

# Termination

Dependencies

# Assumption

- Simplification orders
- Assume fixed or bounded arity
- Otherwise need another condition
  - $f(\dots s \dots) \geq f(\dots \dots)$

# Substitutions

- substitution  $\{x_i \mapsto u_i\}$
- apply  $t\{x_i \mapsto u_i\}$ , replace each occurrence of variable  $x_i$  in  $t$  with term  $u_i$
- compose  $\{x_i \mapsto u_i\}\sigma = \{x_i \mapsto u_i\sigma\}$

# Unifiers

- substitution  $\sigma$  **unifies** terms  $s$  and  $t$  if  $s\sigma = t\sigma$
- substitution  $\mu$  **more general** than  $\sigma$  if there's a  $\tau$  (not a renaming) such that  $\sigma = \mu\tau$
- if there is a unifier, then there is a unique **most general** one  $\mu$  (unique up to renaming)

# Unifiers

- $x, y$  distinct variables
- $f, g$  distinct symbols
- $\text{mgu}(x, x) = \emptyset; \text{mgu}(x, y) = \{x \mapsto y\}$
- $\text{mgu}(x, t) = \{x \mapsto t\}, t \text{ does not contain } x$
- $\text{mgu}(x, t) = \text{fail}, t \text{ contains } x \text{ (but isn't } x)$
- $\text{mgu}(f(\underline{s}), g(\underline{t})) = \text{fail}; \text{mgu}(f(), f()) = \emptyset$
- $\text{mgu}(f(u, \underline{s}), f(v, \underline{t})) = \mu \cup \text{mgu}(f(\underline{s}\mu), f(\underline{t}\mu))$   
where  $\mu = \text{mgu}(u, v)$

# Non-termination

- Can use most general unifier to look for examples of nontermination
- Given two derivations  $s \rightarrow t$  and  $u \rightarrow v$ 
  - renamed so that the two have distinct variables
  - rules are one-step derivations
  - extend (if possible) by mgu  $\mu$  of  $u$  and nonvariable subterm of  $t$
  - $s\mu \rightarrow t\mu = r\mu[u\mu] \rightarrow r\mu[v\mu]$

# Jumping

- Let  $P = R \cup B$
- If  $s R u B t$ 
  - then  $s R t$
  - or  $s B v_1 P v_2 P \dots P v_n P t$
- In short  $RB \subseteq R \cup BP^*$
- Hence (induction)  $RB^* \subseteq R \cup BP^*$

# Jumping Union

- If  $B$  jumps over  $R$
- then union well-founded iff both are
  - $s_1 \underline{BB...B} t_1 \underline{RB^*} t_2 \underline{RB^*} t_2 \underline{RB^*} ...$
  - $s_1 \underline{BB...B} t_1 \underline{R} t_2 \underline{R} t_3 \underline{R} ...$
  - $s_1 \underline{BB...B} t_1 \underline{RB^*} t_2 \underline{RB^*} t_2 \underline{BBBB...}$
  - $s_1 \underline{BB...B} t_1 \underline{RRR} u_k \underline{BBBB...}$

# Escaping

- For any immortal red chain

$s_1 R s_2 R s_3 R \dots$

- there is also an immortal purple chain  
after some blue turn

$s_1 R s_2 R \dots R s_k B t_1 P t_2 P \dots$

# Escaping Union

- If  $B$  jumps over  $R$
- and  $B$  escapes from  $R$
- then union well-founded iff  $B$  is
  - $s_1 B B \dots B t_1 \underline{R} t_2 \underline{R} t_3 \underline{R} \dots \text{XXX}$
  - $s_1 B B \dots B t_1 \underline{R R R} u_k B B B \dots$

# Top & Not

- Two parts to rewriting  $\Rightarrow$ 
  - instance of rule  $\Rightarrow_{\text{top}}$
  - within a context  $\Rightarrow_{\text{in}}$

# Top | Not

- Immediate subterm:  $f(\dots t \dots) \triangleright t$
- If  $s_1 \Rightarrow s_2 \Rightarrow s_3 \Rightarrow \dots$ 
  - Either  $s_i \xrightarrow{\text{top}} \dots s_j \xrightarrow{\text{top}} \dots s_k \xrightarrow{\text{top}}$
  - Or  $s_1 \Rightarrow \dots \Rightarrow s_k \triangleright t_1 \Rightarrow t_2 \Rightarrow \dots$

# Facts

- $f(\dots s \dots u \dots) \xrightarrow{\text{in}} f(\dots t \dots u \dots) \triangleright t$
- $f(\dots s \dots u \dots) \triangleright s \Rightarrow t$
- $f(\dots s \dots u \dots) \xrightarrow{\text{in}} f(\dots t \dots u \dots) \triangleright u$
- $f(\dots s \dots u \dots) \triangleright u$

# Dependencies

- Let  $\triangleright$  be  $\Rightarrow_{\text{top}} \triangleright^*$
- Rule  $s \Rightarrow t[u]$ 
  - $s \triangleright u$
  - exclude variable  $u$

# Dependency Pairs

- R rewrite step
- T top step
- I inner step (not at top)
- D dependency pair (includes top step)
- A subterm

# Dependencies

- $B = D \cup I$
- $R \subseteq B$
- $DA \subseteq D \cup A^+ \subseteq B \cup A^+$
- $IA \subseteq A \cup AR \subseteq A \cup AB$
- $BA \subseteq B \cup A^+ \cup AB$
- A jumps over B (DUI)

# Dependencies

- Show  $B = D \cup I$  is terminating
- $D \subseteq >$
- $I \subseteq \approx$
- $>$  well-founded
- $\approx > \subseteq >$  “compatible”

# Proof

- Infinite D & I, with infinitely many Ds
- A escapes from I and jumps over I
- Can't have infinite tail of only I
- So show  $I^*D$  terminates
- $I^*D \subseteq \textcolor{red}{\geq} > \subseteq >$

# Advantage

- Must have infinitely many D steps at top
- So enough to show other steps  $\geq$

# Quotient

- $x - 0 \Rightarrow x$
- $sx - sy \Rightarrow x - y$
- $0 \div sy \Rightarrow 0$
- $sx \div sy \Rightarrow s([x-y] \div sy)$

# Rules

- $x - O \geq x$
- $sx - sy \geq x - y$
- $O \div sy \geq O$
- $sx \div sy \geq s([x-y] \div sy)$

# Drop Subtrahend

LPO with only first argument of  $-$

- $\neg x \geq x$
- $\neg sx \geq \neg x$
- $0 \div sy \geq 0$
- $sy \div sy \geq s(\neg x \div sy)$

# Pairs

- $sx - sy > x - y$
- $sx \div sy > (x-y) \div sy$
- $sx \div sy > x-y$

# Pairs

- $-sx > -x$
- $sx \div sy > -x \div sy$
- $sx \div sy > -x$

# Dependency Graph

