

# Foundation of Cryptography, Lecture 6

## Interactive Proofs and Zero Knowledge

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# Part I

## **Interactive Proofs**

## $\mathcal{NP}$ as a Non-interactive Proofs

### Definition 1 ( $\mathcal{NP}$ )

$\mathcal{L} \in \mathcal{NP}$  iff  $\exists$  and poly-time algorithm  $V$  such that:

- $\forall x \in \mathcal{L}$  there exists  $w \in \{0, 1\}^*$  s.t.  $V(x, w) = 1$
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- Soundness holds **unconditionally**

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Protocols between **efficient** verifier and **unbounded** provers.

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**Completeness**  $\forall x \in \mathcal{L}, \Pr[\langle (P, V)(x) \rangle_V = 1] \geq 2/3$ .<sup>a</sup>

**Soundness**  $\forall x \notin \mathcal{L}$ , and **any** algorithm  $P^*$   
 $\Pr[\langle (P^*, V)(x) \rangle_V = 1] \leq 1/3$ .

**IP** is the class of languages that have interactive proofs.

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<sup>a</sup> $\langle (A(a), B(b))(c) \rangle_B$  denote  $B$ 's view in random execution of  $(A(a), B(b))(c)$ .



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- Negligible “soundness error” achieved via repetition.
- Sometime we have efficient provers via “auxiliary input”.
- Relaxation: *Computationally sound proofs* [also known as, *interactive arguments*]: soundness only guaranteed against **efficient** (PPT) provers.

## Section 1

# Interactive Proof for Graph Non-Isomorphism

# Graph isomorphism

$\Pi_m$  – the set of all permutations from  $[m]$  to  $[m]$

## Definition 3 (graph isomorphism)

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- Does  $\mathcal{GINI} = \{(G_0, G_1) : G_0 \not\equiv G_1\} \in \mathcal{NP}$ ?

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- We will show a simple interactive proof for  $\mathcal{GNI}$   
Idea: Beer tasting...

# Interactive proof for $\mathcal{GN}\mathcal{I}$

## Protocol 4 ((P, V))

**Common input**  $G_0 = ([m], E_0), G_1 = ([m], E_1)$

- 1 V chooses  $b \leftarrow \{0, 1\}$  and  $\pi \leftarrow \Pi_m$ , and sends  $\pi(E_b)$  to P.<sup>a</sup>
- 2 P send  $b'$  to V (tries to set  $b' = b$ ).
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$$^a \pi(E) = \{(\pi(u), \pi(v)) : (u, v) \in E\}.$$

## Claim 5

The above protocol is **IP** for  $\mathcal{GN}\mathcal{I}$ , with perfect completeness and soundness error  $\frac{1}{2}$ .

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Hence,

$$G_0 \equiv G_1: \Pr[b' = b] \leq \frac{1}{2}.$$

$$G_0 \not\equiv G_1: \Pr[b' = b] = 1 \text{ (i.e., } P \text{ can, possibly inefficiently, extract from } \pi(E_i))$$

□

## Part II

# Zero knowledge Proofs

# Where is Waldo?



# Where is Waldo?



## Question 6

Can you prove you know where Waldo is **without** revealing his location?

# The concept of zero knowledge

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Simulation paradigm.

## Zero-knowledge proof

### Definition 7 (zero-knowledge proofs)

An interactive proof  $(P, V)$  is **computational zero-knowledge proof (CZK)** for  $\mathcal{L} \in \mathcal{NP}$ , if  $\forall$  PPT  $V^*$ ,  $\exists$  PPT  $S$  such that

$$\{ \langle (P(w(x)), V^*)(x) \rangle_{V^*} \}_{x \in \mathcal{L}} \approx_c \{ S(x) \}_{x \in \mathcal{L}}.$$

for any function  $w$  with  $w(x) \in R_{\mathcal{L}}(x)$ .

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- 6 Auxiliary input. . .

## Section 2

# Zero-Knowledge Proof for Graph Isomorphism

# ZK Proof for Graph Isomorphism

Idea: route finding

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### Protocol 8 ((P, V))

Common input:  $x = (G_0 = ([m], E_0), G_1 = ([m], E_1))$

P's input: a permutation  $\pi$  over  $[m]$  such that  $\pi(E_1) = E_0$ .

