

# Termination

Higher-Order Orderings

# Predicates

- $S[t]$ :  $t$  is terminating
- $C[t]$ :  $t$  is computable

# Computability

Inductive definition of  $C[t]$ :

- Basic  $t: C[t]$  if  $S[t]$
- Arrow  $t: C[t]$  if  $C[t(s)]$  for all computable  $s$  (of the right type)

# Lemmas

0. Reducts of computable terms are computable
1. Computable terms are terminating
2. Applications are computable if all reducts are

Main. Computable substitutions yield  
computable terms

# Lemma 0

- Reducts of computable terms are computable

$$C[t] \ \& \ t \rightarrow u \Rightarrow C[u]$$

# Proof of Lemma 0

$$C[t] \& t \rightarrow u \Rightarrow C[u]$$

- Induction on type
- Basic  $t: C[u]$  if  $S[u]$  if  $S[t]$  if  $C[t]$
- Arrow  $t:\sigma \rightarrow \tau$ : By def,  $C[t(s):\tau]$  for all computable  $s$ . By ind,  $C[u(s):\tau]$ , for all  $s$ . By def,  $C[u]$ .

# Lemma 1

- Computable terms are terminating

$$C[t] \Rightarrow S[t]$$

# Proof of Lemma 1

$$C[t] \Rightarrow S[t]$$

- Induction on type
- Basic t: By definition
- Arrow t:  $\sigma \rightarrow \tau$

By def,  $C[t(s)]$  for all computable  $s:\sigma$ .

By ind,  $S[t(s):\tau]$ . It must be that  $S[t]$ , too.

# Neutrality

- applying creates no new redexes  
 $t$  neutral: redexes of  $t(s)$  are in  $t$  or  $s$
- computable if reducts are  
 $C[t]$  if  $C[r]$  for all  $r$  s.t.  $t \rightarrow r$

# Lemma 2

Applications are **neutral**:

$C[s(t)]$  if  $C[r]$  for all  $r$  s.t.  $s(t) \rightarrow r$

# Proof of Lemma 2

$C[s(t)]$  if  $\forall r. s(t) \rightarrow r \Rightarrow C[r]$

- Induction on type of  $s(t)$
- Basic:  $S[s(t)]$  iff  $S[r] \forall r$
- Arrow: Show  $C[s(t)(u)]$  for each computable  $u$ .  
By ind,  $C[r(u)] \forall r$  suffices, which is just  $C[r]$ .

# Corollary

$C[(\lambda x.s)(t)] \text{ if } C[s\{x \mapsto t\}] \& C[t]$

By well-founded induction on s,t

# Proof of Corollary

$$C[s\{x \mapsto t\}] \& C[t] \Rightarrow C[(\lambda x.s)(t)]$$

By LO,  $S[s]$  &  $S[t]$ . Let  $s \rightarrow s'$ ,  $t \rightarrow t'$

$$\text{So } C[s'\{x \mapsto t\}] \& C[t] \Rightarrow C[(\lambda x.s')(t)]$$

$$C[s\{x \mapsto t\}] \& C[t'] \Rightarrow C[(\lambda x.s)(t')]$$

By L2,  $C[(\lambda x.s)(t)]$  if  $C[(\lambda x.s')(t)] \&$   
 $C[(\lambda x.s)(t')]$  &  $C[s\{x \mapsto t\}]$

But  $C[t] \Rightarrow C[t']$  and  $C[s\{x \mapsto t\}] \Rightarrow C[s'\{x \mapsto t\}]$

# Lemma 3

$$S[t_1] \& \dots \& S[t_n] \Rightarrow C[x(t_1)(t_2) \dots (t_n)]$$

- Induction on type of  $t = x(t_1)(t_2) \dots (t_n)$
- Basic  $t$ : Since only reducible inside terminating  $t_i$ ,  $S[t]$ . By def,  $C[t]$ .
- Arrow  $t:\sigma \rightarrow \tau$ . For any computable  $s:\sigma$ ,  $S[s]$  by L1. By ind,  $C[t(s):\tau]$ . By def,  $C[t]$ .

