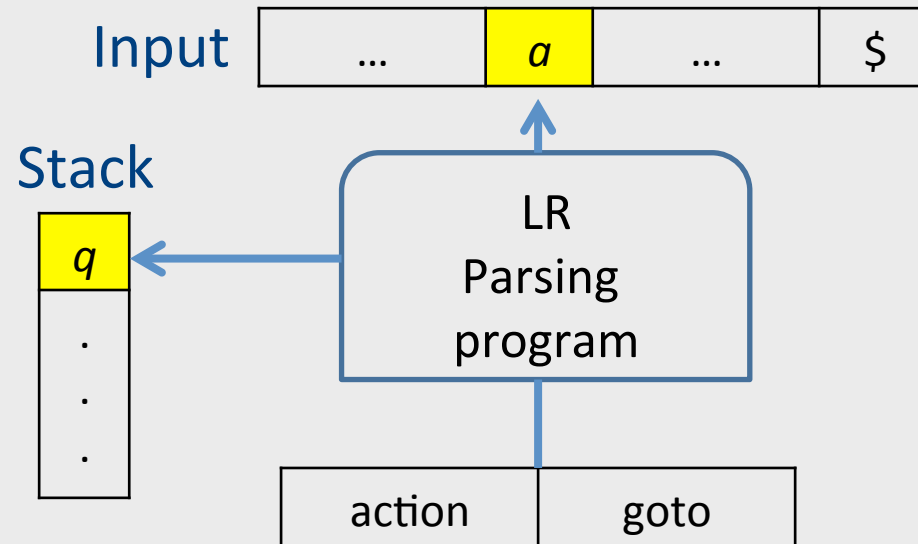
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Accept move



If $\text{action}[q, a] = \text{accept}$
parsing completed

Error move



If $\text{action}[q, a] = \text{error}$ (usually empty)
parsing discovered a syntactic error

Example

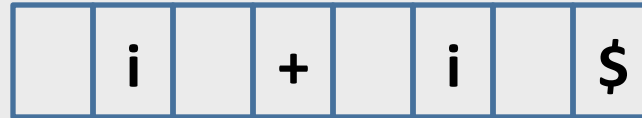
$Z \rightarrow E \$$

$E \rightarrow T \mid E + T$

$T \rightarrow \mathbf{i} \mid (E)$

Example: parsing with LR items

$Z \rightarrow E \$$
 $E \rightarrow T \mid E + T$
 $T \rightarrow i \mid (E)$



$Z \rightarrow \bullet E \$$
 $E \rightarrow \bullet T$
 $E \rightarrow \bullet E + T$
 $T \rightarrow \bullet i$
 $T \rightarrow \bullet (E)$

Why do we need these additional LR items?
Where do they come from?
What do they mean?

ϵ -closure

- Given a set S of LR(0) items
- If $P \rightarrow \alpha \bullet N \beta$ is in state S
- then for each rule $N \rightarrow \gamma$ in the grammar state S must also contain $N \rightarrow \bullet \gamma$

$$\epsilon\text{-closure}(\{Z \rightarrow \bullet E \$\}) = \{ Z \rightarrow \bullet E \$,$$

$$E \rightarrow \bullet T,$$

$$E \rightarrow \bullet E + T,$$

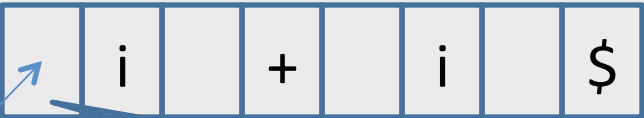
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$$T \rightarrow \bullet (E) \}$$

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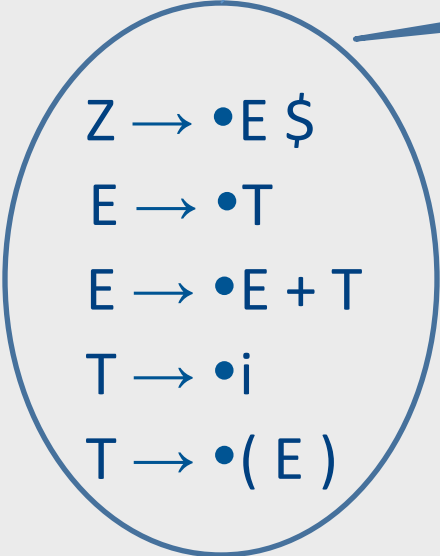
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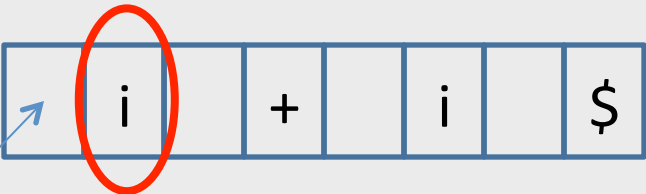
Remember position from which we're trying to reduce

Items denote possible future handles

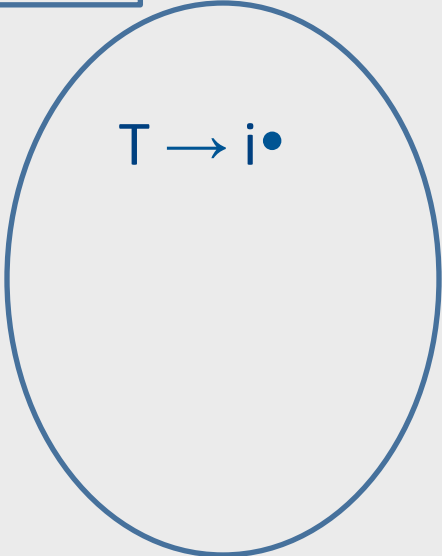
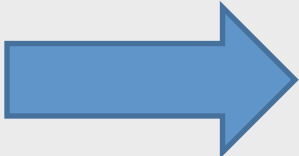
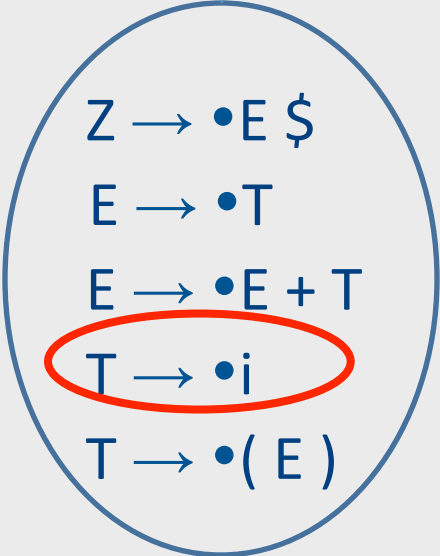


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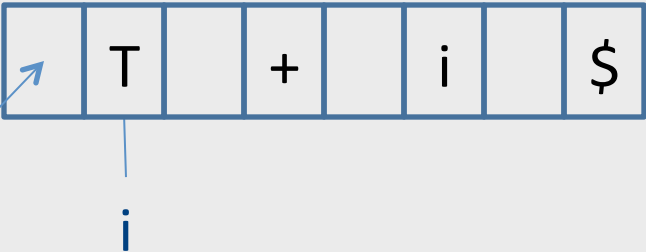


Match items with current token

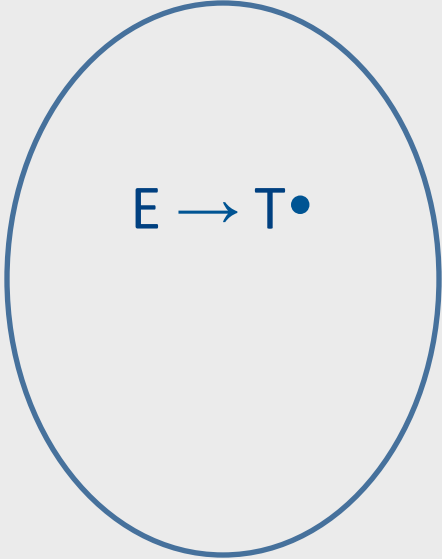
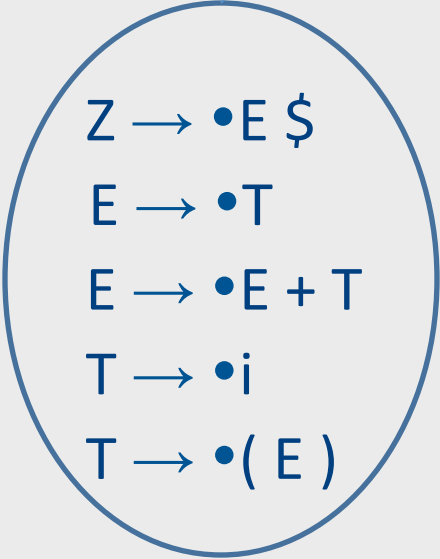


Reduce item!