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## $\mathcal{ZK}$ Proof for Graph Isomorphism

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### Claim 9

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Assuming  $V$  rejects w.p. less than  $\frac{1}{2}$  and let  $\pi_0$  and  $\pi_1$  be the values guaranteed by the above observation (i.e., mapping  $E_0$  and  $E_1$  to  $E$  respectively).

Then  $\pi_0^{-1}(\pi_1(E_1)) = \pi_0 \implies (G_0, G_1) \in \mathcal{GI}$ .



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- $\mathcal{ZK}$ : Idea – for  $(G_0, G_1) \in \mathcal{GI}$ , it is easy to generate a random transcript for Steps 1–2, and to be able to open it with prob  $\frac{1}{2}$ .

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### Algorithm 10 (S)

Input:  $x = (G_0 = ([m], E_0), G_1 = ([m], E_1))$

Do  $|x|$  times:

- 1 Choose  $b' \leftarrow \{0, 1\}$  and  $\pi \leftarrow \Pi_m$ , and “send”  $\pi(E_{b'})$  to  $V^*(x)$ .
- 2 Let  $b$  be  $V^*$ 's answer. If  $b = b'$ , send  $\pi$  to  $V^*$ , output  $V^*$ 's output and halt. Otherwise, **rewind**  $V^*$  to its initial step, and go to step 1.

Abort.

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- 2 Let  $b$  be  $V^*$ 's answer. If  $b = b'$ , send  $\pi$  to  $V^*$ , output  $V^*$ 's output and halt. Otherwise, **rewind**  $V^*$  to its initial step, and go to step 1.

Abort.

### Claim 11

$$\{\langle (P, V^*)(x) \rangle_{V^*} \}_{x \in GI} \approx \{S(x)\}_{x \in GI}$$

## The simulator

For a start, consider a deterministic cheating verifier  $V^*$  that never aborts.

### Algorithm 10 (S)

Input:  $x = (G_0 = ([m], E_0), G_1 = ([m], E_1))$

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$$\{\langle (P, V^*)(x) \rangle_{V^*} \}_{x \in \mathcal{GI}} \approx \{S(x)\}_{x \in \mathcal{GI}}$$

**Claim 11** implies that **Protocol 8** is zero knowledge.

## Proving Claim 11

Consider the following **inefficient** simulator:

### Algorithm 12 ( $S'$ )

Input:  $x = (G_0 = ([m], E_0), G_1 = ([m], E_1))$ .

Do  $|x|$  times:

1 Choose  $\pi \leftarrow \Pi_m$  and send  $E = \pi(E_0)$  to  $V^*(x)$ .

2 Let  $b$  be  $V^*$ 's answer.

W.p.  $\frac{1}{2}$ ,

1 Find  $\pi'$  such that  $E = \pi'(E_b)$ , and send it to  $V^*$ .

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Proof: ?



## Proving Claim 11 cont.

Consider a second inefficient simulator:

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Input:  $x = (G_0 = ([m], E_0), G_1 = ([m], E_1))$

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$\forall x \in \mathcal{GI}$  it holds that

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Proof: ? (1) is clear.

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Hence,  $SD(S''(x), S'(x)) \leq 2^{-|x|} \square$

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P's input:  $w \in R_{\mathcal{L}}(x)$

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- The above protocol has perfect completeness and soundness.
- Is it zero-knowledge?
- It has “transcript simulator” (at least for honest verifiers): exists PPT  $S$  such that  $\{ \langle (P(w \in R_{\mathcal{L}}(x)), V)(x) \rangle_{\text{trans}} \}_{x \in \mathcal{L}} \approx_c \{ S(x) \}_{x \in \mathcal{L}}$ ,  
where **trans** stands for the transcript of the protocol (i.e., the **messages** exchange through the execution).

## Section 3

# Composition of Zero-Knowledge Proofs

## Is zero-knowledge maintained under composition?

- Sequential repetition?

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- Parallel repetition?



