

# Termination

Well-Quasi Orderings

# CMU Exam [Floyd]

- $Dt = 1$
- $Dc = 0$
- $D(x+y) = Dx + Dy$
- $D(xy) = yDx + xDy$
- $D(D(tt)) = D(tDt + tDt) = D(tDt) + D(tDt) = \dots$

CONTRIBUTIONS TO MECHANICAL MATHEMATICS

by

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May 27, 1967

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**Accession Number :** AD0670558

**Title :** TERMINATION OF ALGORITHMS.

**Descriptive Note :** Doctoral thesis,

**Corporate Author :** CARNEGIE-MELLON UNIV PITTSBURGH PA DEPT OF COMPUTER SCIENCE

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**Report Date :** APR 1968

**Pagination or Media Count :** 105

**Abstract :** The thesis contains two parts which are self-contained units. In Part 1 we present several results on the relation between the problem of termination and equivalence of programs and abstract programs, and the first order predicate calculus. Part 2 is concerned with the relation between the termination of interpreted graphs, and properties of well-ordered sets and graph theory. (Author)

**Descriptors :** (\*COMPUTER PROGRAMMING, ALGORITHMS), COMPUTER PROGRAMS, NUMERICAL ANALYSIS, SET THEORY, GRAPHICS, THEORY, FLOW CHARTING, SEQUENCES(MATHEMATICS), COMPATIBILITY, MATRICES(MATHEMATICS), THESES