# Main Lemma

- Computable substitutions yield computable terms

Main:  $C[u\sigma]$  for all  $u$  and computable  $\sigma$

- where  $C[\sigma]$  if  $C[t]$  for all  $x \mapsto t$  in  $\sigma$

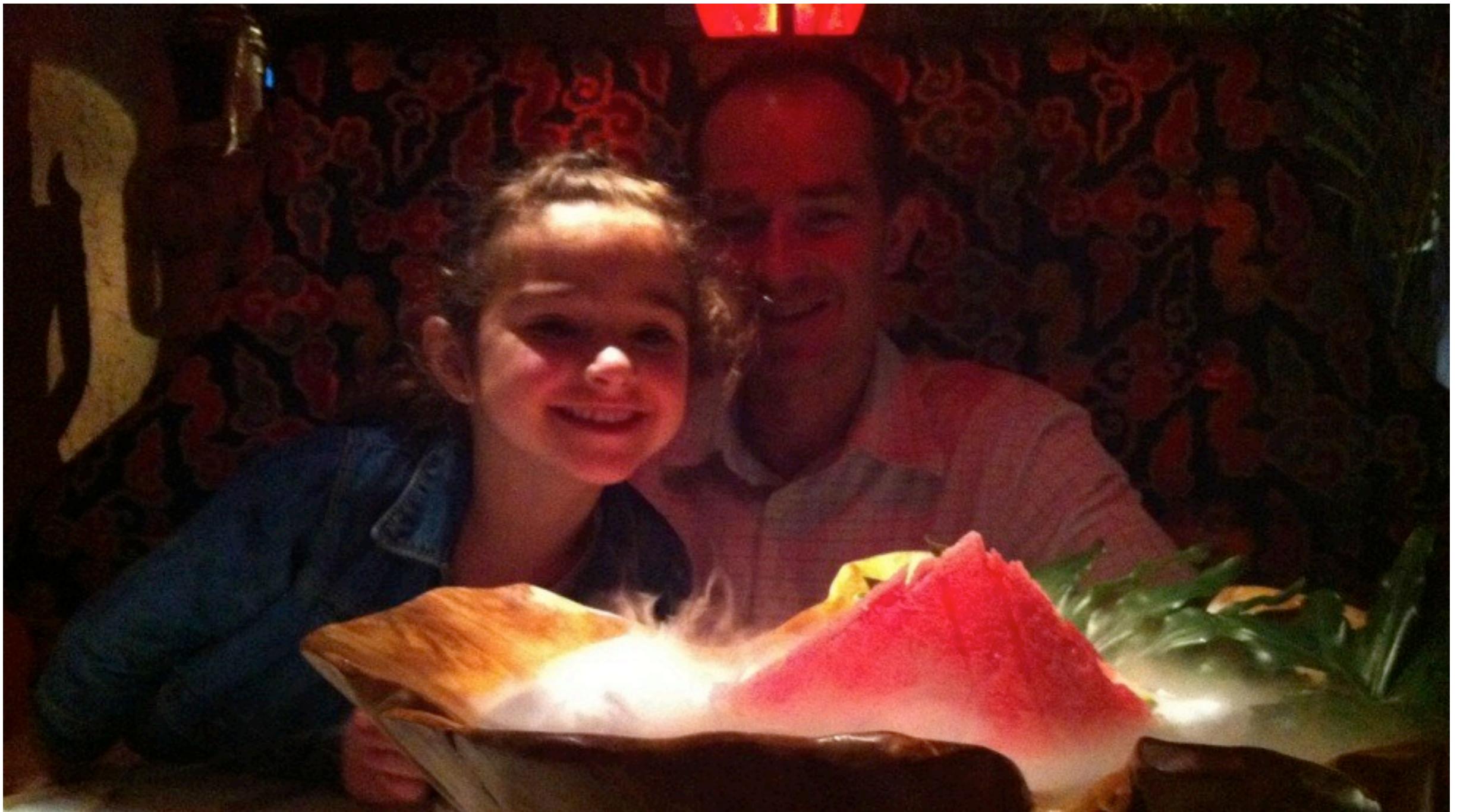
# Proof of Main Lemma

$C[u\sigma]$  for computable  $\sigma$

- Structural induction on  $u$
- $u$  constant:  $u=u\sigma$  is basic and terminating; so  $C[u]$  by def.
- $u$  is variable  $x$ : If  $x\sigma=x$ , L3 applies; otherwise  $x\sigma$  is computable.
- $u=t(s)$ :  $u\sigma=t\sigma(s\sigma)$ . By ind,  $C[t\sigma]$ ; by def,  $C[t\sigma(s\sigma)]$ , since  $C[s\sigma]$  by ind.
- $u=\lambda x.s$ : For computable  $t$ , let  $\sigma'=\sigma-\{x \mapsto x\sigma\} \cup \{x \mapsto t\}$ . By ind,  $C[s\sigma']$ . By L2c,  $C[((\lambda x.s)\sigma)(t)]$ , as  $(\lambda x.s)\sigma = \lambda x.s(\sigma-\{x \mapsto x\sigma\})$  and  $s(\sigma-\{x \mapsto x\sigma\})\{x \mapsto t\} = s\sigma'$ . By def,  $C[(\lambda x.s)\sigma]$ .