## Zero-knowledge proof, auxiliary input variant.

### Definition 17 (zero-knowledge proofs, auxiliary input)

An interactive proof  $(P, V)$  is **computational zero-knowledge proof (CZK)** for  $\mathcal{L} \in \mathcal{NP}$ , if  $\forall$  **deterministic** poly-time  $V^*$ ,  $\exists$  PPT  $S$  such that:<sup>a</sup>

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for any any  $w$  with  $w(x) \in R_{\mathcal{L}}(x)$  and any  $z: \mathcal{L} \mapsto \{0, 1\}^*$ .

**Perfect ZK (PZK)/statistical ZK (SZK)** — the above distributions are identically/statistically close.

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- 1 The protocol for  $GI$  we just saw, is also auxiliary-input  $SZK$
- 2 What about randomized verifiers?

## Is zero-knowledge maintained under composition?, cont.

- Auxiliary-input zero-knowledge is maintained under **sequential** repetition.

## Is zero-knowledge maintained under composition?, cont.

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Examples:

- ▶ Chess game
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## Section 4

# Black-box Zero Knowledge

## Black-box simulators

### Definition 18 (Black-box simulator)

$(P, V)$  is  $\mathcal{CZK}$  with **black-box simulation** for  $\mathcal{L} \in \mathcal{NP}$ , if  $\exists$  **oracle-aided** PPT  $S$  s.t.

$$\{ \langle (P(w(x)), V^*(z(x)))(x) \rangle_{V^*} \}_{x \in \mathcal{L}} \approx_c \{ S^{V^*(x, z(x))}(x) \}_{x \in \mathcal{L}}$$

for any deterministic polynomial-time  $V^*$ , any  $w$  with  $w(x) \in R_{\mathcal{L}}(x)$  and any  $z: \mathcal{L} \mapsto \{0, 1\}^*$ .

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Perfect and statistical variants are defined analogously.

- 1 “Most simulators” are black box
- 2 Strictly **weaker** than general simulation!

## Section 5

# Zero-knowledge proofs for all NP

- Assuming that OWFs exists, we give a (black-box)  $\mathcal{CZK}$  for 3COL .

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### Definition 19 (3COL)

$G = (M, E) \in 3\text{COL}$ , if  $\exists \phi: M \mapsto [3]$  s.t.  $\phi(u) \neq \phi(v)$  for every  $(u, v) \in E$ .

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We use [commitment schemes](#).

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### Protocol 20 ((P, V))

Common input: Graph  $G = (M, E)$  with  $n = |G|$

P's input: a (valid) coloring  $\phi$  of  $G$

- 1 P chooses  $\pi \leftarrow \Pi_3$  and sets  $\psi = \pi \circ \phi$
- 2  $\forall v \in M$ : P commits to  $\psi(v)$  using  $\text{Com}$  (with security parameter  $1^n$ ).  
Let  $c_v$  and  $d_v$  be the resulting commitment and decommitment.
- 3 V sends  $e = (u, v) \leftarrow E$  to P
- 4 P sends  $(d_u, \psi(u)), (d_v, \psi(v))$  to V
- 5 V verifies that
  - 1 Both decommitments are valid,
  - 2  $\psi(u), \psi(v) \in [3]$ , and
  - 3  $\psi(u) \neq \psi(v)$ .

## Claim 21

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Define  $\phi: M \mapsto [3]$  as follows:

$\forall v \in M$ : let  $\phi(v)$  be the (single) value that it is possible to decommit  $c_v$  into (if not in  $[3]$ , set  $\phi(v) = 1$ ).

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If  $G \notin 3\text{COL}$ , then  $\exists (u, v) \in E$  s.t.  $\psi(u) \neq \psi(v)$ .

Hence,  $V$  rejects such  $x$  w.p. at least  $1/|E|$ .