**Subject Categories :** Theoretical Mathematics

Computer Programming and Software

# Gremlins



# Gremlins



# Disjunctiveness

while c do

A | B

a, b wfo

$(A \cup B)^+ \subseteq a \cup b$

# Disjunctiveness

while  $x > 0$  and  $y > 0$  do

$x := x - 1$  |  $y := y - 1$   
 $y := ?$

$x_i > x_j \vee y_i > y_j$  for  $i > j$

need  $x_i \geq x_j$



# Jumping

while c do

A | B

while c do A      while c do B

$BA \subseteq A(A \cup B)^* \cup B$

# Jumping

while  $x > 0$  and  $y > 0$  do

$x := x - 1$		$y := y - 1$
$y := ?$		

$BA \subseteq A$

# Jumping

while  $x > 0$  and  $y > 0$  do

$$\left. \begin{array}{l} x := x-1 \\ y := x+y \end{array} \right\} y := y-1$$

$BA \subseteq AB$

# Disjunctiveness

while  $x > 0$  and  $y > 0$  do

$x := x - 1$  |  $y := y - 1$   
 $y := xy$  |

$BA \subseteq AB^*$

# Fairness

$s := \text{true}$

$n := 0$

while  $s$  do

$n := n+1$  |  $s := \text{false}$

# Fairness

$s := ?$

$n := 0$

while  $s > 0$  do

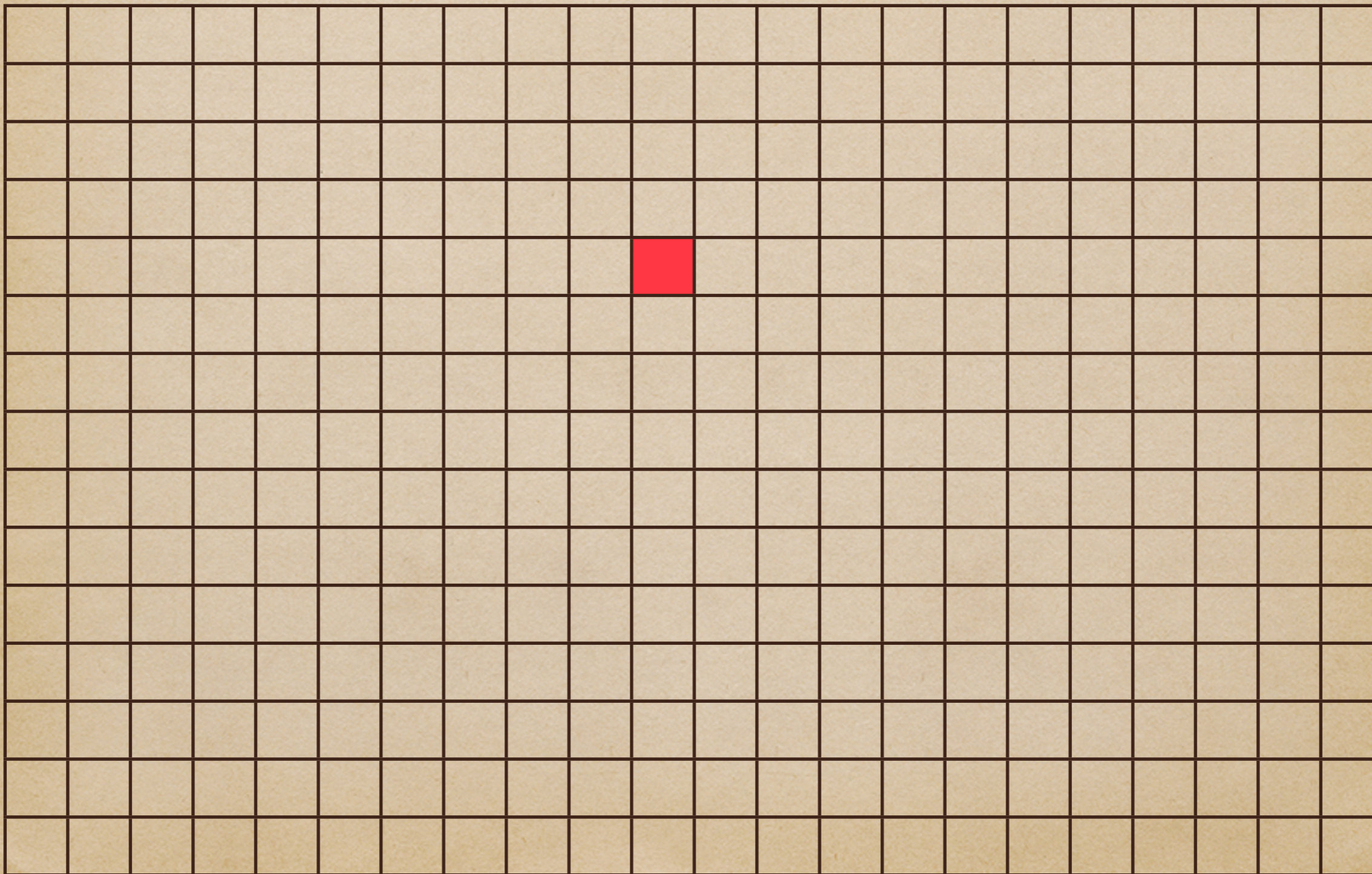
$n := n+1$  |  $s := s-1$

fair  $\Rightarrow$  no AAAAAAAAAA...