Example: parsing with LR items

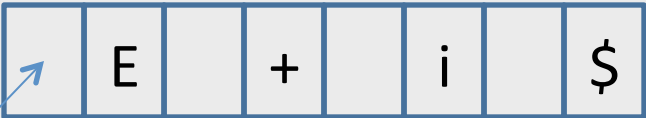


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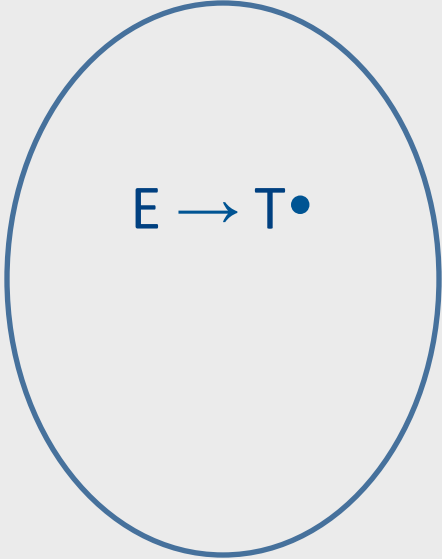
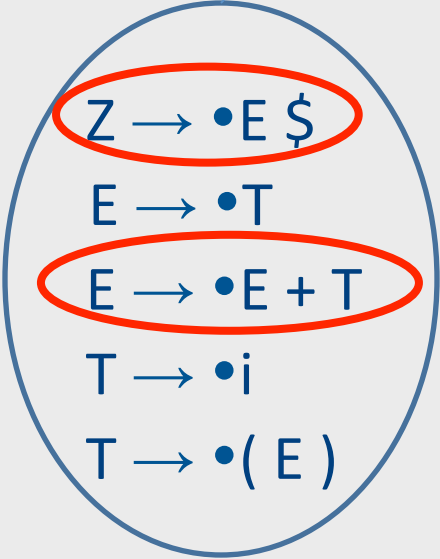


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Example: parsing with LR items



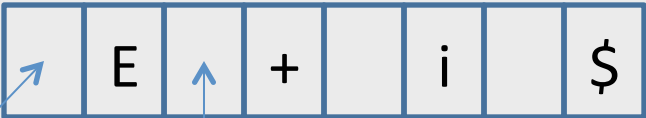
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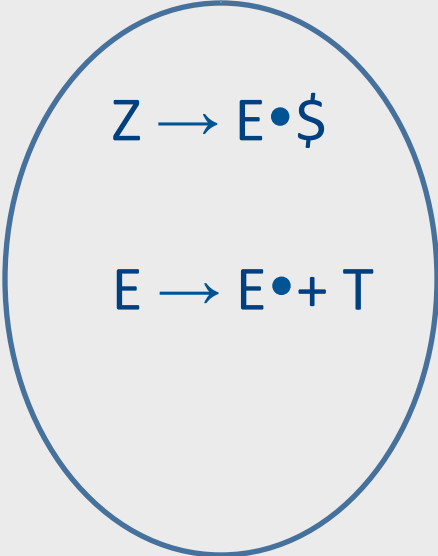
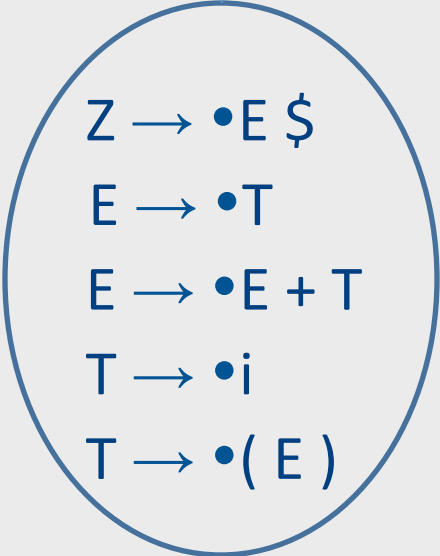
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Example: parsing with LR items

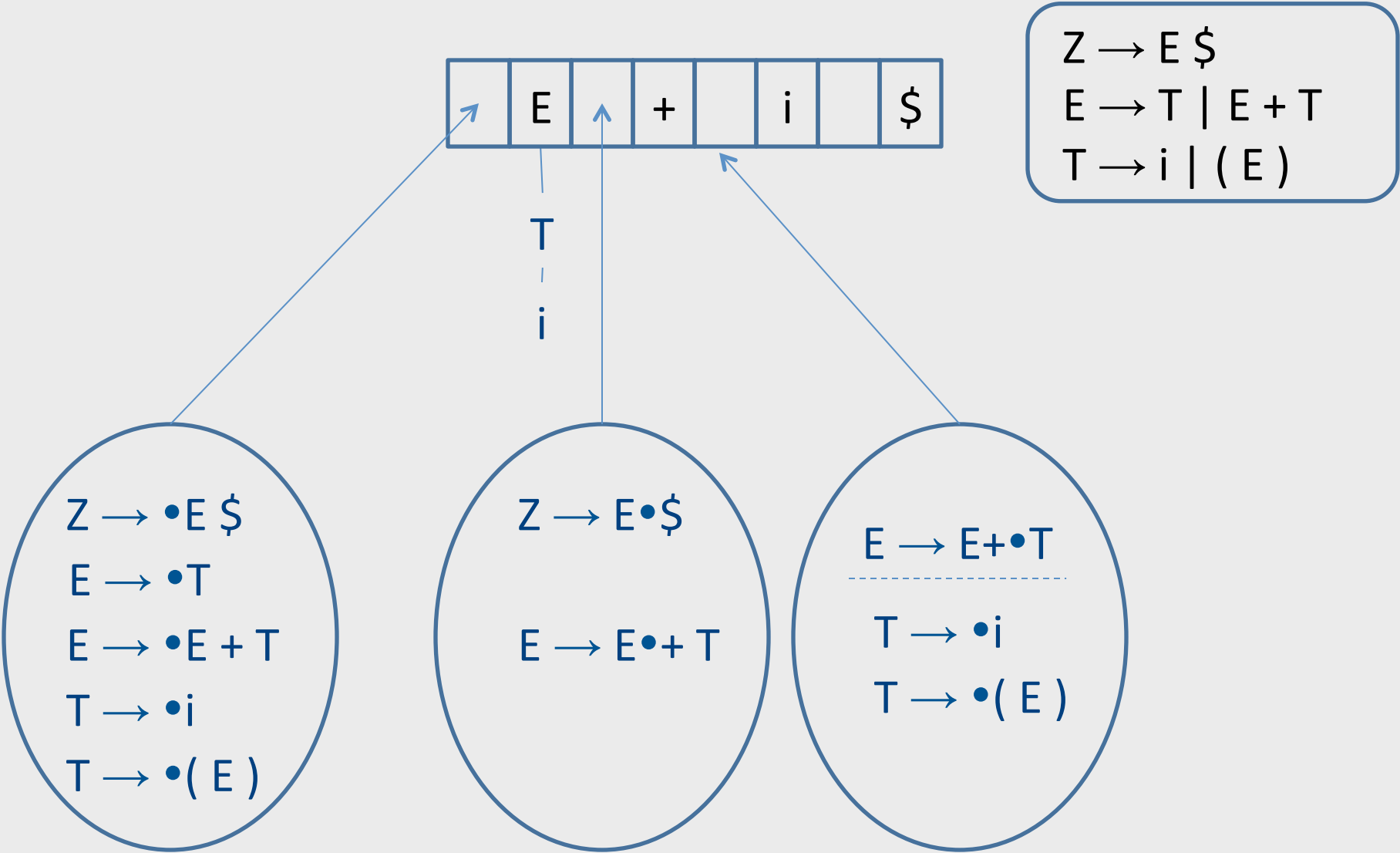
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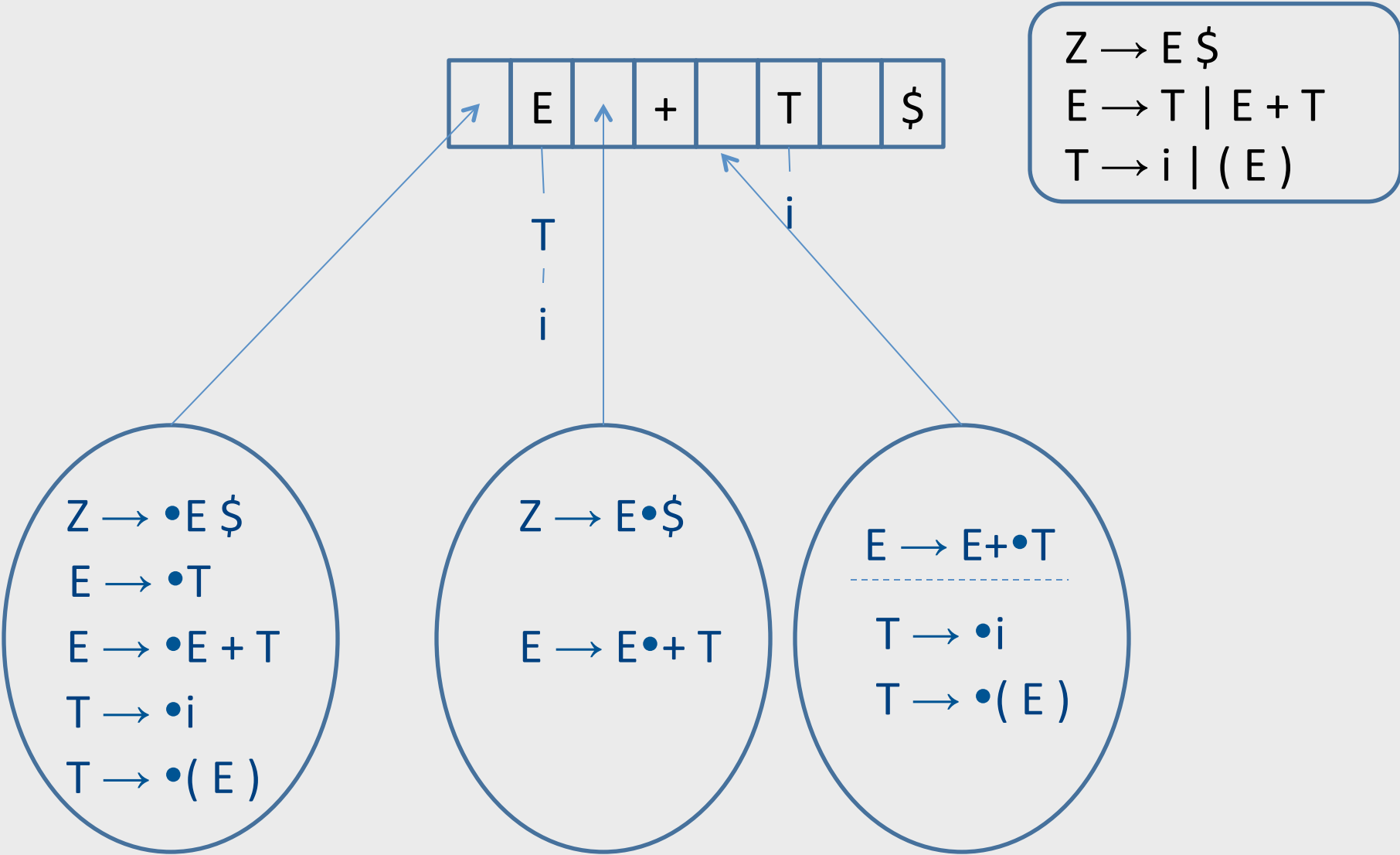
T
 $/$
 i