## Proving $\mathcal{ZK}$

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### Algorithm 22 (S)

Input: A graph  $G = (M, E)$  with  $n = |G|$

Do  $n \cdot |E|$  times:

- 1 Choose  $e' = (u, v) \leftarrow E$ .
  - 1 Set  $\psi(u) \leftarrow [3]$ ,
  - 2 Set  $\psi(v) \leftarrow [3] \setminus \{\psi(u)\}$ , and
  - 3 Set  $\psi(w) = 1$  for  $w \in M \setminus \{u, v\}$ .
- 2  $\forall v \in M$ : commit to  $\psi(v)$  to  $V^*$  (resulting in  $c_v$  and  $d_v$ )
- 3 Let  $e$  be the edge sent by  $V^*$ .  
If  $e = e'$ , send  $(d_u, \psi(u)), (d_v, \psi(v))$  to  $V^*$ , output  $V^*$ 's output and halt.  
Otherwise, **rewind**  $V^*$  to its initial step, and go to step 1.

Abort.

## Proving $\mathcal{ZK}$ cont.

### Algorithm 23 ( $\tilde{S}$ )

Input:  $G = (V, E)$  with  $n = |G|$ , and a (valid) coloring  $\phi$  of  $G$ .

Do for  $n \cdot |E|$  times:

- 1 Choose  $e' \leftarrow E$ .
- 2 Act like the honest prover does given private input  $\phi$ .
- 3 Let  $e$  be the edge sent by  $V^*$ . If  $e = e'$ 
  - 1 Send  $(\psi(u), d_u), (\psi(v), d_v)$  to  $V^*$ ,
  - 2 Output  $V^*$ 's output and halt.

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Otherwise, **rewind**  $V^*$  to its initial step, and go to step 1.

Abort.

### Claim 24

$\{ \langle (P(w(x)), V^*)(x) \rangle_{V^*} \}_{x \in 3\text{COL}} \approx \{ \tilde{S}^{V^*(x)}(x, w(x)) \}_{x \in 3\text{COL}},$   
for any  $w$  with  $w(x) \in R_{\mathcal{L}}(x)$ .



## Proving $\mathcal{ZK}$ cont.

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for any  $w$  with  $w(x) \in R_{\mathcal{L}}(x)$ .

Proof: ?

## Proving $\mathcal{ZK}$ cont..

### Claim 25

$\{S^{V^*}(x)\}_{x \in 3\text{COL}} \approx_c \{\tilde{S}^{V^*}(x, w(x))\}_{x \in 3\text{COL}}$ , for any  $w$  with  $w(x) \in R_{\mathcal{L}}(x)$ ..

## Proving $\mathcal{ZK}$ cont..

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Proof: Assume  $\exists$  PPT  $D$ ,  $p \in \text{poly}$ ,  $w(x) \in R_{\mathcal{L}}(x)$  and an infinite set  $\mathcal{I} \subseteq 3\text{COL}$  s.t.

$$\Pr [D(S^{V^*(x)}(x)) = 1] - \Pr [D(\tilde{S}^{V^*(x)}(x, w(x))) = 1] \geq \frac{1}{p(|x|)}$$

for all  $x \in \mathcal{I}$ .

## Proving $\mathcal{ZK}$ cont..

### Claim 25

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for all  $x \in \mathcal{I}$ .

Hence,  $\exists$  PPT  $R^*$  and  $b \in [3] \setminus \{1\}$  such that

$$\begin{aligned} & \Pr \left[ \left\langle (\text{Snd}(1), R^*(x, w(x))) (1^{|x|}) \right\rangle_{R^*} = 1 \right] - \Pr \left[ \left\langle (\text{Snd}(b), R^*(x, w(x))) (1^{|x|}) \right\rangle_{R^*} = 1 \right] \\ & \geq \frac{1}{|x|^2 \cdot p(|x|)} \end{aligned}$$

for all  $x \in \mathcal{I}$ .

## Proving $\mathcal{ZK}$ cont..

### Claim 25

$\{S^{V^*}(x)\}_{x \in 3\text{COL}} \approx_c \{\tilde{S}^{V^*}(x, w(x))\}_{x \in 3\text{COL}}$ , for any  $w$  with  $w(x) \in R_{\mathcal{L}}(x)$ ..

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for all  $x \in \mathcal{I}$ .

In contradiction to the (non-uniform) security of  $\text{Com}$ .

## Remarks

- Aborting verifiers

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## Extending to all $\mathcal{NP}$

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For  $\mathcal{L} \in \mathcal{NP}$ , let  $\text{Map}_X$  and  $\text{Map}_W$  be two poly-time computable functions s.t.