# Grid Game

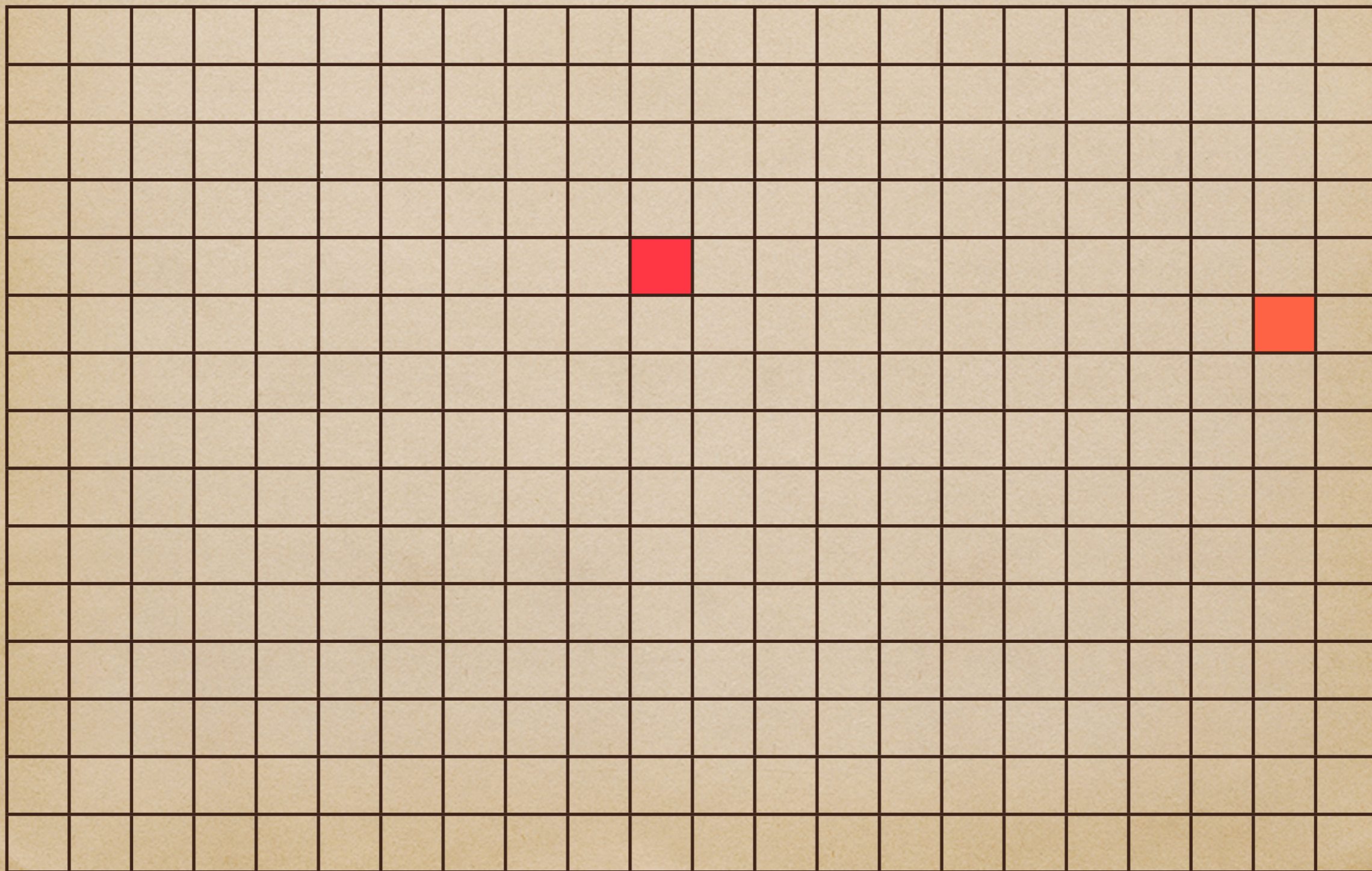
- ◆ Given (upper-right) grid coordinates  $(x_0, y_0)$
- ◆ Choose  $(x_i, y_i)$  to prolong game s.t.
  - ◆  $x_i < x_j$  for all  $j < i$  OR  $y_i < y_j$  for all  $j < i$