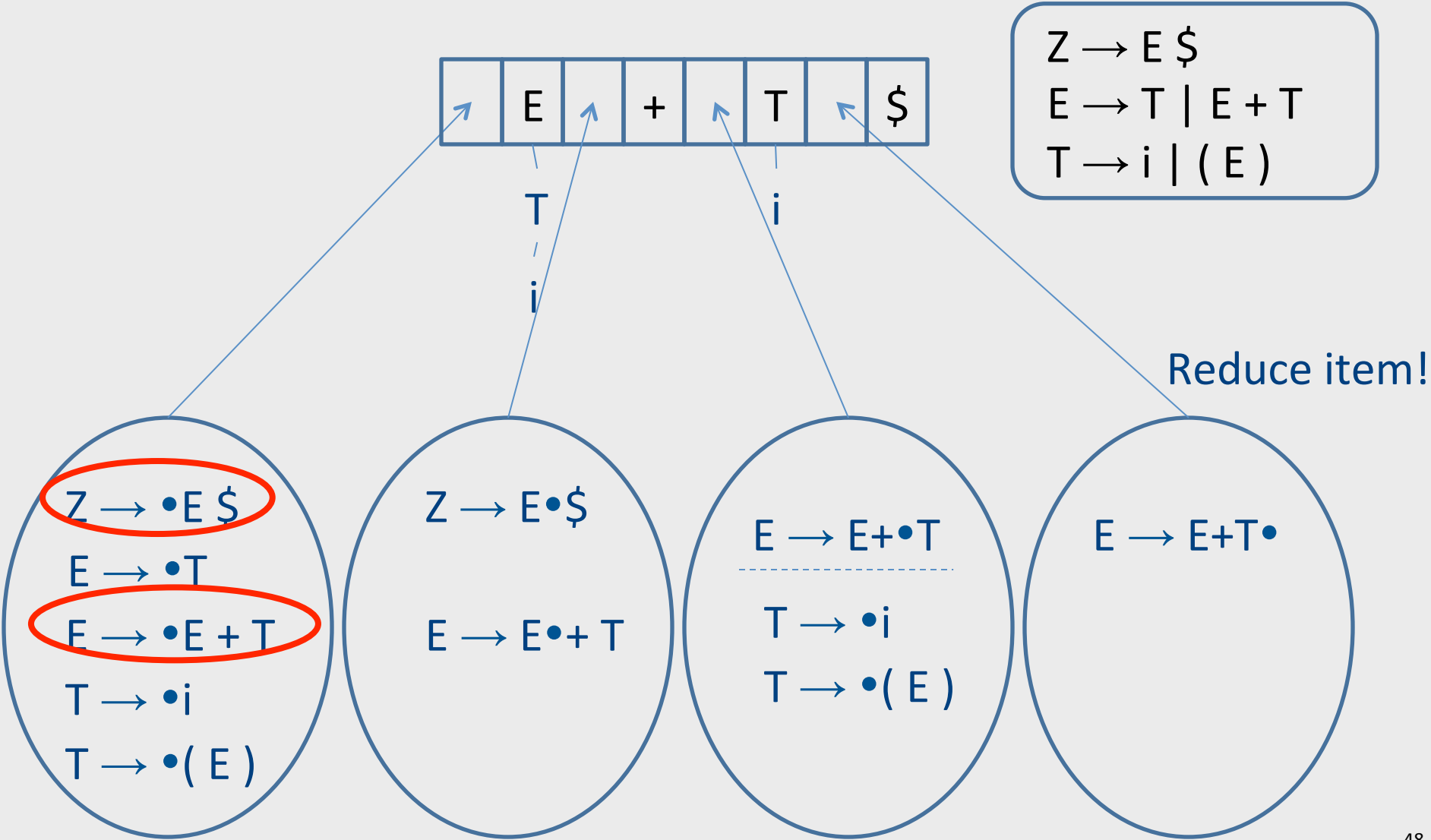
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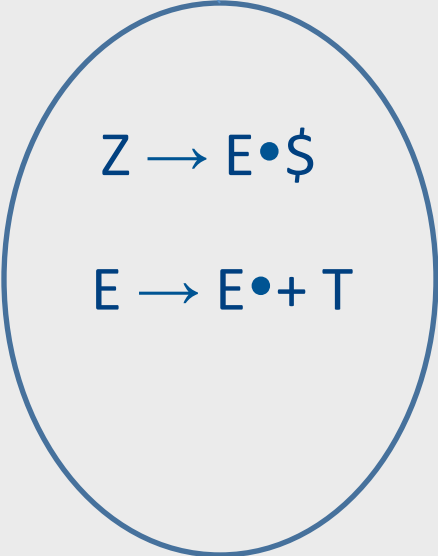
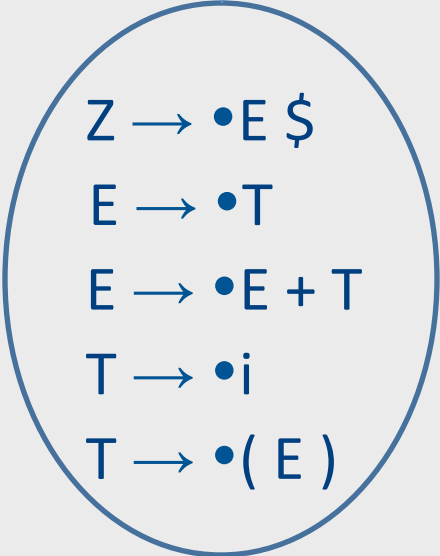


Example: parsing with LR items

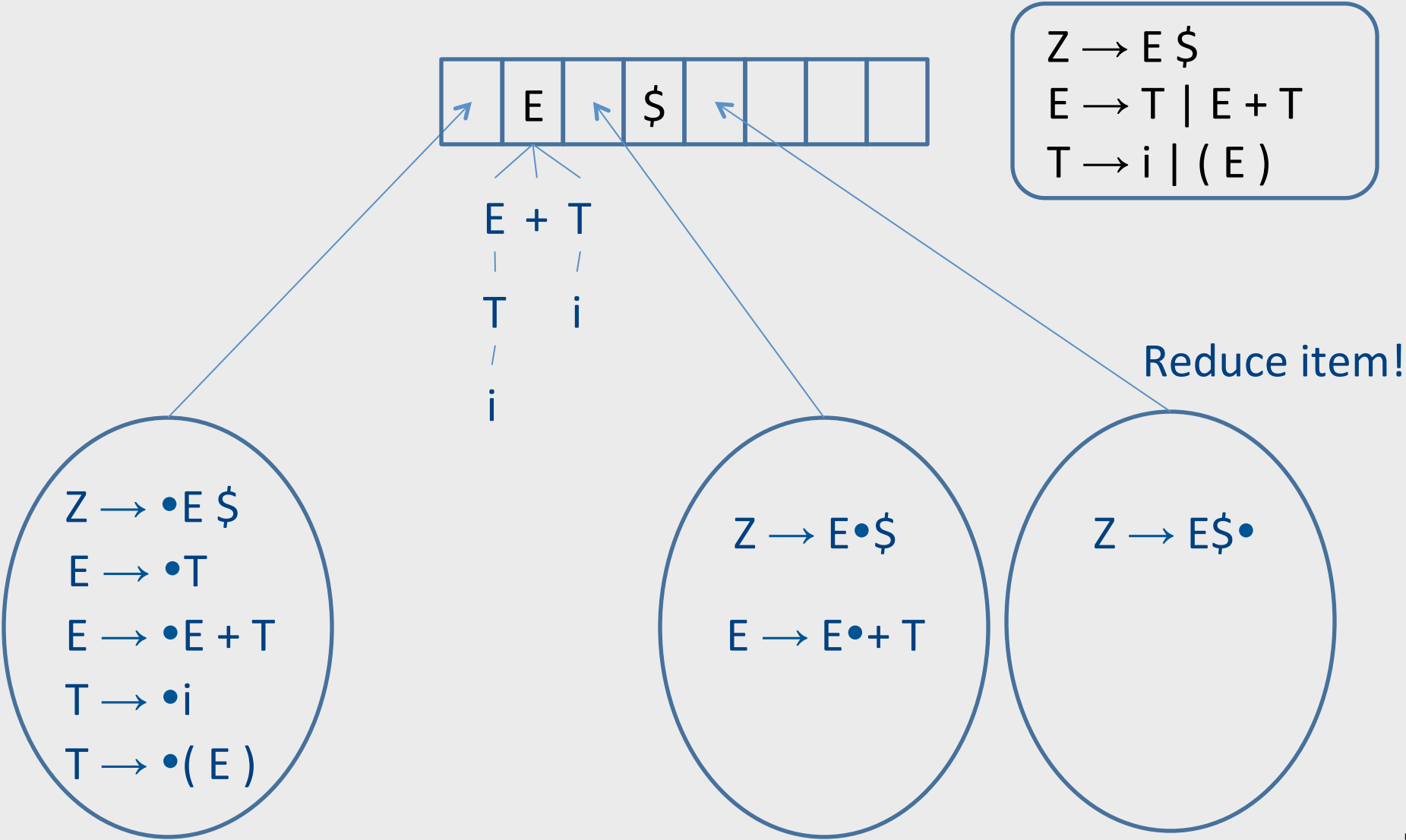
$Z \rightarrow E \$$
 $E \rightarrow T \mid E + T$
 $T \rightarrow i \mid (E)$