# Theorem

- All typed terms are terminating
  - $C[t]$  for all  $t$ 
    - Main lemma (empty substitution)
    - $S[t]$  for all  $t$ 
      - By Lemma 1



Frédéric

# Functional

- $D(\lambda x.y) \rightarrow \lambda x.0$
- $D(\lambda x.x) \rightarrow \lambda x.1$
- $D(\lambda x.\sin(F(x))) \rightarrow \lambda x.D(F(x)) \cdot \cos(F(x))$

# Higher-Order Rewriting

- $\text{map}(F,e) \rightarrow e$
- $\text{map}(F,x:y) \rightarrow F(x):\text{map}(F,y)$

# System T

- $\text{rec}(0, u, F) \rightarrow u$
- $\text{rec}(s(x), u, F) \rightarrow F(x, \text{rec}(x, u, F)))$
- $n! \rightarrow \text{rec}(n, 1, \lambda y, z. s(y) \cdot z)$

# Mixing Problem

- $f(c(x)) \rightarrow x$
- $f: A \rightarrow (A \rightarrow B) \quad c: (A \rightarrow B) \rightarrow A \quad x: A \rightarrow B$
- $w = \lambda z: A. f(z)(z)$
- $w(c(w)) \rightarrow f(c(w))(c(w)) \rightarrow w(c(w)) \rightarrow$

# Explicit Application

- $@(s,t)$  for  $s(t)$
- $@(F,t)$  for  $F(t)$

# System T

- $\text{rec}(0, u, F) \rightarrow u$
- $\text{rec}(s(x), u, F) \rightarrow @(\mathcal{F}, x, \text{rec}(x, u, F))$

# Eta

- $\lambda x.f(x) \approx_{\eta} f$  (for  $x \notin f$ )
- eta long:  $\lambda x.f(x)$

# Higher-Order RPO

- precedence >
  - @ minimal
  - assume total (for simplicity)
- type order >
  - various conditions

# Example Type Order

- $\sigma \rightarrow \tau > \tau$
- $\sigma \rightarrow \tau > a \Leftrightarrow \tau \geq a$  (base a)
- $\sigma \rightarrow \tau > \sigma' \rightarrow \tau' \Leftrightarrow \tau > \tau' \vee \sigma \geq \sigma' \rightarrow \tau'$
- well-founded even when enriched with  
 $\sigma \rightarrow \tau > \sigma$

# Higher-Order RPO

- $\succ \approx \succ^\emptyset$
- $\succ^X$  (keep track of variables X)
- $\succ^X \approx \succ^X \cap \geq$

# Plain Cases

- $s = f(s_1, \dots, s_m) >^x g(t_1, \dots, t_n)$ 
  - if  $f > g$  &  $s >^x t_1, \dots, t_n$
- $s = f(s_1, \dots, s_m) >^x f(t_1, \dots, t_n)$ 
  - if  $\{s_1, \dots, s_m\} > \{t_1, \dots, t_n\}$  and  $s >^x t_1, \dots, t_n$
- $s = f(s_1, \dots, s_m) >^x t$ 
  - if some  $s_i \geq^x t$

# Variable Case

- $s >^{\{...x\ldots\}} x$
- if  $s \neq x$

# Lambda Cases

- $\lambda x:\alpha.w[x] >^x t$
- if  $w[z:\alpha] \geq^x t$
- $s >^x \lambda y:\beta.w[y]$
- if  $s >^{x \cup \{z:\beta\}} w[z]$

# Beta-Eta Cases

- $\lambda x. @ (v, x) >^x t$ 
  - if  $x \notin v, v \gtrsim^x t$
- $@ (\lambda x. w[x], v) >^x t$ 
  - if  $w[v] \gtrsim^x t$