# Grid Game





# Grid Game







# Tricolor

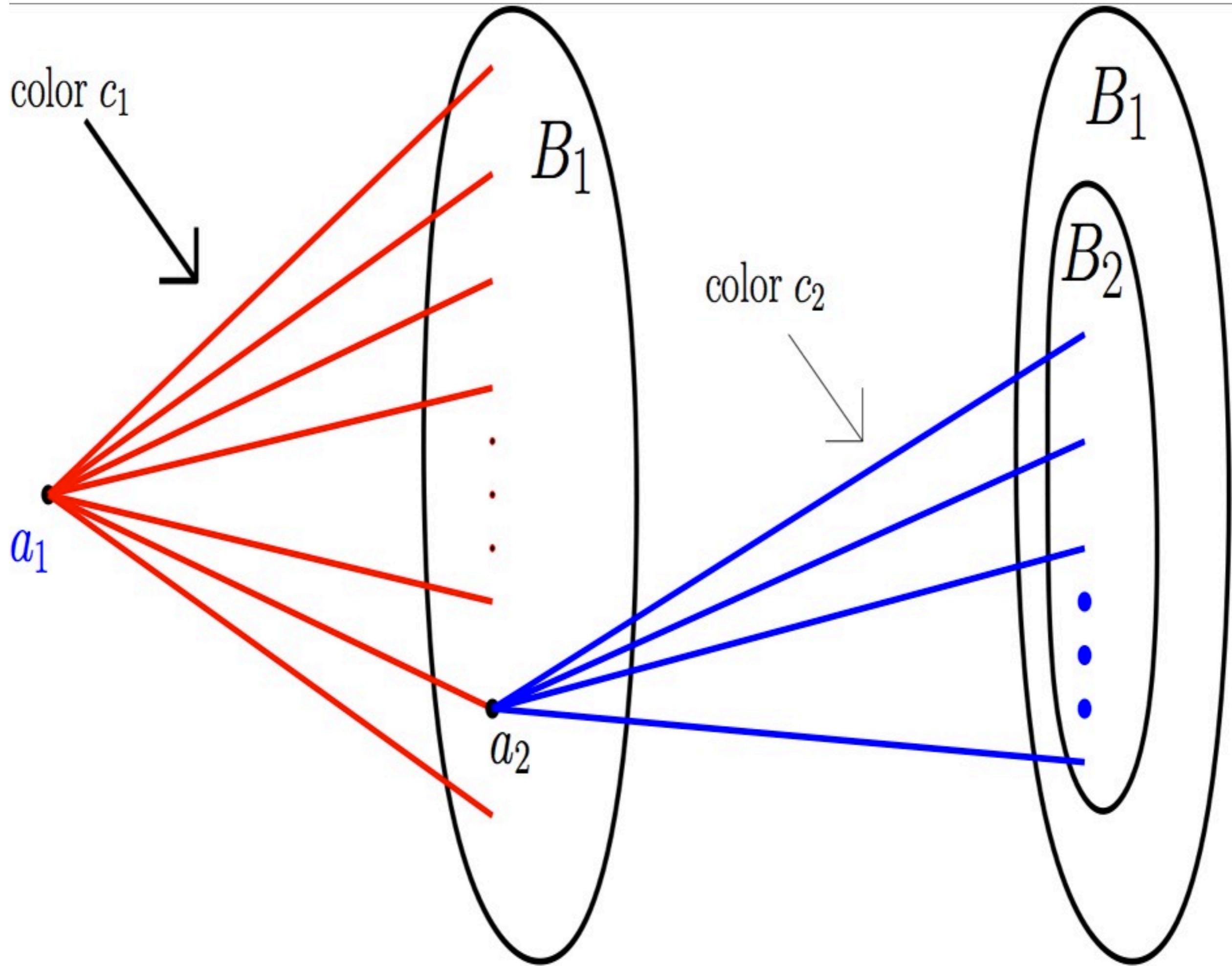
- ◆ Color pairs  $i, j$  of points
  - ◆ Purple if  $x_i > x_j$  and  $y_i > y_j$
  - ◆ Blue if only  $x_i > x_j$
  - ◆ Red if only  $y_i > y_j$
- ◆ Consider sequence of points
  - ◆ Ramsey contradicts well-foundedness

# Ramsey's Theorem

- ◆ Two colors: *yes* and *no*
- Extend *yes* as long as possible
- If can forever, then done (all *yes*)
- If not, then repeat
- If repeats forever, then done (all *no*)

# Ramsey's Theorem

- ◆ Reduce more than 2 colors to 2 (color-blindness). Repeat.
- ◆ For 2: Form sequence of nodes  $a_1 a_2 a_3 \dots$  by repeatedly taking monochromatically-connected subsets



$S := V$

$R := \emptyset$

**do forever**

$x := S$

$R := R \cup \{x\}$

$S := S \setminus \{x\}$

$W := \{s \in S \mid c(x, s) = \text{white}\}$

$S := \begin{cases} W & \text{if } |W| = \infty \\ S \setminus W & \text{otherwise} \end{cases}$

$W := \{x \in R \mid \forall y \in R. y \neq x \rightarrow c(x, y) = \text{white}\}$