$E + T$
 $|$
 $T \quad i$
 $|$
 i

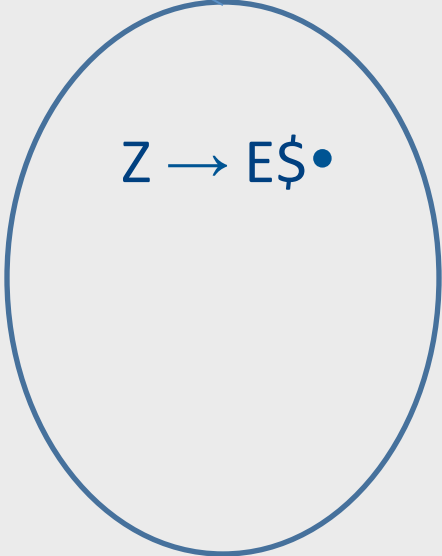
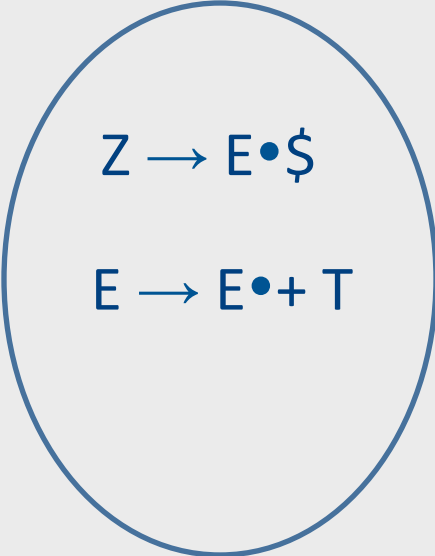
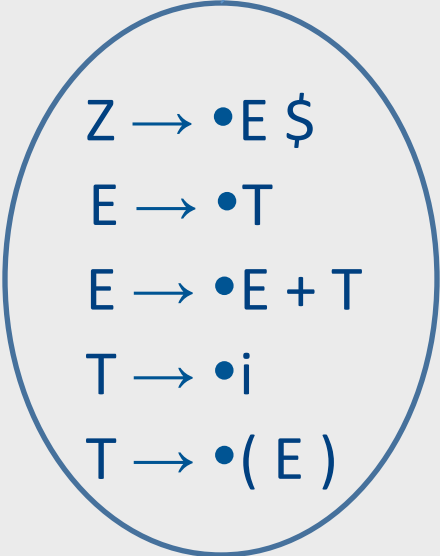


Example: parsing with LR items



Example: parsing with LR items

$Z \rightarrow E \$$
 $E \rightarrow T \mid E + T$
 $T \rightarrow i \mid (E)$



Reduce item!

GOTO/ACTION tables

GOTO Table ACTION Table

State	i	+	()	\$	E	T	action
q0	q5		q7			q1	q6	shift
q1		q3			q2			shift
q2								Z→E\$
q3	q5		q7				q4	Shift
q4								E→E+T
q5								T→i
q6								E→T
q7	q5		q7			q8	q6	shift
q8		q3		q9				shift
q9								T→E

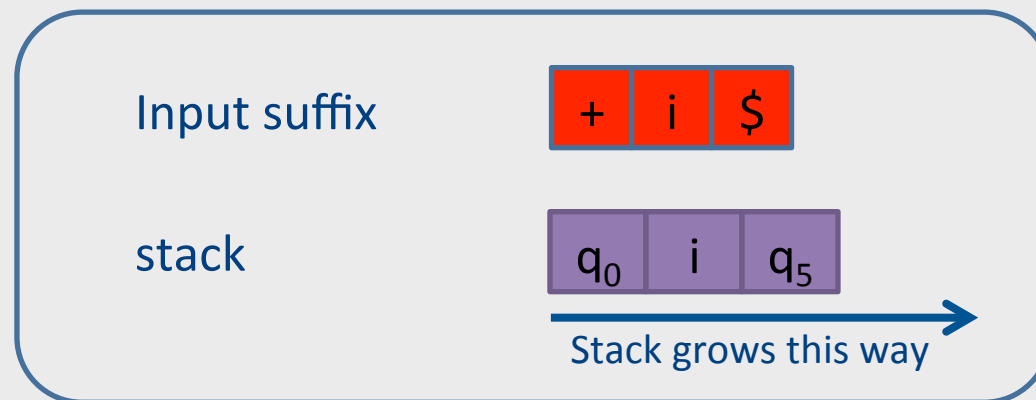
empty – error move

LR(0) parser tables

- Two types of rows:
 - **Shift** row – tells which state to GOTO for current token
 - **Reduce** row – tells which rule to reduce (independent of current token)
 - GOTO entries are blank

LR parser data structures

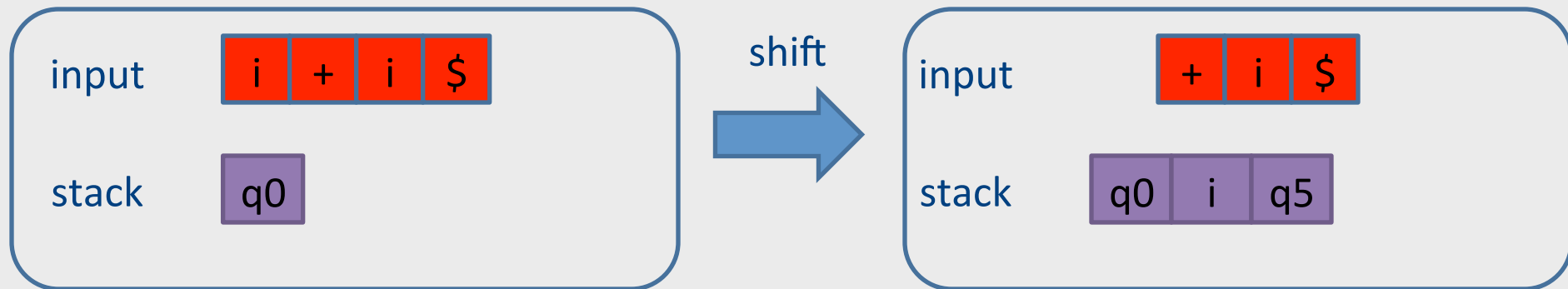
- Input – remainder of text to be processed
- Stack – sequence of pairs N, q_i
 - N – symbol (terminal or non-terminal)
 - q_i – state at which decisions are made



- Initial stack contains q_0

LR(0) pushdown automaton

- Two moves: shift and reduce
- **Shift** move
 - Remove first token from input
 - Push it on the stack
 - Compute next state based on GOTO table
 - Push new state on the stack
 - If new state is error – report error

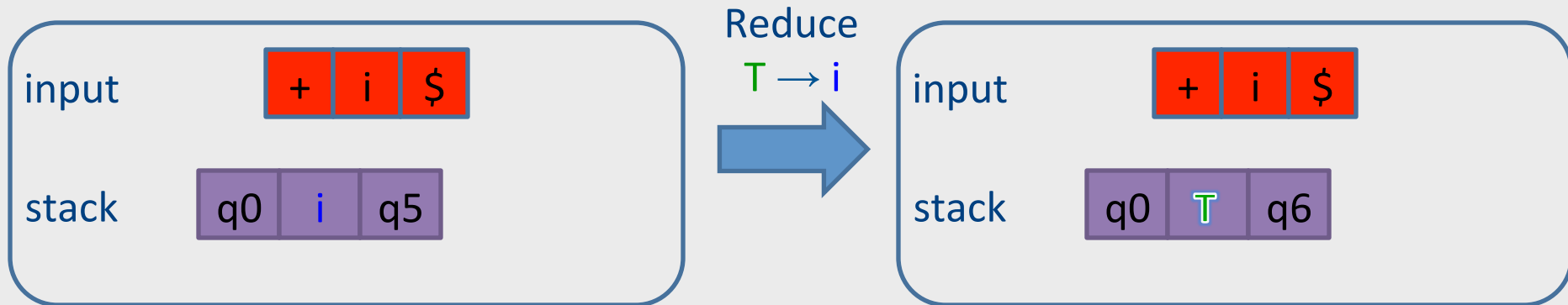


Stack grows this way →

State	i	+	()	\$	E	T	action
q0	q5		q7			q1	q6	shift

LR(0) pushdown automaton

- Reduce move
 - Using a rule $N \rightarrow \alpha$
 - Symbols in α and their following states are removed from stack
 - New state computed based on GOTO table (using top of stack, before pushing N)
 - N is pushed on the stack
 - New state pushed on top of N



Stack grows this way →

State	i	+	()	\$	E	T	action
q0	q5		q7			q1	q6	shift

GOTO/ACTION table

State	i	+	()	\$	E	T
q0	s5		s7			s1	s6
q1		s3			s2		
q2	r1	r1	r1	r1	r1	r1	r1
q3	s5		s7				s4
q4	r3	r3	r3	r3	r3	r3	r3
q5	r4	r4	r4	r4	r4	r4	r4
q6	r2	r2	r2	r2	r2	r2	r2
q7	s5		s7			s8	s6
q8		s3		s9			
q9	r5	r5	r5	r5	r5	r5	r5

- (1) $Z \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow i$
- (5) $T \rightarrow (E)$

Warning: numbers mean different things!
rn = reduce using **rule number** n
sm = shift to **state** m

Parsing id+id\$

- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow id$
- (5) $T \rightarrow (E)$

Stack grows this way 

Stack	Input	Action
0	id + id \$	s5

Initialize with state 0

S	action					goto	
	id	+	()	\$	E	T
0	s5		s7			g1	g6
1		s3			acc		
2							
3	s5		s7				g4
4	r3	r3	r3	r3	r3		
5	r4	r4	r4	r4	r4		
6	r2	r2	r2	r2	r2		
7	s5		s7			g8	g6
8		s3		s9			
9	r5	r5	r5	r5	r5		

rn = reduce using rule number n

sm = shift to state m

Parsing id+id\$

- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow id$
- (5) $T \rightarrow (E)$

Stack grows this way 

Stack	Input	Action
0	id + id \$	s5

Initialize with state 0

S	action					goto	
	id	+	()	\$	E	T
0	s5		s7			g1	g6
1		s3			acc		
2							
3	s5		s7				g4
4	r3	r3	r3	r3	r3		
5	r4	r4	r4	r4	r4		
6	r2	r2	r2	r2	r2		
7	s5		s7			g8	g6
8		s3		s9			
9	r5	r5	r5	r5	r5		

rn = reduce using rule number n

sm = shift to state m

Parsing id+id\$

Stack grows this way 

- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow id$
- (5) $T \rightarrow (E)$