# Lambda-Lambda

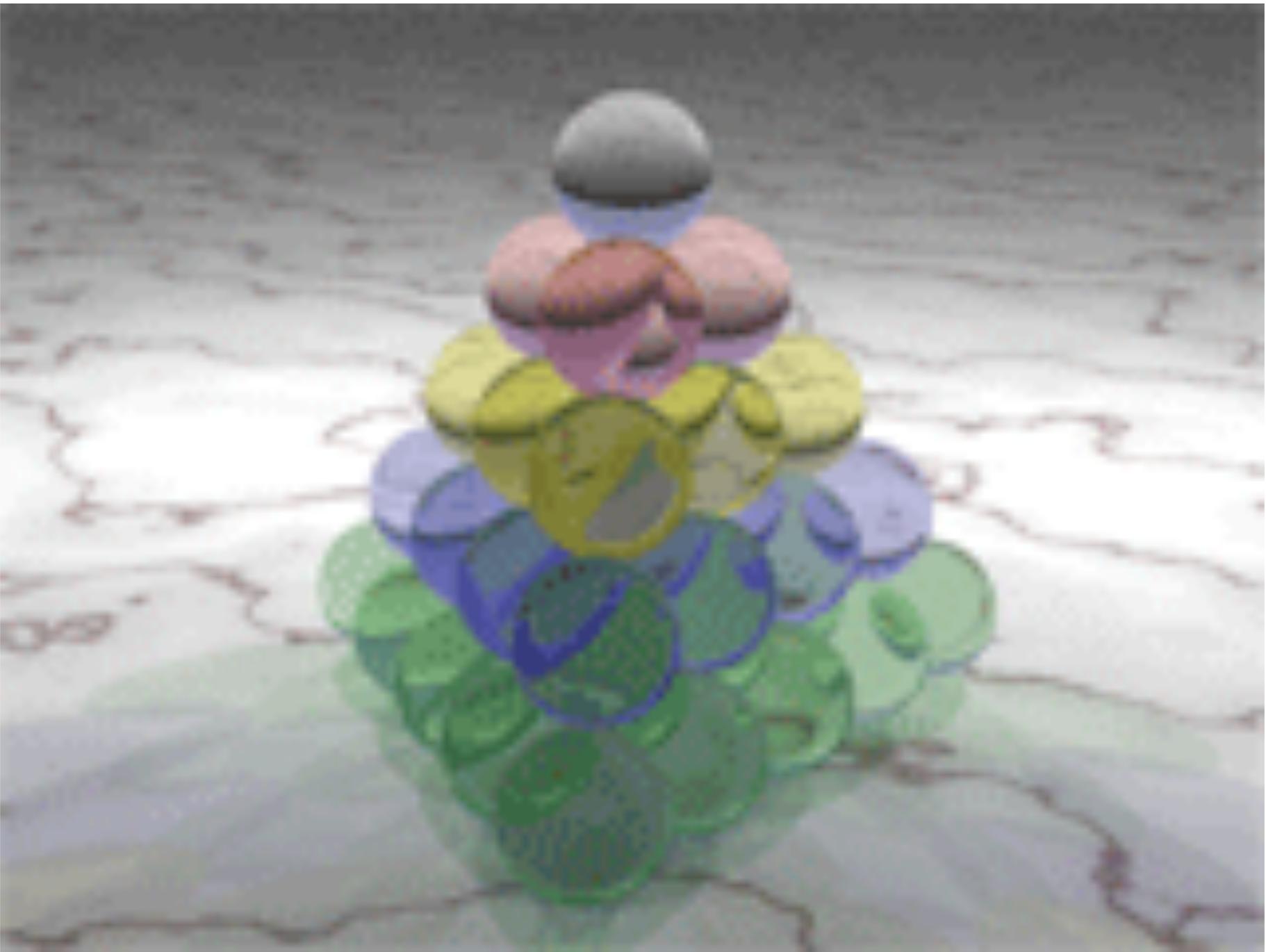
- $\lambda x:\alpha.u[x] \succ^x \lambda y:\alpha.w[y]$
- if  $u[z:\alpha] \succ^x w[z]$
- $s = \lambda x:\alpha.u[x] \succ^x \lambda y:\beta.w[y]$
- if  $\alpha \neq \beta \ \& \ s \succ^x w[z:\beta]$

# System T

- $\text{rec}(0, u, F) \rightarrow u$
- $\text{rec}(s(x), u, F) \rightarrow @(\mathcal{F}, x, \text{rec}(x, u, F))$

# Brower Ordinals

- $\text{rec}(0, U, V, W) \rightarrow U$
- $\text{rec}(s(X), U, V, W) \rightarrow @ (V, X, \text{rec}(X, U, V, W))$
- $\text{rec}(\text{lim}(F), U, V, W) \rightarrow$   
 $@(W, F, \lambda n. \text{rec}(@(F, n), U, V, W))$
- a little more needed



Kepler Conjecture



This is really the end