**return**  $\begin{cases} W & \text{if } |W| = \infty \\ R \setminus W & \text{otherwise} \end{cases}$



# Ramsey's Theorem

Infinite complete multi-graph

Finitely colored multi-edges

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Monochrome infinite clique

can have multiple multi-edges

# Quasi-ordering

- ◆ Greater or equivalent
  - ◆ Transitive
  - ◆ Reflexive

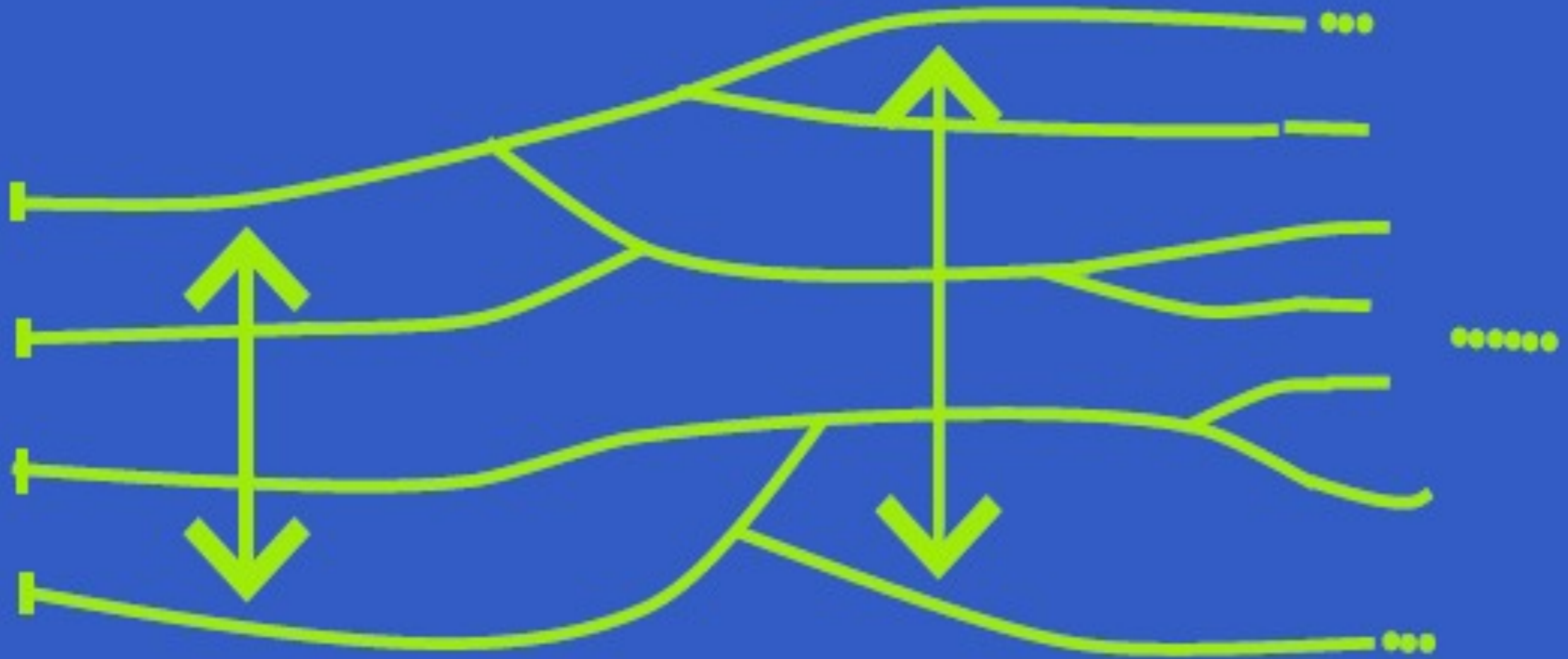
# Quasi-ordering

- ◆ Equivalence (both directions)
- ◆ Strict part (only one)

# Well-quasi-ordering

- ◆ Well-founded
  - ◆ no infinite strictly-descending sequences
- ◆ No infinite anti-chains

Wqo



## A THEOREM ON PARTIALLY ORDERED SETS (Summary)

Michael Rabin

In the following note we give a condition for the finiteness of a partially ordered set. This theorem was established in order to prove the finiteness of certain classes of ideals.