- $x \in \mathcal{L} \iff \text{Map}_X(x) \in \text{3COL}$ ,
- $(x, w) \in R_{\mathcal{L}} \iff \text{Map}_W(x, w) \in R_{\text{3COL}}(\text{Map}_X(x))$ .

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We assume for simplicity that  $\text{Map}_x$  is injective.

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We assume for simplicity that  $\text{Map}_X$  is injective.

Let  $(P, V)$  be a  $\mathcal{CZK}$  for  $3\text{COL}$ .

### Protocol 26 $((P_{\mathcal{L}}, V_{\mathcal{L}}))$

Common input:  $x \in \{0, 1\}^*$ .

$P_{\mathcal{L}}$ 's input:  $w \in R_{\mathcal{L}}(x)$ .

- 1 The two parties interact in  $(P(\text{Map}_W(x, w)), V)(\text{Map}_X(x))$ , where  $P_{\mathcal{L}}$  and  $V_{\mathcal{L}}$  taking the role of  $P$  and  $V$  respectively.
- 2  $V_{\mathcal{L}}$  accepts iff  $V$  accepts in the above execution.

## Extending to all $\mathcal{L} \in \mathcal{NP}$ cont.

### Claim 27

$(P_{\mathcal{L}}, V_{\mathcal{L}})$  is a  $\mathcal{CZK}$  for  $\mathcal{L}$  with the same completeness and soundness as  $(P, V)$  as for 3COL.

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$(P_{\mathcal{L}}, V_{\mathcal{L}})$  is a  $\mathcal{CZK}$  for  $\mathcal{L}$  with the same completeness and soundness as  $(P, V)$  as for 3COL.

- Completeness and soundness: Clear.



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- Completeness and soundness: Clear.
- Zero knowledge: Let  $S$  (an efficient)  $\mathcal{ZK}$  simulator for  $(P, V)$  (for  $\mathbf{3COL}$ ).

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On input  $(x, z_x)$  and verifier  $V^*$ , let  $S_{\mathcal{L}}$  output  $S^{V^*(x, z_x)}(\text{Map}_X(x))$ .

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### Claim 28

$$\{ \langle (P_{\mathcal{L}}(w(x)), V_{\mathcal{L}}^*(z(x)))(x) \rangle_{V_{\mathcal{L}}^*} \}_{x \in \mathcal{L}} \approx_c \{ S_{\mathcal{L}}^{V_{\mathcal{L}}^*(x, z(x))}(x) \}_{x \in \mathcal{L}} \quad \forall \text{ PPT } V_{\mathcal{L}}^*, w, z.$$

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Proof:

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### Claim 27

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Proof: Assume  $\{ \langle (P_{\mathcal{L}}(w(x)), V_{\mathcal{L}}^*(z(x)))(x) \rangle_{V_{\mathcal{L}}^*} \}_{x \in \mathcal{L}} \not\approx_c \{ S_{\mathcal{L}}^{V_{\mathcal{L}}^*(x, z(x))}(x) \}_{x \in \mathcal{L}}.$

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### Claim 27

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Proof: Assume  $\{ \langle (P_{\mathcal{L}}(w(x)), V_{\mathcal{L}}^*(z(x)))(x) \rangle_{V_{\mathcal{L}}^*} \}_{x \in \mathcal{L}} \not\approx_c \{ S_{\mathcal{L}}^{V_{\mathcal{L}}^*(x, z(x))}(x) \}_{x \in \mathcal{L}}$ .

Hence,

$$\{ \langle (P(\text{Map}_W(x, w(x))), V^*)(x) \rangle_{V^*(z'(x))} \}_{x \in \mathbf{3COL}} \not\approx_c \{ S^{V^*(x, z'(x))}(x) \}_{x \in \mathbf{3COL}},$$

where  $V^*(x, z'_x = (z_x, x^{-1}))$  acts like  $V_{\mathcal{L}}^*(x^{-1}, z_x)$ , and  $z'(x) = (z(x^{-1}), x^{-1})$  for  $x^{-1} = \text{Map}_X^{-1}(x)$ .