Stack	Input	Action
0	id + id \$	s5
0 id 5	+ id \$	r4

S	action					goto	
	id	+	()	\$	E	T
0	s5		s7			g1	g6
1		s3			acc		
2							
3	s5		s7				g4
4	r3	r3	r3	r3	r3		
5	r4	r4	r4	r4	r4		
6	r2	r2	r2	r2	r2		
7	s5		s7			g8	g6
8		s3		s9			
9	r5	r5	r5	r5	r5		

rn = reduce using rule number n
 sm = shift to state m

Parsing id+id\$

Stack grows this way 

- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow id$
- (5) $T \rightarrow (E)$

Stack	Input	Action
0	id + id \$	s5
0 id 5	+ id \$	r4

S	action					goto	
	id	+	()	\$	E	T
0	s5		s7			g1	g6
1		s3			acc		
2							
3	s5		s7				g4
4	r3	r3	r3	r3	r3		
5	r4	r4	r4	r4	r4		
6	r2	r2	r2	r2	r2		
7	s5		s7			g8	g6
8		s3		s9			
9	r5	r5	r5	r5	r5		

pop id 5

rn = reduce using rule number n
sm = shift to state m

Parsing id+id\$

Stack grows this way →

- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow id$
- (5) $T \rightarrow (E)$

Stack	Input	Action
0	id + id \$	s5
0 id 5	+ id \$	r4

S	action					goto	
	id	+	()	\$	E	T
0	s5		s7			g1	g6
1		s3			acc		
2							
3	s5		s7				g4
4	r3	r3	r3	r3	r3		
5	r4	r4	r4	r4	r4		
6	r2	r2	r2	r2	r2		
7	s5		s7			g8	g6
8		s3		s9			
9	r5	r5	r5	r5	r5		

push T 6

rn = reduce using rule number n
sm = shift to state m

Parsing id+id\$

Stack grows this way 

- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow id$
- (5) $T \rightarrow (E)$

Stack	Input	Action
0	id + id \$	s5
0 id 5	+ id \$	r4
0 T 6	+ id \$	r2

S	action					goto	
	id	+	()	\$	E	T
0	s5		s7			g1	g6
1		s3			acc		
2							
3	s5		s7				g4
4	r3	r3	r3	r3	r3		
5	r4	r4	r4	r4	r4		
6	r2	r2	r2	r2	r2		
7	s5		s7			g8	g6
8		s3		s9			
9	r5	r5	r5	r5	r5		

rn = reduce using rule number n
 sm = shift to state m

Parsing id+id\$

Stack grows this way 

- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow id$
- (5) $T \rightarrow (E)$

Stack	Input	Action
0	id + id \$	s5
0 id 5	+ id \$	r4
0 T 6	+ id \$	r2
0 E 1	+ id \$	s3

S	action					goto	
	id	+	()	\$	E	T
0	s5		s7			g1	g6
1		s3			acc		
2							
3	s5		s7				g4
4	r3	r3	r3	r3	r3		
5	r4	r4	r4	r4	r4		
6	r2	r2	r2	r2	r2		
7	s5		s7			g8	g6
8		s3		s9			
9	r5	r5	r5	r5	r5		

rn = reduce using rule number n
 sm = shift to state m

Parsing id+id\$

Stack grows this way 

- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow id$
- (5) $T \rightarrow (E)$

Stack	Input	Action
0	id + id \$	s5
0 id 5	+ id \$	r4
0 T 6	+ id \$	r2
0 E 1	+ id \$	s3
0 E 1 + 3	id \$	s5

S	action					goto	
	id	+	()	\$	E	T
0	s5		s7			g1	g6
1		s3			acc		
2							
3	s5		s7				g4
4	r3	r3	r3	r3	r3		
5	r4	r4	r4	r4	r4		
6	r2	r2	r2	r2	r2		
7	s5		s7			g8	g6
8		s3		s9			
9	r5	r5	r5	r5	r5		

rn = reduce using rule number n

sm = shift to state m

Parsing id+id\$

Stack grows this way 

- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow id$
- (5) $T \rightarrow (E)$

Stack	Input	Action
0	id + id \$	s5
0 id 5	+ id \$	r4
0 T 6	+ id \$	r2
0 E 1	+ id \$	s3
0 E 1 + 3	id \$	s5
0 E 1 + 3 id 5	\$	r4

S	action					goto	
	id	+	()	\$	E	T
0	s5		s7			g1	g6
1		s3			acc		
2							
3	s5		s7				g4
4	r3	r3	r3	r3	r3		
5	r4	r4	r4	r4	r4		
6	r2	r2	r2	r2	r2		
7	s5		s7			g8	g6
8		s3		s9			
9	r5	r5	r5	r5	r5		

rn = reduce using rule number n

sm = shift to state m

Parsing id+id\$

Stack grows this way 

- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow id$
- (5) $T \rightarrow (E)$

Stack	Input	Action
0	id + id \$	s5
0 id 5	+ id \$	r4
0 T 6	+ id \$	r2
0 E 1	+ id \$	s3
0 E 1 + 3	id \$	s5
0 E 1 + 3 id 5	\$	r4
0 E 1 + 3 T 4	\$	r3

S	action					goto	
	id	+	()	\$	E	T
0	s5		s7			g1	g6
1		s3			acc		
2							
3	s5		s7				g4
4	r3	r3	r3	r3	r3		
5	r4	r4	r4	r4	r4		
6	r2	r2	r2	r2	r2		
7	s5		s7			g8	g6
8		s3		s9			
9	r5	r5	r5	r5	r5		

rn = reduce using rule number n
 sm = shift to state m

Parsing id+id\$

Stack grows this way 

- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow id$
- (5) $T \rightarrow (E)$

Stack	Input	Action
0	id + id \$	s5
0 id 5	+ id \$	r4
0 T 6	+ id \$	r2
0 E 1	+ id \$	s3
0 E 1 + 3	id \$	s5
0 E 1 + 3 id 5	\$	r4
0 E 1 + 3 T 4	\$	r3
0 E 1	\$	s2

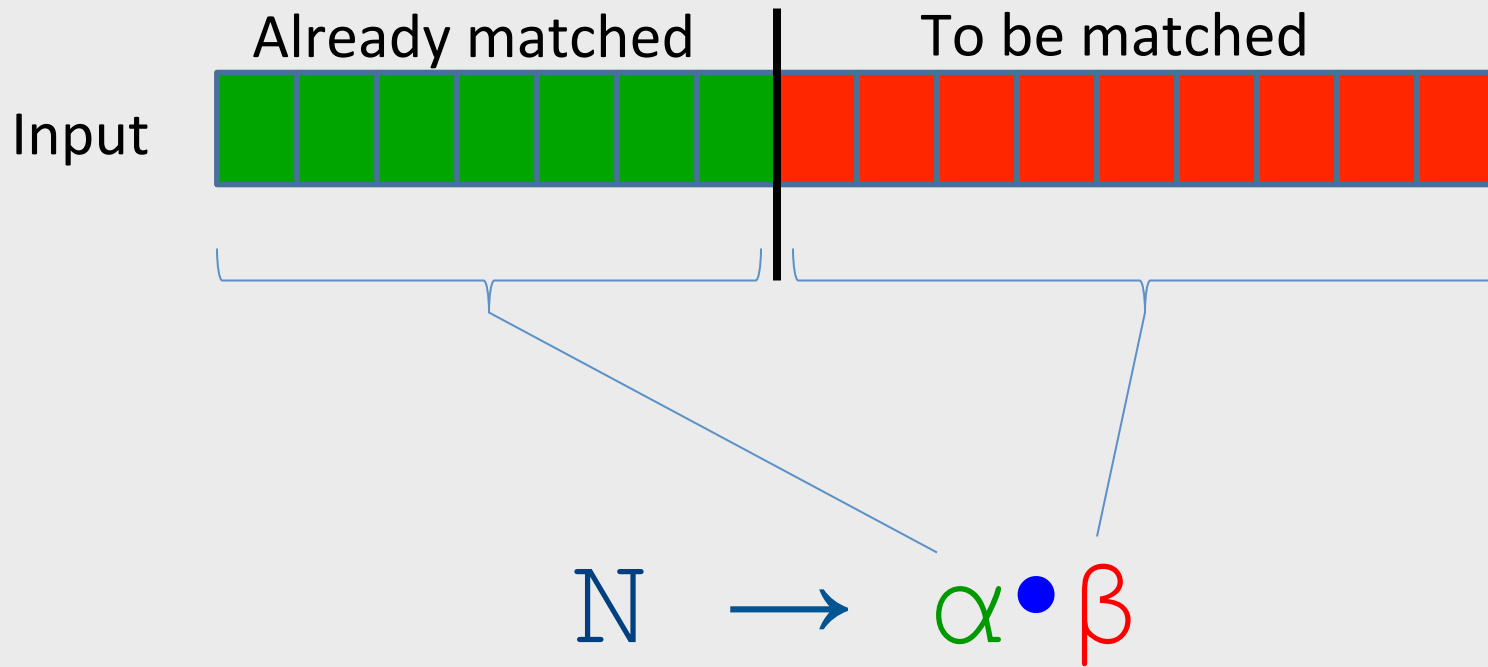
S	action					goto	
	id	+	()	\$	E	T
0	s5		s7			g1	g6
1		s3			acc		
2							
3	s5		s7				g4
4	r3	r3	r3	r3	r3		
5	r4	r4	r4	r4	r4		
6	r2	r2	r2	r2	r2		
7	s5		s7			g8	g6
8		s3		s9			
9	r5	r5	r5	r5	r5		

rn = reduce using rule number n
 sm = shift to state m

Constructing an LR parsing table

- Construct a (determinized) transition diagram from LR items
- If there are conflicts – stop
- Fill table entries from diagram