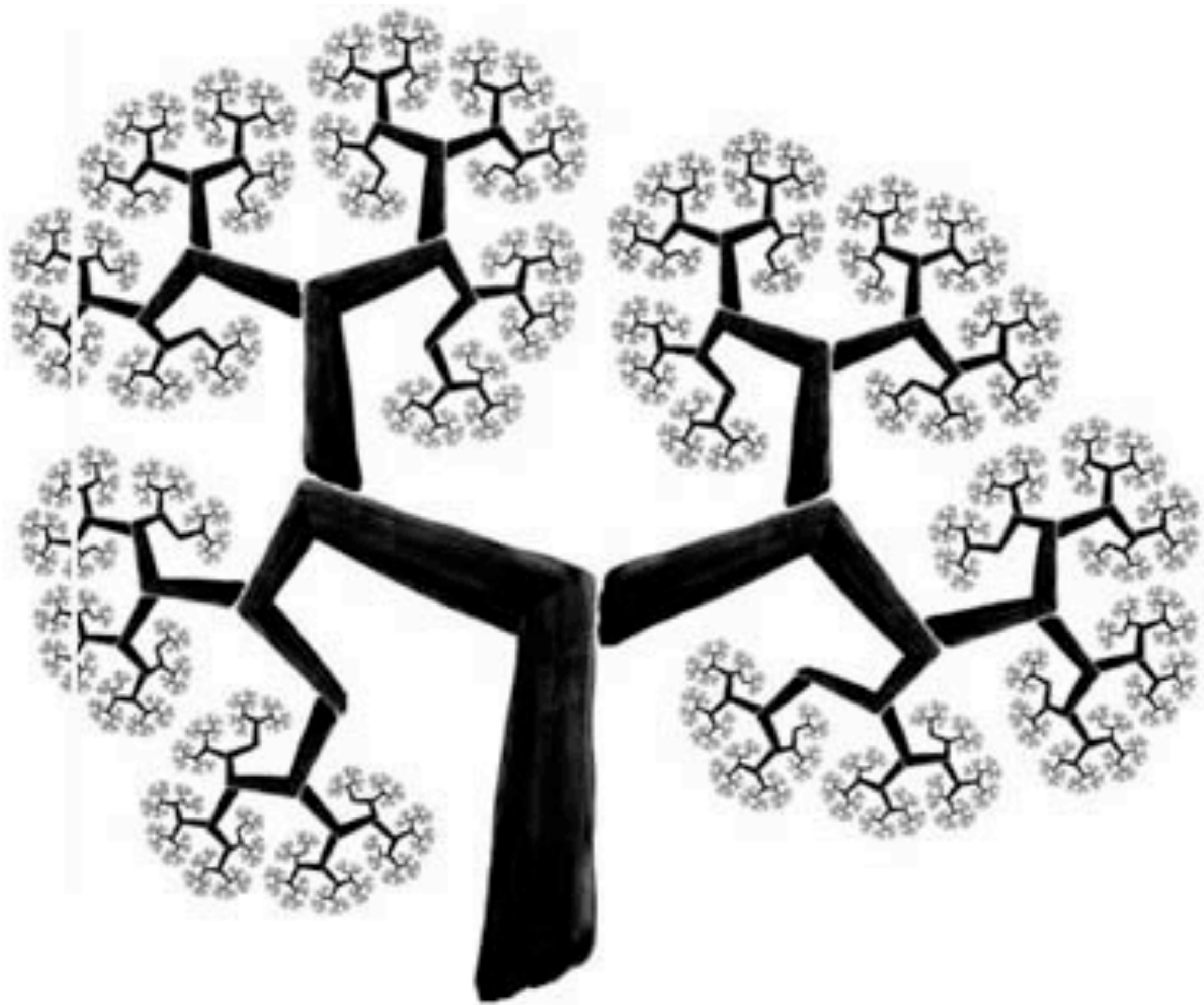
### Theorem.

