

Formal Methods

Fixpoints

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We use \perp to denote an *undefined* value. For any set S not containing \perp , let $S^\perp = S \cup \{\perp\}$.

Consider a partial function $f : D \rightarrow R$ to be a total function $f : D \rightarrow R^\perp$. Define equality of partial functions over the same domain as follows:

$$f = g \quad \Leftrightarrow \quad \forall x \in D. f(x) = g(x)$$

We always extend the domain and range of partial functions $f : D \rightarrow R$ so that it is a total function $f : D^\perp \rightarrow R^\perp$. We assume the following:

if T **then** A **else** ... $\mapsto A$
if F **then** ... **else** B $\mapsto B$
if \perp **then** ... **else** ... $\mapsto \perp$

We also assume $\perp + 1 \mapsto \perp$, etc., as well as $\perp = \perp \mapsto \perp$.

Consider the function definition:

$$f \stackrel{\perp}{=} \lambda x, y. \text{ **if** } x = y \text{ **then** } y + 1 \text{ **else** } f(x, f(x - 1, y + 1))$$

A *fixpoint* of such a definition is a partial function that satisfies the equation.

Examples of fixpoints of the above include

$$\begin{aligned} f_1 &= \lambda x, y. \text{ **if** } x = y \text{ **then** } y + 1 \text{ **else** } x + 1 \\ f_2 &= \lambda x, y. \text{ **if** } x < y \text{ **then** } y - 1 \text{ **else** } x + 1 \\ f_3 &= \lambda x, y. \text{ **if** } x \geq y \wedge 2 \mid (x - y) \text{ **then** } x + 1 \text{ **else** } \perp \end{aligned}$$

The definedness of fixpoints (and functions in general) can be compared, as follows:

$$f \sqsubseteq g \quad \Leftrightarrow \quad \forall x \in D^\perp. f(x) \sqsubseteq g(x)$$

where the partial ordering \sqsubseteq on domain elements is defined as $\perp \sqsubseteq x$ and $\perp \sqsubseteq x$ for all $x \in D^\perp$. The *least fixpoint* of a function definition is the smallest fixpoint in this ordering.

The always undefined function is

$$\Omega(\bar{x}) \stackrel{!}{=} \perp$$

For any function f , we have $\Omega \sqsubseteq f$.

A function f is *monotonic* if

$$x \sqsubseteq y \quad \Rightarrow \quad f(\dots x \dots) \sqsubseteq f(\dots y \dots)$$

Constants are monotonic zero-ary functions. **if-then-else** is monotonic. A function f is *strict* if $f(\dots \perp \dots)$ always yields \perp . Strict functions are monotonic.

The limit (least upper bound) $\lim_{i \rightarrow \infty} f_i$ of a chain $f_0 \sqsubseteq f_1 \sqsubseteq \dots$ of functions is the smallest function g such that $f_i \sqsubseteq g$, for all i . It need not exist.

A monotonic function(al) B is *continuous* if

$$\lim_{i \rightarrow \infty} B[f_i] = B[\lim_{i \rightarrow \infty} f_i]$$

for any chain $\{f_i\}$. The composition of monotonic functions is continuous.

Theorem 1 (First Recursion Theorem (Kleene)) *Every continuous function $B[f]$ has a unique least fixpoint, $\lim_{i \rightarrow \infty} B^i[\Omega]$.*

A *computation rule* for recursive programs is a function that chooses a subset of redexes in a term for replacement. Examples include *call-by-value* (leftmost-innermost); *call-by-name* (leftmost-outermost); *parallel-outermost* (all outermost redexes); and *outermost-fair* (no outermost redex ignored forever).