LR item



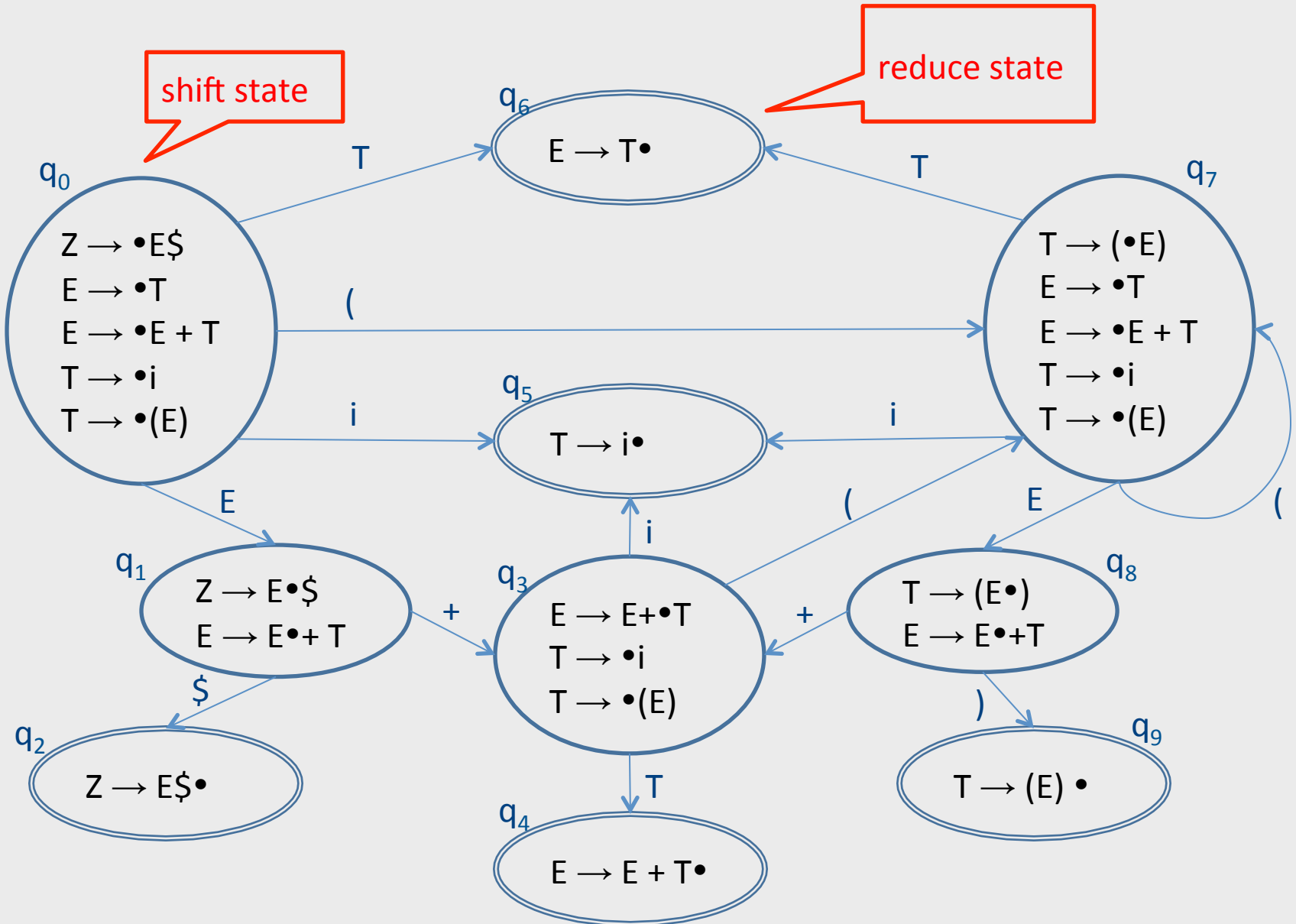
Hypothesis about $\alpha\beta$ being a possible handle, so far we've matched α , expecting to see β

Types of LR(0) items

$N \rightarrow \alpha \bullet \beta$ Shift Item

$N \rightarrow \alpha \beta \bullet$ Reduce Item

LR(0) automaton example



Computing item sets

- Initial set
 - Z is in the start symbol
 - ε -closure($\{ Z \rightarrow \bullet \alpha \mid Z \rightarrow \alpha \text{ is in the grammar } \}$)
- Next set from a set I and the next symbol X
 - $\text{step}(I, X) = \{ N \rightarrow \alpha X \bullet \beta \mid N \rightarrow \alpha \bullet X \beta \text{ in the item set } I \}$
 - $\text{nextSet}(I, X) = \varepsilon\text{-closure}(\text{step}(I, X))$

Operations for transition diagram construction

- Initial = $\{S' \rightarrow \bullet S \$\}$
- For an item set I
Closure(I) = Closure(I) \cup
 $\{X \rightarrow \bullet \mu \text{ is in grammar} \mid N \rightarrow \alpha \bullet X \beta \text{ in } I\}$
- Goto(I, X) = $\{N \rightarrow \alpha X \bullet \beta \mid N \rightarrow \alpha \bullet X \beta \text{ in } I\}$

For simplicity:
omitting S'

Initial example

- Initial = $\{S \rightarrow \bullet E \$\}$

Grammar

- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow \text{id}$
- (5) $T \rightarrow (E)$

Closure example

- Initial = $\{S \rightarrow \bullet E \$\}$
- Closure($\{S \rightarrow \bullet E \$\}$) = $\{$
 - $S \rightarrow \bullet E \$$
 - $E \rightarrow \bullet T$
 - $E \rightarrow \bullet E + T$
 - $T \rightarrow \bullet id$
 - $T \rightarrow \bullet (E)$ $\}$

Grammar

- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow id$
- (5) $T \rightarrow (E)$

Goto example

Grammar

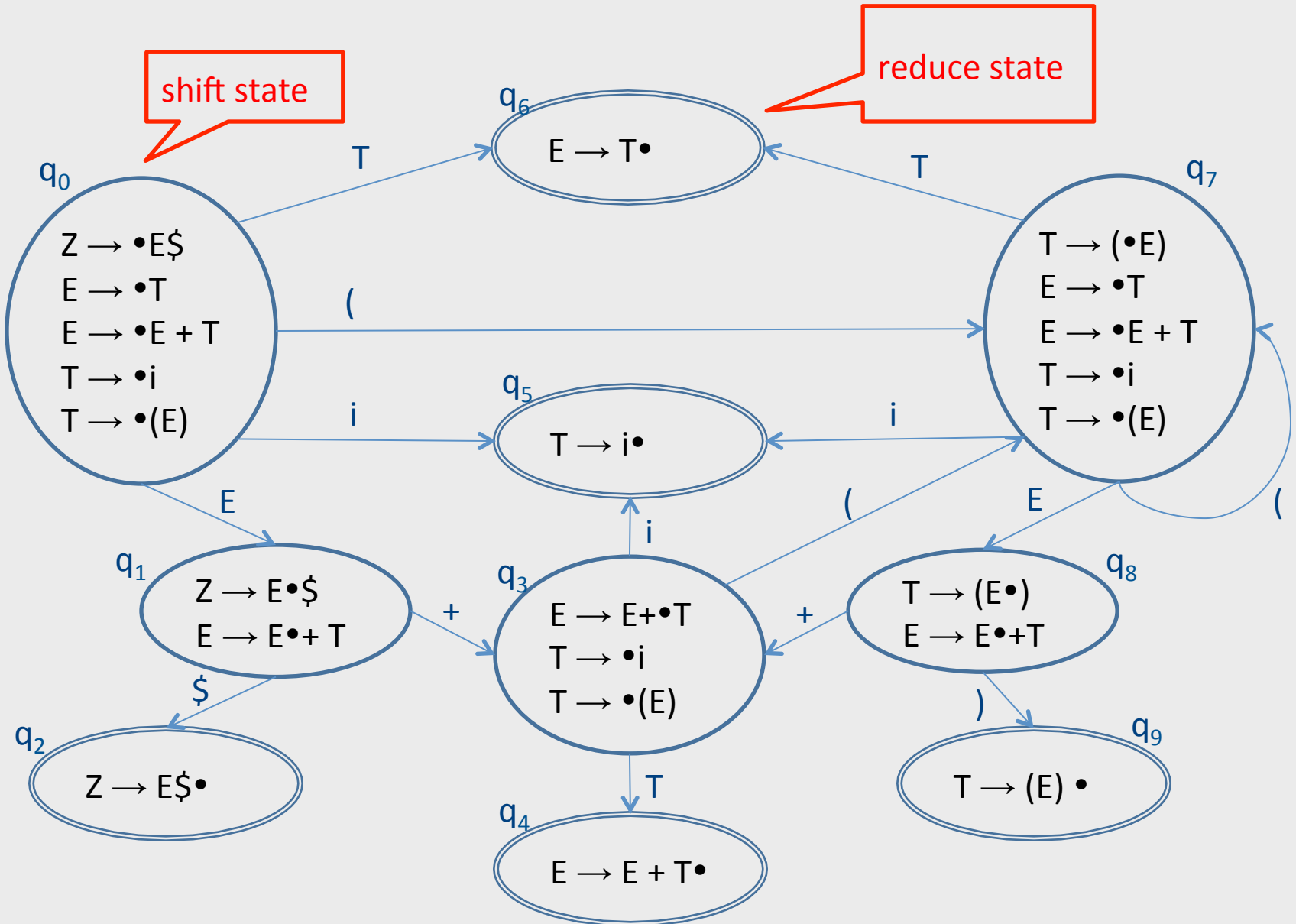
- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow \text{id}$
- (5) $T \rightarrow (E)$

- Initial = $\{S \rightarrow \bullet E \$\}$
- Closure($\{S \rightarrow \bullet E \$\}$) = $\{$
 - $S \rightarrow \bullet E \$$
 - $E \rightarrow \bullet T$
 - $E \rightarrow \bullet E + T$
 - $T \rightarrow \bullet \text{id}$
 - $T \rightarrow \bullet (E)$ $\}$
- Goto($\{S \rightarrow \bullet E \$, E \rightarrow \bullet E + T, T \rightarrow \bullet \text{id}\}, E) = \{S \rightarrow E \bullet \$, E \rightarrow E \bullet + T\}$

Constructing the transition diagram

- Start with state 0 containing item $\text{Closure}(\{S \rightarrow \bullet E \$\})$
- Repeat until no new states are discovered
 - For every state p containing item set I_p , and symbol N , compute state q containing item set $I_q = \text{Closure}(\text{goto}(I_p, N))$