Assumption: Let the partially ordered set  $M$  satisfy the following conditions:

- a) The maximum condition (that is, the ascending chain condition).
- b) The minimum condition (that is, the descending chain condition).
- c) Every subset of  $M$  in which all pairs of elements are uncomparable, is finite.

Conclusion:  $M$  is finite.

The crucial point of the proof lies in the following general principle.



# Equivalent Properties

- ◆ Wqo
- ◆ Every infinite sequence has an ordered pair



# Well-Quasi-Order

**Definition.** A set  $A$  is **Well Quasi Ordered** under  $\preceq$  if for all infinite sequences from  $A$ :

$$a_1, a_2, a_3, \dots$$

there exists some  $i < j$  such that  $a_i \preceq a_j$ .

# Equivalent Properties

- ◆ Standard: wf and no inf antichain
- ◆ Simple: Every infinite sequence has an ordered pair
- ◆ Useful: Every infinite sequence contains an infinite non-decreasing chain
  - ◆ Why? -- Ramsey

# Properties

- ◆ Every refinement (more order) is also wqo
- ◆ Every linearization (refinement s.t. all equivalence classes are comparable) is well-ordered

# Dickson's Lemma

- ◆ Order  $(n-)$  tuples in product ordering
  - ◆ All components are in order
- ◆ Tuples of wqos are wqo

# Good

- ◆ A pair is **good** if it is ordered
- ◆ A sequence is **good** if it has a good pair
- ◆ A set is good (wqo) if all sequences are good

# Bad

- ◆ A sequence is **bad** if there is no good pair
- ◆ It is **good** if it has at least one pair

# Good & Bad

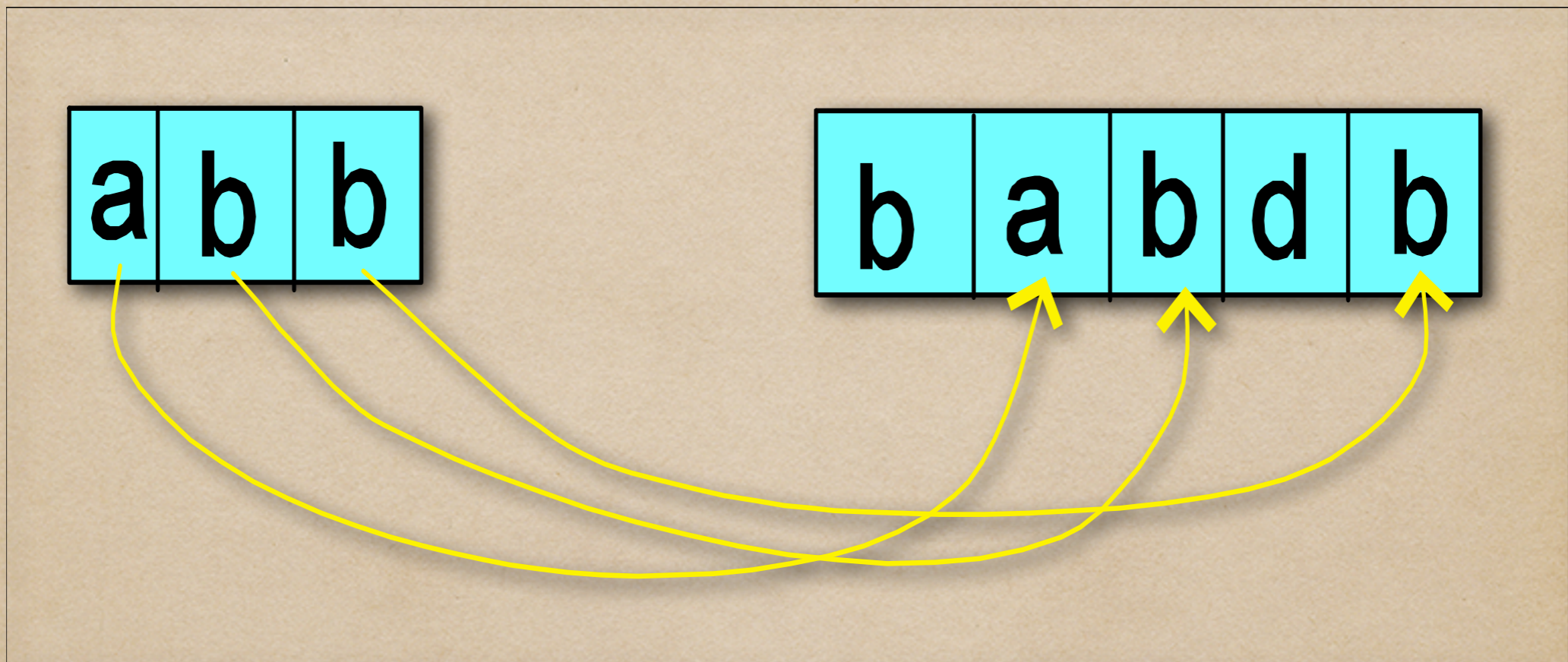
- ◆ A qo is a wqo if all sequences are good
- ◆ A sequence is **bad** if it is not good
- ◆ If a set is not good, then there is a minimal counterexample (bad sequence)

# Higman's Lemma

- ◆ Every infinite sequence of words (over a finite alphabet) includes an embedding.



# Homeomorphic Embedding



# Higman's Lemma

- ◆ Suppose a finite or infinite alphabet is wqo
- ◆ Extend order to string embedding
  - ◆ letters map in order to bigger or equivalent ones
- ◆ Strings are wqo

# Precedence

◆ Example,  $\Sigma$

$$a_0 < a_1 < a_2 < \dots$$

$$b_0 < b_1 < b_2 < \dots$$

...

$$z_0 < z_1 < \dots$$

# Minimal Bad Sequence

- ◆ acd eef afda ...
- ◆ afda ab acd ...
- ◆ ab eef afda ...
- ◆ ab acd eef afda ...
- ◆ ab afda acd ...
- ◆ ...

# Minimal Bad Sequence

- acd eef afda ...
- afda ab acd ...
- ab eef afda ...
- ab acd eef afda ...
- ab afda acd ...
- ...

# Minimal Bad Sequence

- ab eef afda ...
- ab acd eef afda ...
- ab afda acd ...
- ...

# Minimal Bad Sequence

- ab eef afda ...
- ab acd eef afda ...
- ab afda acd ...
- ...

# Minimal Bad Sequence

- ab acd eef afda ...



# Minimal Bad Sequence

- ab acd eef afda ...

# Minimal Bad Sequence

- abacd    afda ...

# Proof

- ◆ Consider minimal bad sequence
  - ◆  $\alpha_1 x_1 \alpha_2 x_2 \alpha_3 x_3 \dots \alpha_i x_i \dots \alpha_j x_j \dots$
- ◆ Extract subsequence with first letters  
 $\alpha_{i_1} \alpha_{i_2} \alpha_{i_3} \dots$  ordered
- ◆ Consider rests  $x_{i_1} x_{i_2} x_{i_3} \dots$

- ◆ Tails (or substrings) of minimal bad sequence are good
  - ◆ Why?
  - ◆ Suppose bad tails  $x_9 \dots x_3 x_{18} \dots$
  - ◆ Consider  $x_3 x_{18} \dots$  (where 3 min index)
  - ◆  $\alpha_1 x_1 \alpha_2 x_2 x_3 x_{18} \dots$  would be smaller than min bad

# Contradiction

• ab acd    afda ...    aacafad ...

# Corollary: Bag Ordering

- ◆ Given wfo  $>$  on elements  $X$ , consider bag order
- ◆ Extend (by Zorn's Lemma) to total well-order  $>$ ;  $X$  is wqo by  $\geq$
- ◆ By Higman, sequences  $X^*$  are wqo
- ◆ Were there an infinite descending sequence  $\{b_i\}$  of multisets wrt  $>$ , it would be decreasing wrt  $>$
- ◆ By Higman, there's a pair  $b_j \leq b_k$ ; by bag order  $b_j > b_k$