LR(0) automaton example



Automaton construction example

- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow \text{id}$
- (5) $T \rightarrow (E)$

q_0

$S \rightarrow \bullet E \$$

Initialize

Automaton construction example

- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow \text{id}$
- (5) $T \rightarrow (E)$

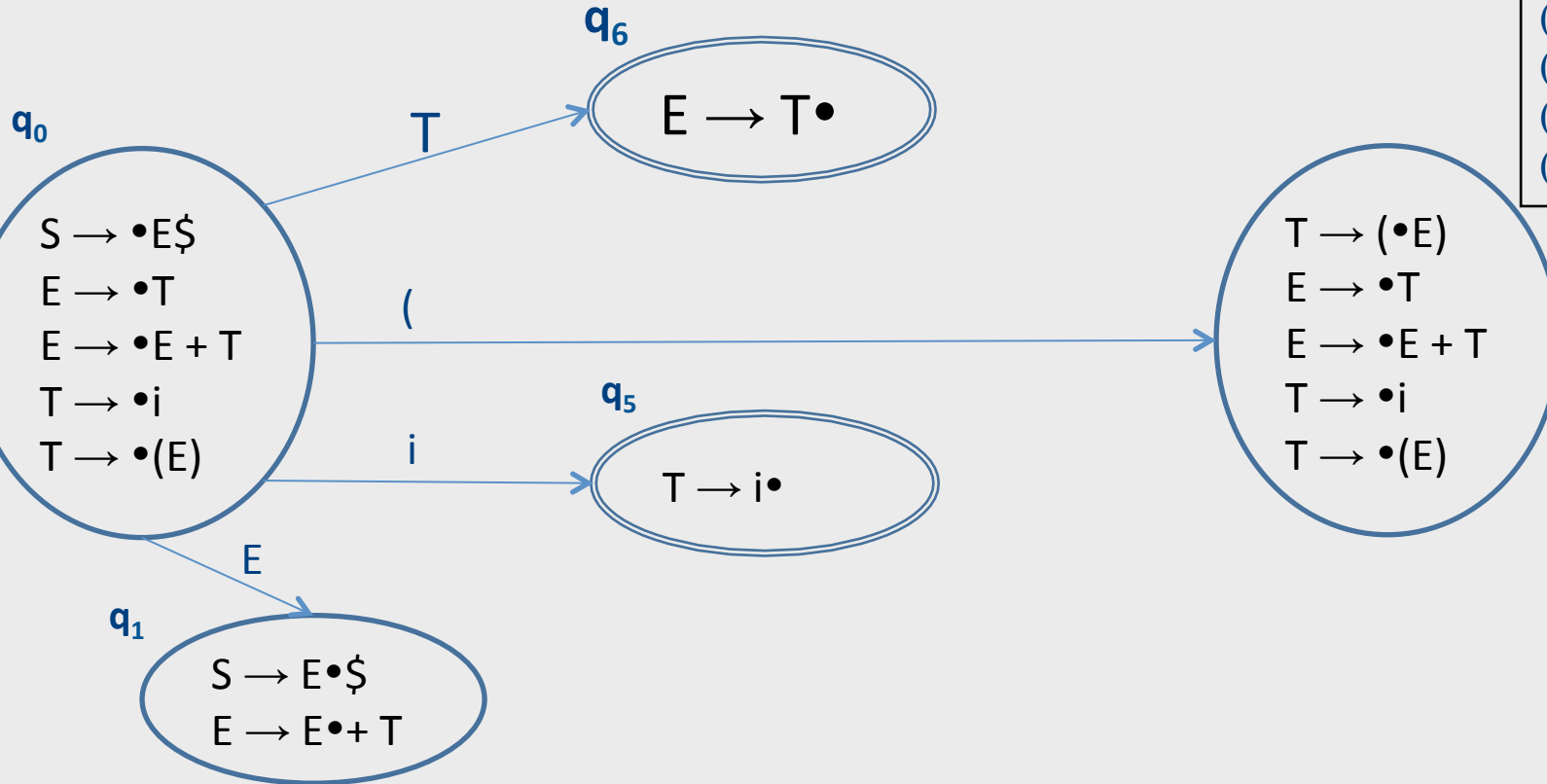
q_0

$S \rightarrow \bullet E \$$
 $E \rightarrow \bullet T$
 $E \rightarrow \bullet E + T$
 $T \rightarrow \bullet i$
 $T \rightarrow \bullet (E)$

apply
Closure

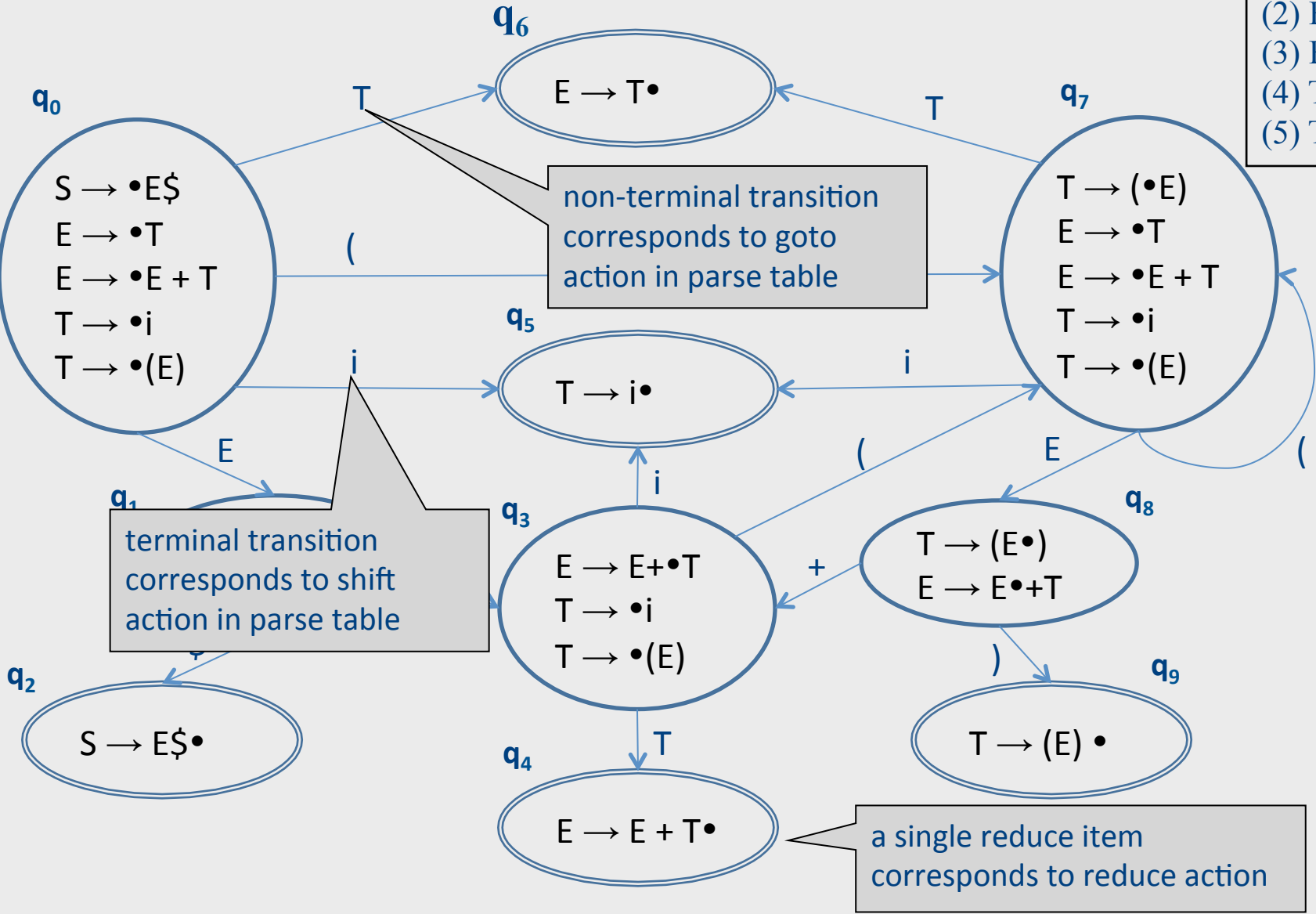
Automaton construction example

- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow \text{id}$
- (5) $T \rightarrow (E)$



Automaton construction example

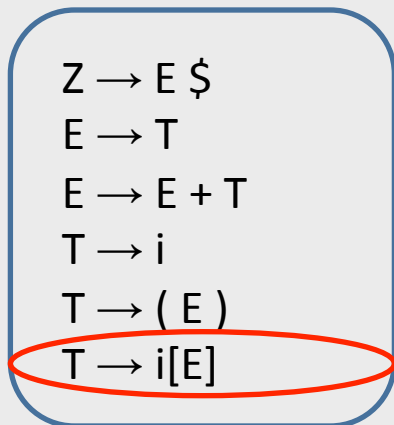
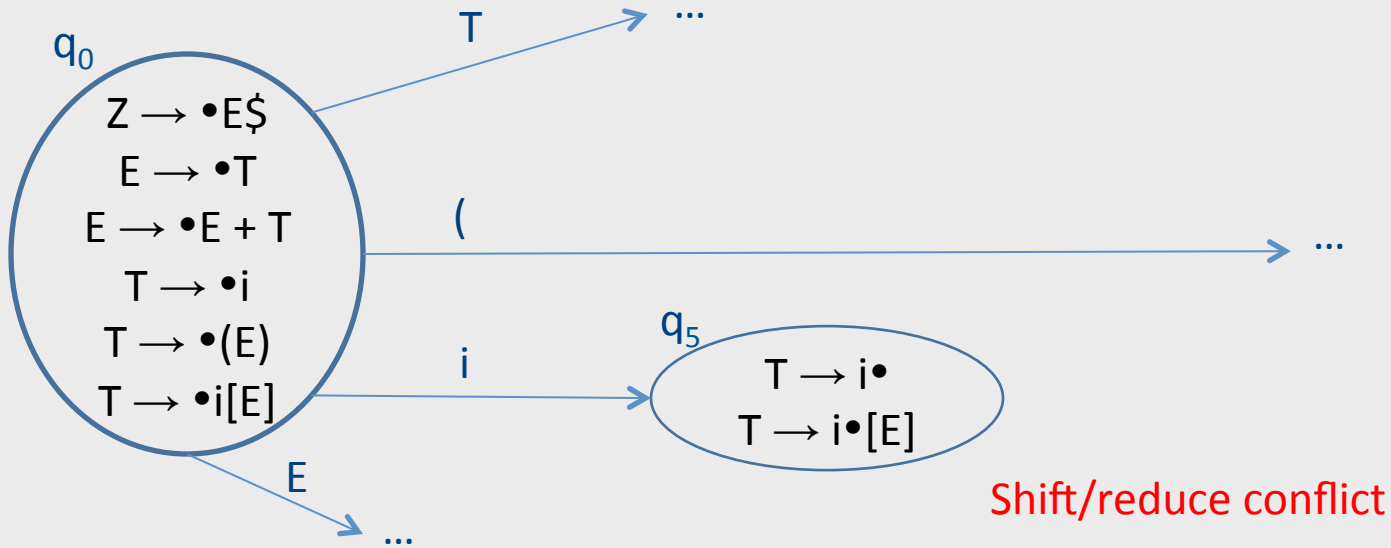
- (1) $S \rightarrow E \$$
- (2) $E \rightarrow T$
- (3) $E \rightarrow E + T$
- (4) $T \rightarrow id$
- (5) $T \rightarrow (E)$



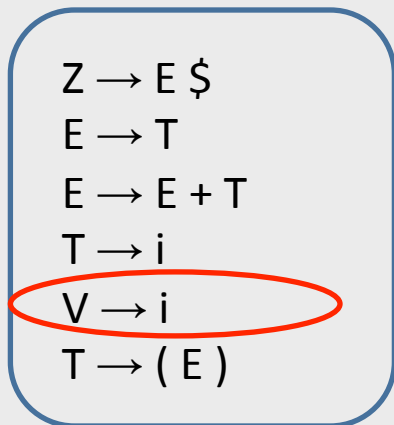
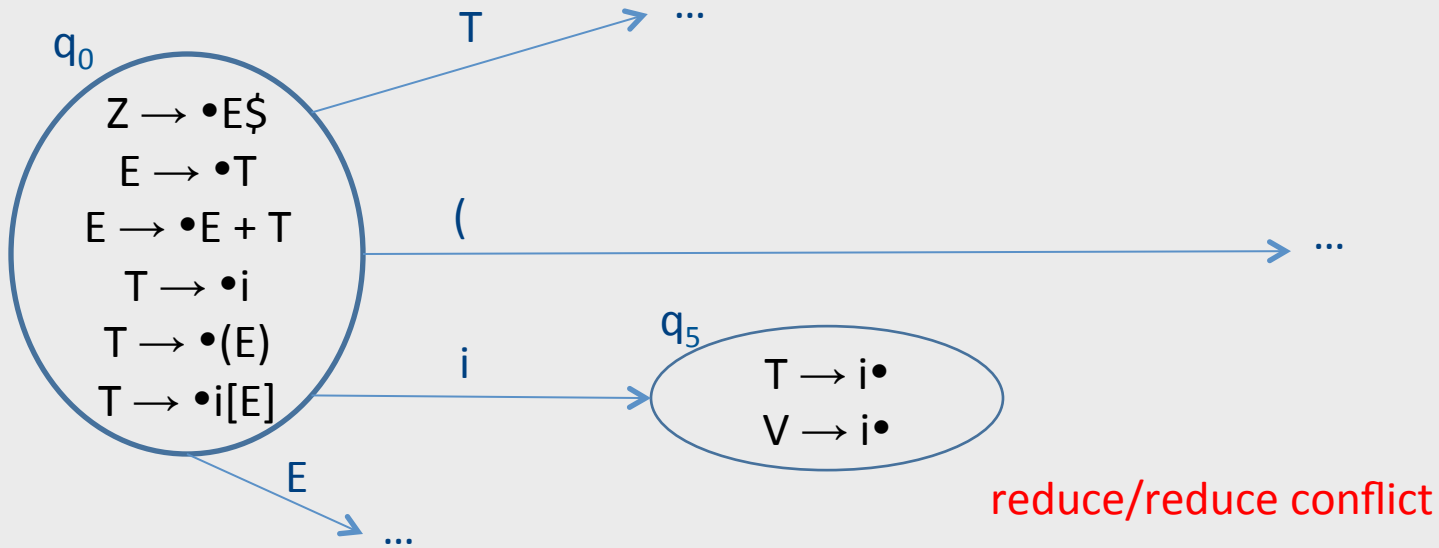
Are we done?

- Can make a transition diagram for any grammar
- Can make a GOTO table for every grammar
- Cannot make a deterministic ACTION table for every grammar

LR(0) conflicts



LR(0) conflicts



LR(0) conflicts

- Any grammar with an ε -rule cannot be LR(0)
- Inherent shift/reduce conflict
 - $A \rightarrow \varepsilon \bullet$ – reduce item
 - $P \rightarrow \alpha \bullet A \beta$ – shift item
 - $A \rightarrow \varepsilon \bullet$ in Closure ($P \rightarrow \alpha \bullet A \beta$)

Conflicts

- Can construct a diagram for every grammar but some may introduce conflicts
- shift-reduce conflict: an item set contains at least one shift item and one reduce item
- reduce-reduce conflict: an item set contains two reduce items

LR variants

- LR(0) – what we've seen so far
- SLR(0)
 - Removes infeasible reduce actions via FOLLOW set reasoning
- LR(1)
 - LR(0) with one lookahead token in items
- LALR(0)
 - LR(1) with merging of states with same LR(0) component

LR (0) GOTO/ACTIONS tables

GOTO table is indexed by state and a grammar symbol from the stack

GOTO Table

ACTION Table

State	i	+	()	\$	E	T	action
q0	q5		q7			q1	q6	shift
q1		q3			q2			shift
q2								Z → E\$
q3	q5		q7				q4	Shift
q4								E → E+T
q5								T → i
q6								E → T
q7	q5		q7			q8	q6	shift
q8		q3		q9				shift
q9								T → E

ACTION table determined only by state, ignores input

SLR parsing

- A handle should not be reduced to a non-terminal N if the lookahead is a token that cannot follow N
- A reduce item $N \rightarrow \alpha \bullet$ is applicable only when the lookahead is in $\text{FOLLOW}(N)$
 - If b is not in $\text{FOLLOW}(N)$ we just proved there is no derivation $S \rightarrow^* \beta N b$.
 - Thus, it is safe to remove the reduce item from the conflicted state
- Differs from LR(0) only on the ACTION table
 - Now a row in the parsing table may contain both shift actions and reduce actions and we need to consult the current token to decide which one to take

SLR action table

Lookahead token from the input

State	i	+	()	[]	\$
0	shift		shift				
1		shift					accept
2							
3	shift		shift				
4		$E \rightarrow E+T$		$E \rightarrow E+T$			$E \rightarrow E+T$
5		$T \rightarrow i$		$T \rightarrow i$	shift		$T \rightarrow i$
6		$E \rightarrow T$		$E \rightarrow T$			$E \rightarrow T$
7	shift		shift				
8		shift		shift			
9		$T \rightarrow (E)$		$T \rightarrow (E)$			$T \rightarrow (E)$

vs.

state	action
q0	shift
q1	shift
q2	
q3	shift
q4	$E \rightarrow E+T$
q5	$T \rightarrow i$
q6	$E \rightarrow T$
q7	shift
q8	shift
q9	$T \rightarrow E$

SLR – use 1 token look-ahead

LR(0) – no look-ahead

... as before...
 $T \rightarrow i$
 $T \rightarrow i[E]$

LR(1) grammars

- In SLR: a reduce item $N \rightarrow \alpha \bullet$ is applicable only when the lookahead is in $\text{FOLLOW}(N)$
- But $\text{FOLLOW}(N)$ merges lookahead for all alternatives for N
 - Insensitive to the context of a given production
- LR(1) keeps lookahead with each LR item
- Idea: a more refined notion of follows computed per item

LR(1) items

- LR(1) item is a pair
 - LR(0) item
 - Lookahead token
- Meaning
 - We matched the part left of the dot, looking to match the part on the right of the dot, followed by the lookahead token
- Example
 - The production $L \rightarrow id$ yields the following LR(1) items

(0) $S' \rightarrow S$
(1) $S \rightarrow L = R$
(2) $S \rightarrow R$
(3) $L \rightarrow * R$
(4) $L \rightarrow id$
(5) $R \rightarrow L$

LR(0) items

$[L \rightarrow \bullet id]$
 $[L \rightarrow id \bullet]$

LR(1) items

$[L \rightarrow \bullet id, *]$
 $[L \rightarrow \bullet id, =]$
 $[L \rightarrow \bullet id, id]$
 $[L \rightarrow \bullet id, \$]$
 $[L \rightarrow id \bullet, *]$
 $[L \rightarrow id \bullet, =]$
 $[L \rightarrow id \bullet, id]$
 $[L \rightarrow id \bullet, \$]$

LALR(1)

- LR(1) tables have huge number of entries
- Often don't need such refined observation
 - (and cost)
- Idea: find states with the same LR(0) component and merge their lookaheads component as long as there are no conflicts
- LALR(1) not as powerful as LR(1) in theory but works quite well in practice
 - Merging may not introduce new shift-reduce conflicts, only reduce-reduce

Summary

LR is More Powerful than LL

- Any LL(k) language is also in LR(k), i.e., $LL(k) \subset LR(k)$.
 - LR is more popular in automatic tools
- But less intuitive
- Also, the lookahead is counted differently in the two cases
 - In an LL(k) derivation the algorithm sees the left-hand side of the rule + k input tokens and then must select the derivation rule
 - In LR(k), the algorithm “sees” all right-hand side of the derivation rule + k input tokens and then reduces
 - LR(0) sees the entire right-side, but no input token

Grammar Hierarchy

